

Application for Funding Development Phase



About this form

This form enables you to make an application for funding over \$100,000 from the Provincial Growth Fund for activities in the Development Phase of a project.

You will need to use the Express Form if your application is for an activity under \$100,000 or the Standard Form if your application is for an actual Project (i.e. delivery of an asset).

These forms are available on the [Provincial Growth Fund website](#)

Purpose of the Provincial Growth Fund

The Provincial Growth Fund aims to lift productivity potential in the provinces. Its priorities are to enhance economic development opportunities, create sustainable jobs, enable Māori to reach their full potential, boost social inclusion and participation, build resilient communities, and help meet New Zealand's climate change targets.

Completing this form

Please complete all sections fully and accurately. Square brackets and italics indicate guides.

Please see the PGF website, or contact your regional relationship manager, for further support.

Submitting your application

All completed forms must be emailed to PGF@mbie.govt.nz with a clear subject heading.

If you are a Trust (or applying on behalf of a Trust), then you must provide a copy of your Trust Deed.

Next Steps

Applications will be assessed for eligibility, as well as how well they will deliver on the aims of the Provincial Growth Fund. One of our team will be in contact regarding your application.

Funding Agreement

The template funding agreements can be found on the [Provincial Growth Fund website](#)

Public disclosure

The Provincial Development Unit is responsible for leading the Provincial Growth Fund's design, administration and monitoring its operation in consultation with other government agencies. In the interests of public transparency, successful applications may be published by the Provincial Development Unit (PDU).

Commercially sensitive and personal information will be redacted by reference to the provisions of the Official Information Act 1982. Please identify by highlighting any information in your application that you regard as commercially sensitive or as personal information for the purposes of the Privacy Act 1993.

Part A: Key Details

Please note that if the funding agreement will not be with the applicant (i.e. applying on behalf of another organisation), then please complete this application from the perspective of that organisation.

1. Proposal Title:

2. Please provide a very brief description of the project/activity:

Implementation of remedial work, including fencing and gorse maintenance, to enable long-term station development and diversification to be undertaken.

3. Please provide the details of the applicant organisation/entity for which funding is being requested:

Legal Name:	Heeni Investment Company Ltd
Entity Type:	Company
Registered Offices / Place of Business:	Whanganui
Identifying Number:	Co. No.: 1165977 NZBN: 9429036750788
Organisation's Website:	N/A

4. Please provide the contact details for a person as a key point of contact:

Contact Name and Role:	Privacy of natural persons		
Email Address:	Privacy of natural persons,	Telephone:	Privacy of natural persons, Comm

5. Please describe the principal role or activity of the applicant organisation.

Investment arm of registered charity, Te Uruingī Whānau Trust [CC54732] – a wholly owned not-for-profit subsidiary company.

6. This project will be based in the region of:

7. What type of funding is this application for:

[Note: the most appropriate funding type will be determined by the PDU in consultation with the applicant]

8. What is the activity / funding start and end date?

Start Date:	Commercial Information	Completion Date:	Commercial Information
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9. Has this project / activity been previously discussed with any part of Government? Yes: No:

- If Yes, please describe which part of government, and what the outcome of the discussions were.

Preliminary discussions have been held with PDU/PGF Whenua Māori officials together with Te Puni Kōkiri staff. All have been supportive of the project's aspirations and have encouraged/supported development of this application for funding.

10. Have you previously received any Government funding for this Project/Activity? Yes: No:

- If Yes, please list which part of the Government, when the funding was received, and how much under Q11.

11. Are you an overseas investor for the purposes of the Overseas Investment Act? Yes: No:

To find out if you are an overseas investor, and find support, please visit the Overseas Investment Office [website](#).

12. Please set out the proposed sources of funding for the Project:

Source of Funding: <i>[please indicate where all other funding is sourced from, noting who the funder is]</i>	\$ (excluding GST)	Status / Commentary <i>[i.e. received / confirmed / in principle]</i>
Provincial Growth Fund Funding (through this application)	\$457,477	
Commercial Information		Commercial Information
Total:	\$	Commercial Inform

Part B: Project Description

13. This application is: "a stand-alone activity" or "in support of a wider project/programme"

14. Will additional funding likely be applied for in the future? Yes: No:

- If Yes, please describe at a high level, what this may include, and when this is likely to be applied for.

