

Application for Funding



Express Applications

About this form

This form enables you to make an express application for funding from the Provincial Growth Fund (PGF). The form is designed solely for applications under \$100,000 relating to planning, feasibility studies, business cases, or training / capability. If your application is for anything else, please use one of the other forms available on the [Provincial Growth Fund website](#)

Completing this form

Please complete all sections. Square brackets and italics indicate guides. Please see the PGF website for further support.

Submitting your application

All completed forms must be emailed to PGF@mbie.govt.nz with "PGF Express" in the subject line. If you are a Trust (or applying on behalf of a Trust), then you must provide a copy of your Trust Deed.

Next Steps

Applications will be assessed for eligibility, as well as how well they will deliver on the aims of the Provincial Growth Fund. One of our team will be in contact regarding your application.

Public disclosure

The Provincial Development Unit is responsible for leading the Provincial Growth Fund's design, administration and monitoring its operation in consultation with other government agencies. In the interests of public transparency, successful applications may be published by the Provincial Development Unit. Commercially sensitive and personal information will be redacted by reference to the provisions of the Official Information Act 1982. Please identify by highlighting any information in your application that you regard as commercially sensitive or as personal information for the purposes of the Privacy Act 1993.

Part A: Key Details

1. Proposal Title: Kawerau Pathways to Work – Backbone Project Extension

2. Please provide a very brief description of the initiative/activity:

This proposal is for a **12-month extension of the current ISK/KPTW Backbone Project** Commercial Information to bring it into alignment with several newly established programmes associated with the ISK/KPTW Workforce Plan. This alignment will ensure the continuity and sustainability of the work undertaken in both the ISK/KPTW Backbone Project and Cadetship/Training Initiatives and maintain the collaboration Commercial Information. It will also ensure the interface with Commercial Information is strengthened and, hopefully, embedded into their curriculum.

3. Please provide the details of the applicant organisation/entity for which funding is being requested:

Legal Name:	Kawerau District Council (on behalf of Industrial Symbiosis Kawerau ISK)
Entity Type:	Local Authority
Registered Offices / Place of Business:	Kawerau
Identifying Number:	
Organisation's Website:	<i>Kaweraudc.govt.nz</i>

4. Please provide the contact details for a person as a key point of contact:

Contact Name:	Glenn Sutton, Economic & Community Development Manager (KDC)		
Email Address:	Privacy of natural persons	Telephone:	Privacy of natural persons

5. Please describe the principal role or activity of the applicant organisation.

Kawerau District Council (KDC) is a local authority whose primary purpose is to enable democratic local decision-making and action by and on behalf of the Kawerau community and to meet the community's current and future needs. Subsequently, Council is involved in Kawerau's economic development and is a foundation member and active supporter of ISK (Industrial Symbiosis Kawerau).

ISK is a network of businesses and organisations which has been working collectively since 2010, with membership comprising of representatives from Māori enterprise, wood processing, manufacturing, energy, engineering, industrial services, logistics, social enterprise, research and development, the sub-regional economic development agency and local government. Workforce goals and aspirations are clearly articulated (see <http://embracechange.co.nz/>) and include a membership commitment to increasing employment and developing a skilled workforce. KDC is actively involved in the Eastern Bay Regional Leadership Group which has developed a coherent regional economic plan.

ISK, supported by KDC, developed the Kawerau Pathways to Work (KPTW) Plan in 2017 in recognition of the scale and urgency of workforce demands generated through the Kawerau Pūtauaki Industrial Development adding to existing worker shortages and secured He Poutama Rangatahi funding for a core backbone coordinator role and functions for the period 1 July 18 to 30 June 19. The ISK Steering Group and KPTW Management Group meets quarterly and/or monthly, with the KPTW Management Group leading this stream of ISK's work.