Long term goal is to develop the whenua to enable it to achieve its maximum potential both economically and culturally. **Commercial Information**

15. What type of activity is this application for?

- Feasibility study
- Business case
- Report
- Creation of an action plan
- Research or surveys
- Workshops or consultation
- Capability building (Education / training)
- Other (please specify)

This application relates to the preparatory work that needs to be undertaken and completed in readiness for the launch and implementation of phase 1 of the overall, long term development plan for the whenua.

16. Please provide a detailed description of this activity for which funding is being applied:

[Note: please describe what the funding is for, why it is required, what the project will do, how it links to other projects or activities and the benefits which will be attributed to it – for more information on benefits management, see this [guide](#).]

Funding being sought is to enable the Trust to carry out preparatory work **Commercial Information**

Specific tasks to be carried out using the funding now being applied for are:

- Fencing – new and maintenance - estimated at 12Kms;
- Riparian planting;
- Farm track maintenance;
- Gorse eradication and control.

Attached map [Appendix I] highlights the areas where the above work is to be carried out and equates to approx. **Commercial Information** in total [Blue Zone]. The whenua in question has been identified as having the greatest potential as pasture land for intensive beef grazing and, once in full time operation, the economic benefits generated will be invested by the Trust towards the overall aspirations of Paetawa Station.

A further 200HA **Commercial Information** will also be treated for gorse eradication and control and this particular whenua has been identified for permanent forests [no harvesting, carbon credits] and permanent forestry zones [ecological based harvesting].

Withheld - Commercial Information

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17. How does this project demonstrate additionality within the region?

[Please cover:

- Why the project is not already underway.
- How the project provides a new asset and is not seeking maintenance funding.
- The benefit of Central Government funding (i.e. over alternative sources).
- Why this project will unlock the potential within the region.]

As indicated, this application covers the preparatory costs of the initial steps in a major ^{Comm} year project that will be completed in phases. The overall vision is to restore the mana of the whenua by lifting primary productivity and determining the best sustainable use that supports the aspirations and economic needs of the descendants of Te Ururangi. Funded by **Commercial Information** a whole of farm plan [Attached] was prepared that looked at the whenua and what could be achieved via land use diversification and changes to existing management practices. That report was completed March 2014 and this application is the initial response to that report. ^{Commercial Information}

Withheld - Commercial Inform

[Redacted content]

Future state requirements to lift primary productivity identified include the need to identify future skills, experience and personnel resources required to fully implement best land use options including farming, stock management, forestry planting/maintenance/harvesting, soil control [erosion & fertilising], nursery development & management and water management. In that regard, on completion of the project it is estimated that ⁹⁹ additional permanent positions will have been created as well as casual labour positions as the forestry work comes on-stream e.g. planting and maintenance.

PGF funding is being sought to enable the preliminary work as detailed to be completed promptly ^{Commercial Information} and it is important that the work is completed in its entirety and not on a piecemeal basis as would otherwise occur over an extended period.

Completion of the overall project will generate economic and employment benefits specifically to whānau, Iwi members and the local community generally.

¹ A 1BT grant application ^{Withheld - Commercial Information}

² A 1BT partnership fund application ^{Withheld - Commercial Information}

18. How is the project connected to regional (and sector) stakeholders and frameworks?

[Please provide an overview, including any evidence where appropriate of any relevant local and regional support, either via existing regional development mechanisms (i.e. regional plans), or through any other relevant body (i.e. council, iwi, hapū, or other representative group). If you do not have support, please explain why not and how you intend to get it]

Commercial Information have acknowledged the Trust's aspirations to lift productivity of the whenua to generate sustainable options moving forward. They **Commercial Information** have provided tangible support by way of funding completion of the whole of farm plan which is now the blueprint for the Trust to follow. As a Māori organisation, operating under a kaupapa Māori philosophy, the Trust's objectives are aligned to delivering the best possible outcomes, economically and culturally, for all Trust members who whakapapa to Te Ratana Te Urumingi and or Heeni.

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19. How will your project lift productivity potential in the regions?

[We want to understand how your project will meet the primary objective of the fund: "to lift productivity potential in the regions". Please provide a description of this and where relevant, please cover how your project contributes to the following outcomes:

PGF Outcome	✓	How will the project positively or negatively impact this outcome in the region(s) identified?
1. Increase economic output	✓	<i>Project will increase productivity levels/returns on farming operations with forestry adding progressively to the economic returns.</i>
2. Enhance utilisation of and/or returns for Māori assets	✓	<i>Site is Māori whenua and project will enable land diversification to be effected.</i>
3. Increase productivity and growth	✓	<i>Stock capacity will be enhanced/increased from the outset with other income streams coming online progressively particularly around forestry.</i>
4. Increase local employment and wages (in general and for Māori)	✓	<i>Throughout all phases of the project increased personnel capability and capacity is a constant across farming, forestry and land/soil management aspects.</i>
5. Increase local employment, education and/or training opportunities for youth (in general and for Māori)	✓	<i>Roles within the project will provide opportunities for employees to be trained in soil erosion and control, water management, forestry management and maintenance and nursery development and management.</i>
6. Improve digital communications, within and/or between regions	<input type="checkbox"/>	<i>[Insert your relevant commentary here]</i>
7. Improve resilience and sustainability of transport infrastructure, within and/or between regions	<input type="checkbox"/>	<i>[Insert your relevant commentary here]</i>
8. Contribute to mitigating or adapting to climate change	✓	<i>The proposed afforestation will deliver permanent forest zones as permanent carbon sinks to achieve carbon sequestration outcomes.</i>
9. Increase the sustainable use of and benefit from natural assets	✓	<i>Much of the whenua is unsuitable for farming/stocking and is covered in gorse and scrub. The project will convert that whenua to a state of afforestation generating economic and social returns on a sustainable basis accordingly.</i>
10. Enhance wellbeing, within and/or between regions	<input type="checkbox"/>	<i>[Insert your relevant commentary here]</i>
Total number of outcomes project contributes to	7/10	

Part C: Project Delivery

[Please note – this section refers to the actual activity associated with this application.]

20. Please provide an overview of the project management approach / plan for this activity.

[We would like you to demonstrate:

- How the activity will be managed from your organisation?
- What involvement is required by the Provincial Development Unit?
- What other partners are required for successful delivery?
- What project management practices will be in place?
- Who are the key personnel within the organisation relating to this project?
- Please include bio's and provide an organisational/project structure where possible.
- Please attach a copy of your health and safety policy that will apply for this project.]

Project Team:

Privacy of natural persons
[Redacted]
[Redacted]

The team will monitor the Project Manager against the project activities and start dates outlined in section 21.

Privacy of natural persons
[Redacted]

Privacy of natural persons
[Redacted]

MATUA TE PO, MATUA
TE AO

MAI TE POUPOUTANGA A
TANE-NUI-A-RANGI

KA PUTA A NGARU
NUNUI, A NGARU
ROPOA,

KI TE WHAT AO, KI TE
AO MARAMA



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Privacy of natural persons

Privacy of natural persons

Privacy of natural persons

[Redacted text block]

Name	Privacy of natural persons
Role title	Privacy of natural persons
Key responsibilities	Privacy of natural persons
Experience	Privacy of natural persons

21. Please provide us with a project plan, where possible please attach a schedule (i.e. Gantt chart):

[Please use the following table to describe the activities of the project. Please use as many lines as it takes to provide us with the appropriate detail]

#	Project Activity	Responsible:	Date / Period:
1	Engage/contract Commercial Information to map site to fix areas for fencing ensuring requirements re. riparian fencing are complied with	Project Manager	Commercial Information
2	Purchase materials for fencing, spraying and fertilising to secure best pricing available	Project Manager	Commercial Information
3	Engage/contract Commercial Information to carry out bulldozing for fencing	Project Manager	Commercial Information
4	Engage/contract Commercial Information to commence gorse spraying	Project Manager	Commercial Information
5	Apply fertiliser [in-house]	Project Manager	Commercial Information
6	Engage/contract Commercial Information to undertake fencing	Project Manager	Commercial Information
7	All activities completed and final report to Provincial Growth Fund	Project Manager and Executive Officer	Commercial Information

22. Please provide a complete breakdown of the costs of the project:

[Note that figures in this table must align with other figures provided in Q11. Please use as many lines as it takes to provide us with the appropriate detail. If more suitable, please attach a budget spreadsheet to this application]