Commercial Information is involved in this project in partnership with ISK. Commercial Information

6. This project will be based in the region of: Bay of Plenty

7. What is the activity / funding start and end date?

Start Date:	Commercial Information	Completion Date:	Commercial Information
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8. Has this project / activity been previously discussed with any part of Government? Yes: No:

- If Yes, please describe which part of government, and what the outcome of the discussions were.

The initial Commercial Information Kawerau Pathways to Work (KPTW) Backbone Project secured He Poutama Rangatahi funding from MBIE and additional funding Commercial Information which concludes on Commercial Information. All partners are aware of the progress of this project and the work undertaken on this and the KPTW Cadetship and Industry Training initiative. Funding for this latter Commercial Information initiative was applied for through Te Ara Mahi; granted through He Poutama Rangatahi and concludes on Commercial Information

9. Have you previously received Government funding for this Project? Yes: No:

- If Yes, please list which part of the Government, when the funding was received, and how much under Q11.

10. Are you an overseas investor for the purposes of the Overseas Investment Act? Yes: No:

To find out if you are an overseas investor, and find support, please visit the Overseas Investment Office [website](#).

11. Please set out the proposed sources of funding for the Project:

Source of Funding:	\$ (excluding GST)
Provincial Growth Fund Funding (through this application)	\$ 58,923
He Poutama Rangitahi - MBIE (original funding)	\$100,000
<small>Commerc</small>	\$ <small>Commerc</small>
<small>Commer</small>	\$ <small>Commer</small>
Total:	\$ <small>Commercial Inform</small>

Part B: Project Description

12. This application is: *“a stand-alone activity”* or *“in support of a wider project”*

13. If successful, is there likely to be a follow on application? Yes: No:

14. Please provide a detailed description of this project for which funding is being applied, including the benefits arising from the project:

The ISK/KPTW Backbone Project, has become pivotal to all programmes attached to the wider KPTW Workforce Plan as over the past six months, it has established itself in a leadership role. The project team will continue to foster collaborative work over the duration of the Cadetship and Industry Training Initiative (which runs through to Commercial Information) and, as part of its role in developing and maintaining a ‘go-to’ source of information, identify other unknown or new initiatives with a view to incorporating them (where applicable) into ISK/KPTW employee/employer offerings. The team will also keep the broader stakeholder group of Industry, Government Agencies, Social Sector Providers, Regional Economic Development Agencies and the Community at large informed.

The primary deliverables of the current Backbone Project included:

- Establishing a client management system suitable to track local workforce demand including skills requirement
- Identify existing and emerging jobs and education/training/skill requirements particularly for entry level jobs
- Monitoring employer experience of youth employment and ideas for development
- Establishing a local workforce service directory
- Supporting Employee – Employer connect systems
- Co-ordinating community gatherings to share information ideas and progress.

These deliverables will continue but in addition and in response to strong feedback from industry, a focus will be maintained on ensuring that the pastoral care delivered to participants of the Cadetship and Industry Training Initiative is in place, appropriate and operating successfully. An independent overview of this service was considered by employers to have significant benefits and critical to the success of the project. It was their strong recommendation that this service be undertaken by ISK’s/KPTW Backbone Project and continue throughout the length of the Cadetship and Industry Training Initiative.

The major benefits arising from the continuation of the ISK/KPTW Backbone Project are

1. Increased confidence of industry that the Cadetship/Industry Training Initiative will be successful resulting in a higher uptake of cadets and thereby mitigating some of the risks identified in that programme;
2. Increased confidence of industry in the effectiveness of pastoral care support for cadets, apprentices and employees will encourage use thereby retaining ‘at risk’ employees;
3. Sustainability of work undertaken in both the ISK/KPTW Backbone project, Cadetship/Industry Training Initiative, Commercial Information and the interface with Commercial Information is strengthened.
4. Extending the term of the Backbone Project to Commercial Information will alleviate the ‘lag’ between current demand for entry level employees and the increase in need with the development of planned activity in the area.