Cost Description:	\$ (excluding GST)
Mapping	\$ Commercial In
Fencing - materials	\$ Commercial Inform
Fencing – Labour[\$ ^{com} per metre]	\$ Commercial Inform
Fertiliser	\$ Commercial Inf
Fertiliser application [labour]	\$ Commercial Inform
Bulldozer Hire/Labour	\$ Commercial Inform
Spraying – materials/application via helicopter	\$ Commercial Informa
Project Management	\$ Commercial Inform
Project Administration & Accounting Management	\$ Commercial Inform
Contingency @ ^{Comme} %	\$ Commercial Inform
Total	\$ Commercial Informatio

23. Please provide a description of why Government funding is required to deliver this project?

[Specifically, please set out why the funding cannot be sourced from other sources (e.g. banks, investors)]

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. As such therefore, 'conventional' borrowing from mainstream lenders and the use of whenua as security is not an option available to the Trust.

24. What are the proposed deliverables if funding is approved?

[Please use the following table, consider what deliverables there will be, when, and whether there are any payments associated with them and against what criteria payment should be made. If it is a single deliverable with single payment, please just use one line]

#	Description	Payment criteria:	Invoice Value \$ (Exc. GST)	%	Invoice Date:
1	Initiation Payment	On execution of contract – covering purchase of materials, mapping costs and progress payment re. spraying. Project Management and admin allocation.	\$ Commercial Informatio	Comme %	Commercial Information Com
2	Progress Payment #1	Progress payment re. spraying, and fertiliser application. Project Management and admin allocation	\$ Commercial Informatio	Comme %	Commercial Information Com
3	Progress payment #2	Progress payment re. fencing and final payment re. spraying and fertiliser application. Project Management and admin allocation.	\$ Commercial Informatio	Comme %	Commercial Information Com
4	Final Payment	Completion of fencing. Project Management and admin allocation. Delivery of completion report to PGF.	\$ Commercial Inform	Comme %	Commercial Information Com
			\$	[]	Click here to enter a date.

25. Please provide an overview of the applicant’s relevant skills and experience for delivering a projects of this nature:

[We would like to understand your relevant experience to assist in delivering this project. In addition, any track record you may have delivering projects of a similar size, scope, or complexity, and how these projects will contribute to the future success of your business. Please use examples. If you do not have the experience, then please indicate how you will manage this risk.]

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[Redacted content]

26. Please explain the Governance arrangements for this project:

[We would like to know about how your organisation will govern the project/activity, as well as how other organisations may be involved (i.e. the Provincial Development Unit, local council, or iwi/hapū) in the governance procedures. Specifically please name who is on the governance board / leadership team]

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Uri o Te Ratana Te Urumingi and Heeni

Te Urumingi Ahu Whenua Trust

Heeni Investment Company Limited

Manager

Description of Organisational Chart

Descendants of Te Ratana Te Urumingi and Heeni are all descendants who whakapapa to our tupuna.

Te Urumingi Whanau Ahu Whenua Trust

Te Urumingi Whanau Trust was established in 1989 under section 438 (1) & (2) of the Maori Affairs Act 1953 and Trust was formed under an order made in the Maori Land Court on the 22 August 1989 by Judge Hoe Marumaru.

The Trust was formed to act as guardians for the block land at Pungarehu in Taranaki known as Section 89 Block VIII Cape Survey District. The block of land is approximately ^{Commercial} acres.

Commercial Information

Heeni Investment Company Limited

Business name	Heeni Investment Company Limited
Trading name	Heeni Investment Company Limited
Established	2 October 2001
Structure	Company
NZBN	9429036750788

Heeni Investment Company Limited was established in 2001 and incorporated under the companies Act 1993 on the 2nd of October 2001 to develop our economic wealth.

The directors and leadership team are:

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Their key roles are responsibilities are;

- To set the strategic direction of company, ensure accountability of all funds expended, monitor all work carried out by staff and to oversee the production of an annual business plan.
- To create and validate policy.
- Authority for finance, performance, staffing.
- Authority to administer surplus funds.
- Responsible for the reporting functions of Heeni Investment Company Ltd.
- Report back to the Trust
- Monitor project outcomes and ensure the outcomes are being achieved
- Monthly hui

27. What procurement process has been undertaken (i.e. selection of a provider), or will be undertaken, and how will that be managed? In addition, please provide a description of how greater public value will be achieved through the procurement process, for example by considering one or more of the following:

- How regional businesses (inc. Māori / Pacifica enterprises) will be provided with opportunities
- How skills will be developed in the market (i.e. via construction apprenticeships)
- How worker conditions in the supply chain will be improved / managed
- How waste / emissions are being reduced in this procurement.