15. How does this project demonstrate additionality within the region?

The effective collaboration of joint initiatives across the wider ISK/KPTW plan will transform the Kawerau community and connect Kawerau NEET's with Kawerau employers to realise the full economic potential of the Eastern Bay rohe.

Since the commencement of the original Backbone Project in December 18, input from industry has indicated that both the Backbone and Cadetship/Industry Training programmes need to run concurrently to ensure consistent and quality deliverables.

Extension of the Backbone Project to align with the duration of the Cadetship/Industry Training initiative will consolidate the deliverables of both projects and work towards the sustainability of engagement between Employers and Rangatahi within the District. In turn, the "Ready for Work" programmes **Commercial Information** combined with the Cadetship and Apprenticeship activity will increase capacity and capability in the local workforce to enable them to take up the opportunities afforded by increased development in the District.

Success and confidence by our Rangatahi stimulates success and confidence in the whole Whanau and has the ability to break the cycle of dependence and alleviate pressure on NZ's welfare system.

16. How is the project connected to regional (and sector) stakeholders and frameworks?

The ISK KPTW Plan is widely supported and has been drafted in response to Iwi land-owner and community aspirations and forward plans for inclusive growth. The level of interest and support at the November 2018 Community Hui organised by KPTW is strong evidence of the high-level of local support and this was reinforced by Employer workshops held in July/Aug 2019 (albeit with recommendations around alignment between Project durations and increased attention to pastoral care.) The report on these workshops is attached. Withheld - Commercial Information

Governance industry-group ISK is closely connected to the Bay of Connections regional growth strategy, Eastern Bay's Regional Growth Leadership Group's (RGLG) *Eastern Bay of Plenty Regional Development Project* **Commercial Infor** workforce development EBOP sub-regional programme.

17. How will your project lift productivity potential in the regions?

PGF Outcome	✓	How will the project positively or negatively impact this outcome in the region(s) identified?
1. Increase economic output	✓	Positive impact - more local people will have work ready competencies and skills which are tailored for our growing industries which in turn increase economic output.
2. Enhance utilisation of and/or returns for Māori assets	✓	Positive impact – Key catalysts are Maori Led initiatives
3. Increase productivity and growth	✓	Positive impact – will engage local people in meaningful, routine employment as a work-ready, appropriately-skilled, well-supported workforce contributory member.
4. Increase local employment and wages (in general and for Māori)	✓	Positive impact – work ready skills, tailored training programmes and cadetships will enhance entry-level (employment) prospects and promotion to higher paid jobs.
5. Increase local employment, education and/or training opportunities for youth (in general and for Māori)	✓	Positive impact – direct provision of new programmes and initiatives will improve alignment and coordination of NEET opportunities, giving improved visibility and access.
6. Improve digital communications, within and/or between regions	<input type="checkbox"/>	N/A
7. Improve resilience and sustainability of transport infrastructure, within and/or between regions	<input type="checkbox"/>	N/A
8. Contribute to mitigating or adapting to climate change	<input type="checkbox"/>	N/A
9. Increase the sustainable use of and benefit from natural assets	✓	Positive impact –Engagement of the District’s Human Capital will benefit the local economic by increasing the capacity, capability and wealth of our unemployed or underemployed residents. Growing a work-ready helps to enhance competitiveness and use of land, forestry, geothermal and rail.
10. Enhance wellbeing, within and/or between regions	✓	Positive impact – increased whānau oneness and wellness through the mana-enhancing activities of KPTW through work and whānau readiness and successful engagement in employment enhancing programmes.
Total number of outcomes project contributes to	7/10	

Part C: Project Delivery

[Please note – this section refers to the actual activity associated with this application.]

18. Governance: Please explain how you will deliver and manage the activity:

ISK/KPTW will manage initiatives via contracts for service to connect willing employers with cadetship-ready employees and will oversee delivery of industry training supports to strengthen retention and completion of work-related training and study.

Contracts will specify clear deliverables, timeframes and reporting and all initiatives proposed under KPTW will be informed and supported by the KPTW Backbone Team.