For more information, please visit: <https://www.procurement.govt.nz/broader-outcomes/>

[We wish to understand how you will approach the market effectively and ensure value for money / public value is delivered, noting that public money is being utilised. If you have already been to market, then please describe who the supplier(s) are, how/why they were selected, and what the contractual arrangements are]

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[Redacted content]

Commercial Information

28. What risks are associated with the delivery of this activity?

#	Risk	Mitigation approach	Rating
1	External contract prices are inaccurate.	- Fixed quotes to be secured.	Low
2	Contracts are not completed on time.	- Project Manager will be monitor progress and meet with contractors on a regular basis to identify/manage any potential issues that may impact on their fulfilling their contractual obligations.	Low
3	Commercial Information Commercial Information	- Commercial Information Commercial Information	Low

		Commercial Information [Redacted]	
X		-	

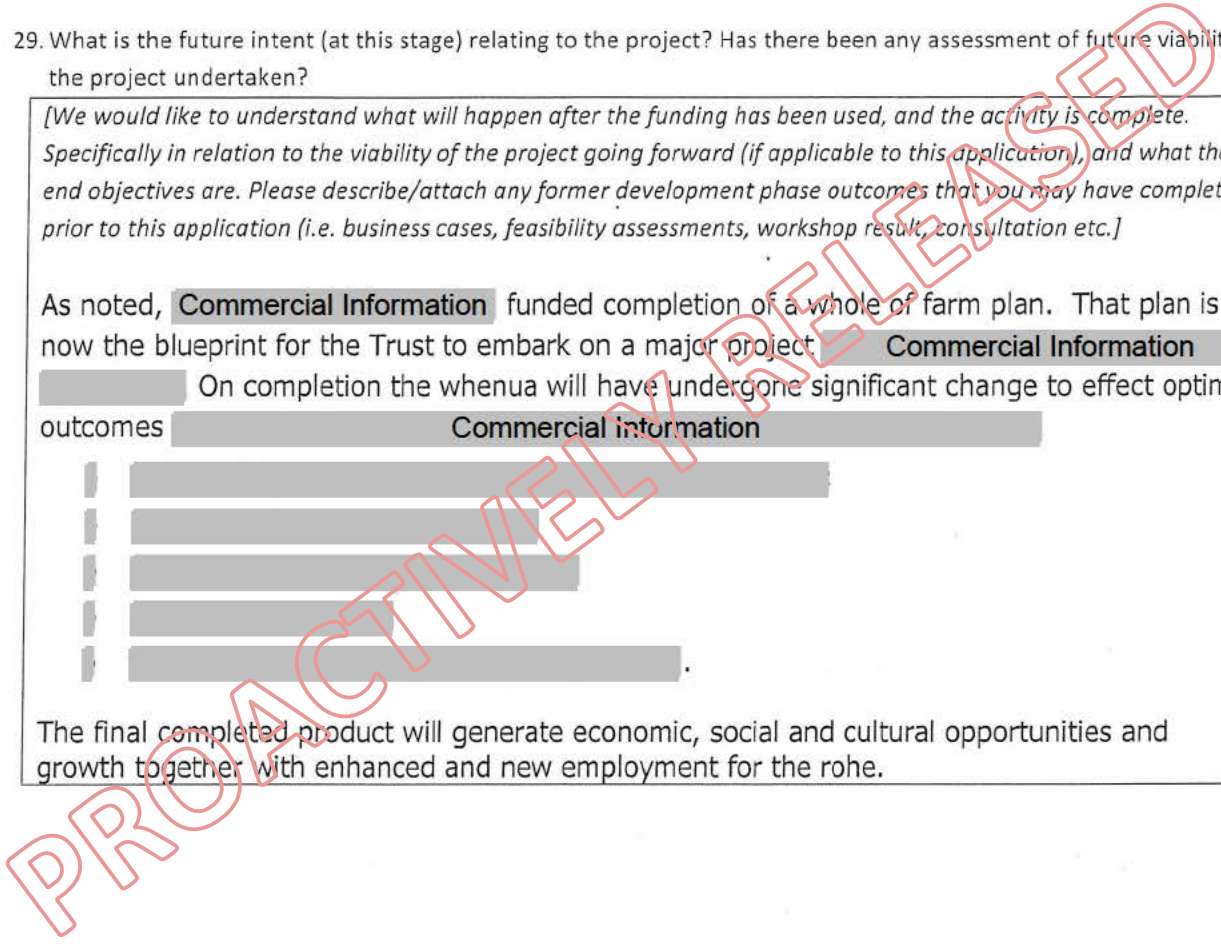
29. What is the future intent (at this stage) relating to the project? Has there been any assessment of future viability of the project undertaken?