The KPTW Management Group will provide overall oversight of initiative delivery, under the auspices of ISK. Initiatives will be implemented within all contract specifications and KPTW envisages constructive governance and management relationships with joint application entities, **Commercial Information**, **Commercial Information**, employers, and the local provider network.

Commercial Information, Chair of ISK's workforce development initiatives and the KPTW Management Group, is the key point of contact for the KPTW programme

19. What are the proposed deliverables if funding is approved?

[Please use the following table where appropriate, consider what deliverables will be provided, when, and whether there are any payments associated with them. If it is a single deliverable with single payment, just use one line]

#	Deliverable	Due Date	Associated Payment (ex-GST)
1	Retention of Contract Staff	Commercial Information	\$Commercial Info
2	Ongoing H & S compliance including Public Liability Insurance and General Administration costs including costs associated with Hui, workshops and other communication methods i.e. website maintenance	Commercial Information	\$Commercial Info
3	Delivery of final report to the Ministry	Commercial Information	\$Com

20. Please provide a breakdown of the costs of the project:

[Note that figures in this table must align with other figures provided]

Cost Description:	\$ (excluding GST)
Staff and contractors	\$Commercial Info
Administration, H & S compliance and Communication Costs	\$Commercial Info
Less carry-over of under expenditure of initial He Poutama Rangatahi Grant	-\$Commercial Info
Total	\$58,923

21. What risks are associated with the delivery of this activity?

#	Risk	Mitigation approach	Rating
1	Personnel engaged may not be able to deliver expected outcomes within the timeframes and/or may resign from position	Personnel work closely with ISK/KPTW Management team who will engage normal HR practices to facilitate a positive outcome	Low
2	Low engagement from relevant sectors of the community i.e. Employers, training providers, social service providers and Govt Agencies.	To ensure connectivity between, and continuity, over all programmes and initiatives through the extension of the Backbone Project through to <small>Commercial Inform</small>	Low if additional funding is received
3	Leadership of the Backbone Project diminishes success of other collaborative programmes Reduces the quality and quantity of work ready employees when industrial develop comes on stream.	To ensure connectivity between, and continuity, over all programmes and initiatives through the extension of the Backbone Project through to <small>Commercial Inform</small>	Low if additional funding is received

Part D: Declarations

Commercial Information

22. The contracting entity is compliant and will continue to comply with all applicable laws, regulations, rules and professional codes of conduct or practice including but not limited to health and safety and employment practices

23. Has this activity ever been declined Crown Funding in the past?

24. Has the applicant or the contracting entity ever been insolvent or subject to an insolvency action, administration or other legal proceedings?

25. Has any individual in the Project Team (including the Applicant’s Leadership Team, directors, partners, or trustees, or any key members of the project) ever been insolvent or subject to an insolvency action, administration or other legal proceedings, or actively involved in any organisation which has?

26. Has any individual in the Project Team (including the Applicant’s Leadership Team, directors, partners, or trustees, or any key members of the project) ever been adjudged bankrupt or is an undischarged bankrupt?

27. Has any individual in the Project Team (including the Applicant’s Leadership Team, directors, partners, or trustees, or any key members of the project) ever been under investigation for, or been convicted of, any criminal offence?

28. Are there any actual, potential or perceived conflicts of interest that the applicant or any of the key personnel have in relation to this project.

“In a small country like ours, conflicts of interest in our working lives are natural and unavoidable. The existence of a conflict of interest does not necessarily mean that someone has done something wrong, and it need not cause problems. It just needs to be identified and managed carefully...”