[We would like to understand what will happen after the funding has been used, and the activity is complete. Specifically in relation to the viability of the project going forward (if applicable to this application), and what the end objectives are. Please describe/attach any former development phase outcomes that you may have completed prior to this application (i.e. business cases, feasibility assessments, workshop result, consultation etc.)]

As noted, **Commercial Information** funded completion of a whole of farm plan. That plan is now the blueprint for the Trust to embark on a major project **Commercial Information**
[Redacted] On completion the whenua will have undergone significant change to effect optimal outcomes **Commercial information**
[Redacted]

- [Redacted]
- [Redacted]
- [Redacted]
- [Redacted]
- [Redacted]

The final completed product will generate economic, social and cultural opportunities and growth together with enhanced and new employment for the rohe.



Part D: Declarations

Commercial Information

- 30. The contracting entity is compliant and will continue to comply with all applicable laws, regulations, rules and professional codes of conduct or practice including but not limited to health and safety and employment practices

- 31. Has this activity ever been declined Crown Funding in the past?

- 32. Has the applicant or the contracting entity ever been insolvent or subject to an insolvency action, administration or other legal proceedings?

- 33. Has any individual in the Project Team (including the Applicant's Leadership Team, directors, partners, or trustees, or any key members of the project) ever been insolvent or subject to an insolvency action, administration or other legal proceedings, or actively involved in any organisation which has?

- 34. Has any individual in the Project Team (including the Applicant's Leadership Team, directors, partners, or trustees, or any key members of the project) ever been adjudged bankrupt or is an undischarged bankrupt?

- 35. Has any individual in the Project Team (including the Applicant's Leadership Team, directors, partners, or trustees, or any key members of the project) ever been under investigation for, or been convicted of, any criminal offence?

- 36. Are there any actual, potential or perceived conflicts of interest that the applicant or any of the key personnel have in relation to this project.

"In a small country like ours, conflicts of interest in our working lives are natural and unavoidable. The existence of a conflict of interest does not necessarily mean that someone has done something wrong, and it need not cause problems. It just needs to be identified and managed carefully..."

<https://www.oag.govt.nz/2007/conflicts-public-entities>

If you answered "Yes" to any question from 31 to 36, please provide a description below:

By completing the details below, the applicant makes the following declarations about its application for Provincial Growth Fund funding for the project ("application"):

- I have read, understand and agree to the Terms and Conditions of applying for Provincial Growth Fund funding which are attached as Appendix 1;
- The statements in the application are true and the information provided is complete and correct, and there have been no misleading statements or omissions of any relevant facts nor any misrepresentations made;
- I have secured all appropriate authorisations to submit the application, to make the statements and to provide the information in the application;
- I have obtained the permission of each member of the Project Team to provide the information contained in this application and those individuals are aware of, and agree to, the Terms and Conditions of applying for Provincial Growth Fund funding which are attached as Appendix 1;
- I consent to this application being publically released if funding is approved. I have identified the commercially sensitive and personal information.
- The applicant warrants that it has no actual, potential or perceived conflict of interest (except any already declared in the application) in submitting the application, or entering into a contract to carry out the project. Where a conflict of interest arises during the application or assessment process, the applicant will report it immediately to the Provincial Development Unit by emailing PGF@mbie.govt.nz; and
- I understand that the falsification of information, supplying misleading information, or the suppression of material information in this application, may result in the application being eliminated from the assessment process and may be grounds for termination of any contract awarded as a result of this application process.
- The applicant consents to the Provincial Growth Fund undertaking due diligence including any third party checks as may be required to fully assess the application.

Privacy of natural person

Full name:

Privacy of natural per

Title / position:

Signature / eSignature:

Date:

11-Oct. 2017

Privacy of natural persons