<https://www.oag.govt.nz/2007/conflicts-public-entities>

If you answered “Yes” to any question from 23 to 28, please provide a description below:

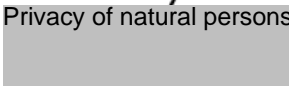
By completing the details below, the applicant makes the following declarations about its application for Provincial Growth Fund funding for the project ("application"):

- I have read, understand and agree to the Terms and Conditions of applying for Provincial Growth Fund funding which are attached as Appendix 1;
- The statements in the application are true and the information provided is complete and correct, and there have been no misleading statements or omissions of any relevant facts nor any misrepresentations made;
- I have secured all appropriate authorisations to submit the application, to make the statements and to provide the information in the application;
- I have obtained the permission of each member of the Project Team to provide the information contained in this application and those individuals are aware of, and agree to, the Terms and Conditions of applying for Provincial Growth Fund funding which are attached as Appendix 1;
- I consent to this application being publically released if funding is approved. I have identified the commercially sensitive and personal information.
- The applicant warrants that it has no actual, potential or perceived conflict of interest (except any already declared in the application) in submitting the application, or entering into a contract to carry out the project. Where a conflict of interest arises during the application or assessment process, the applicant will report it immediately to the Provincial Development Unit by emailing PGF@mbie.govt.nz; and
- I understand that the falsification of information, supplying misleading information, or the suppression of material information in this application, may result in the application being eliminated from the assessment process and may be grounds for termination of any contract awarded as a result of this application process.
- The applicant consents to the Provincial Growth Fund undertaking due diligence including any third party checks as may be required to fully assess the application.

Full name: Glenn Sutton

Title / position: Economic and Community Development Manager

Signature / eSignature:

Privacy of natural persons


Date: 08 August 2019

Appendix 1 – Terms and Conditions of this Application

General

The terms and conditions are non-negotiable and do not require a response. Each applicant that submits a request for Provincial Growth Fund (“PGF”) funding (each an “application”) has confirmed by their signature (or e-signature) on the application that these terms and conditions are accepted without reservation or variation.

The Provincial Growth Fund is a government initiative which is administered by the Provincial Development Unit, a unit within the Ministry of Business, Innovation and Employment. Any reference to the Provincial Development Unit in these terms and conditions, is a reference to MBIE on behalf of the Crown.

Reliance by Provincial Development Unit

The Provincial Development Unit may rely upon all statements made by any applicant in an application and in correspondence or negotiations with the Provincial Development Unit or its representatives. If an application is approved for funding, any such statements may be included in the contract.

Each applicant must ensure all information provided to the Provincial Development Unit is complete and accurate. The Provincial Development Unit is under no obligation to check any application for errors, omissions, or inaccuracies. Each applicant will notify the Provincial Development Unit promptly upon becoming aware of any errors, omissions, or inaccuracies in its application or in any additional information provided by the applicant.

Ownership and intellectual property

Ownership of the intellectual property rights in an application does not pass to the Provincial Development Unit. However, in submitting an application, each applicant grants the Provincial Development Unit a non-exclusive, transferable, perpetual licence to use and disclose its application for the purpose of assessing and decision making related to the PGF application process. Any hard copy application or documentation supplied by you to the Provincial Development Unit may not be returned to you.

By submitting an application, each applicant warrants that the provision of that information to the Provincial Development Unit, and the use of it by the Provincial Development Unit for the evaluation of the application and for any resulting negotiation, will not breach any third-party intellectual property rights.

Confidentiality

The Provincial Development Unit is bound by the Official Information Act 1982 (“OIA”), the Privacy Act 1993, parliamentary and constitutional convention and any other obligations imposed by law. While the Provincial Development Unit intends to treat information in applications as confidential to ensure fairness for applicants during the assessment and decision making process, the information can be requested by third parties and the Provincial Development Unit must provide that information if required by law. If the Provincial Development Unit receives an OIA request that relates to information in this application, where possible, the Provincial Development Unit will consult with you and may ask you to confirm whether the information is considered by you to be confidential or still commercially sensitive, and if so, to explain why.

Use and disclosure of information

The Provincial Development Unit will require you to provide certain information, including personal information, on application forms if you wish to apply for funding. If you do not provide all of the information that is required on an application form, the Provincial Development Unit may be unable to process or otherwise progress your application.

MBIE will generally only use personal information provided in the application process for the purpose of administering the PGF which includes assessing an application you have submitted, contracting, monitoring compliance and reporting.

We may use personal information provided to us through the application for other reasons permitted under the Privacy Act (e.g. with your consent, for a directly related purpose, or where the law permits or requires it).

The Provincial Development Unit may disclose any application and any related documents or information provided by the applicant, to any person who is directly involved in the PGF application and assessment process on its behalf including the Independent Advisory Panel (“IAP”), officers, employees, consultants, contractors and professional advisors of the Provincial Development Unit or of any government agency. The disclosed information will only be used for the purpose of participating in the PGF application and assessment process, including assessment and ongoing monitoring, which will include carrying out due diligence. Due diligence may involve MBIE disclosing information to another MBIE business unit or relevant agency in order to assess the application and verify the information contained in the application and accompanying documents.

MBIE will generally not otherwise disclose personal information provided or collected through this application unless required or

otherwise permitted by law. For example, we may seek your consent to undertake additional due diligence checks and request information from other relevant third parties. If an application is approved for funding, information provided in the application and any related documents may be used for the purpose of contracting.

In the interests of public transparency, if an application is approved for funding, the application (and any related documents) may be published by the Provincial Development Unit. Commercially sensitive and personal information will be redacted by reference to the provisions of the Official Information Act 1982.

Limitation of Advice

Any advice given by the Provincial Development Unit, any other government agency, their officers, employees, advisers, other representatives, or the IAP about the content of your application does not commit the decision maker (it may be Senior Regional Officials, Ministers or Cabinet depending on the level of funding requested and the nature of the project) to make a decision about your application.

This limitation includes individual members of the IAP. The IAP's recommendations and advice are made by the IAP in its formal sessions and any views expressed by individual members of the IAP outside of these do not commit the IAP to make any recommendation.

No contractual obligations created

No contract or other legal obligations arise between the Provincial Development Unit and any applicant out of, or in relation to, the application and assessment process, until a formal written contract (if any) is signed by both the Provincial Development Unit and a successful applicant.

No process contract

The PGF application and assessment process does not legally oblige or otherwise commit the Provincial Development Unit to proceed with that process or to assess any particular applicant's application or enter into any negotiations or contractual arrangements with any applicant. For the avoidance of doubt, this application and assessment process does not give rise to a process contract.

Costs and expenses

The Provincial Development Unit is not responsible for any costs or expenses incurred by you in the preparation of an application.

Exclusion of liability

Neither the Provincial Development Unit or any other government agency, nor their officers, employees, advisers or other representatives, nor the IAP or its members will be liable (in contract or tort, including negligence, or otherwise) for any direct or indirect damage, expense, loss or cost (including legal costs) incurred or suffered by any applicant, its affiliates or other person in connection with this application and assessment process, including without limitation:

- a) the assessment process
- b) the preparation of any application
- c) any investigations of or by any applicant
- d) concluding any contract
- e) the acceptance or rejection of any application, or
- f) any information given or not given to any applicant(s).

By participating in this application and assessment process, each applicant waives any rights that it may have to make any claim against the Provincial Development Unit. To the extent that legal relations between the Provincial Development Unit and any applicant cannot be excluded as a matter of law, the liability of the Provincial Development Unit is limited to \$1.

Nothing contained or implied in or arising out of the PGF documentation or any other communications to any applicant shall be construed as legal, financial, or other advice of any kind.

Inducements

You must not directly or indirectly provide any form of inducement or reward to any IAP member, officer, employee, advisor, or other representative of the Provincial Development Unit or any other government agency in connection with this application and assessment process.

Governing law and jurisdiction

The PGF application and assessment process will be construed according to, and governed by, New Zealand law and you agree to submit to the exclusive jurisdiction of New Zealand courts in any dispute concerning your application.

Public statements

The Provincial Development Unit and any other government agency, or any relevant Minister, may make public in whole or in part this application form including the following information:

- the name of the applicant(s)
- the application title
- a high-level description of the proposed project/activity
- the total amount of funding and the period of time for which funding has been approved
- the region and/or sector to which the project relates

The Provincial Development Unit asks applicants not to release any media statement or other information relating to the submission or approval of any application to any public medium without prior agreement of the Provincial Development Unit.

Electronic signature

You can only file documents and information with us using an electronic signature if you're the signatory, or have authority to act on behalf of the signatory, and are using software that complies with our standards, in particular keeping records of transactions where an electronic signature has been used. Once a document with your electronic signature has been filed with us, we consider the information:

- has been provided with your full knowledge and agreement
- is authentic and accurate
- wasn't amended after your electronic signature was added to the document, unless a change has been clearly marked on the document.

You're responsible for:

- safeguarding how and when your electronic signature and credentials are used on documents and information
- managing who has authority to use your electronic signature on your behalf, for example, a chartered accountant.

If your electronic signature on a document or information is filed with us, you won't be able to dispute having signed and approved the document or information. If we question the authenticity of an electronic signature or online transaction, you must be able to demonstrate on request the validity of the software used to apply your electronic signature to the document.

You must use electronic signature software that captures authentication, time and source details for any online transaction where a document with your electronic signature has been filed. These details must be held within the software itself, in the form of a file that:

- is maintained in its original form with no amendments, and
- can be provided to us, if requested, within a specified time.

The file must be treated as a record, as defined by the Companies Act 1993, and a business record as defined by the Evidence Act 2006.

Appendix 2 - Operational criteria for all tiers of the Fund

Link to Fund and government outcomes

- Demonstrate the ways in which the project will contribute to lifting the productivity potential of the region
- Demonstrate how the project contributes to the Fund's objectives of:
 - more permanent jobs
 - benefits to the community and different groups in the community
 - increased utilisation and returns for Māori from their asset base (where applicable)
 - sustainability of natural assets (e.g. water, soil integrity, the health and ecological functioning of natural habitats)
 - mitigating or adapting to climate change effects, including transitioning to a low emissions economy
- Clear evidence of public benefits (i.e. benefits other than increased profitability for the applicant)
- Are in a Government priority region or sector

Additionality

- Project is not already underway, does not involve maintenance of core infrastructure or assets (except for rail and transport resilience initiatives), and does not cover activities the applicant is already funded for (funding could be considered to increase the scale of existing projects or re-start stalled projects)
- Demonstrated benefit of central Government investment or support
- Detail of any supporting third party funding (and any funding sought unsuccessfully)
- Acts as a catalyst to unlock a region's productivity potential
- Demonstrated links to other tiers of the Fund and related projects, to maximise value of Government investment

Connected to regional stakeholders and frameworks

- Evidence of relevant regional and local support, either through existing regional development mechanisms, or through another relevant body such as a council, iwi or other representative group (or reasons for any lack of local support)
- Has been raised and discussed with the region's economic development governance group
- Alignment with, or support for the outcomes of, any relevant regional development plan, Māori development strategy or similar document (whether regional or national)
- Demonstrated improvement in regional connectedness (within and between regions)
- Leverage credible local and community input, funding, commercial and non-commercial partners
- Utilise existing local, regional or iwi/Māori governance mechanisms

Governance, risk management and project execution

- Evidence of robust project governance, risk identification/management and decision-making systems and an implementation plan appropriate to the size, scale and nature of the project
- Future ownership options for capital projects, including responsibility for maintenance, further development, and other relevant matters
- Benefits and risks clearly identified and quantified, depending on the scale of the initiative
- Evidence of potential exit gates and stop/go points, and a clear exit strategy
- Clearly identifies whole of life costs (capital and operating)
- Dependencies with other related projects are identified
- Evidence of sustainability after conclusion of PGF funding
- Adequacy of asset management capability (for capital projects)
- Compliance with international obligations (where relevant)