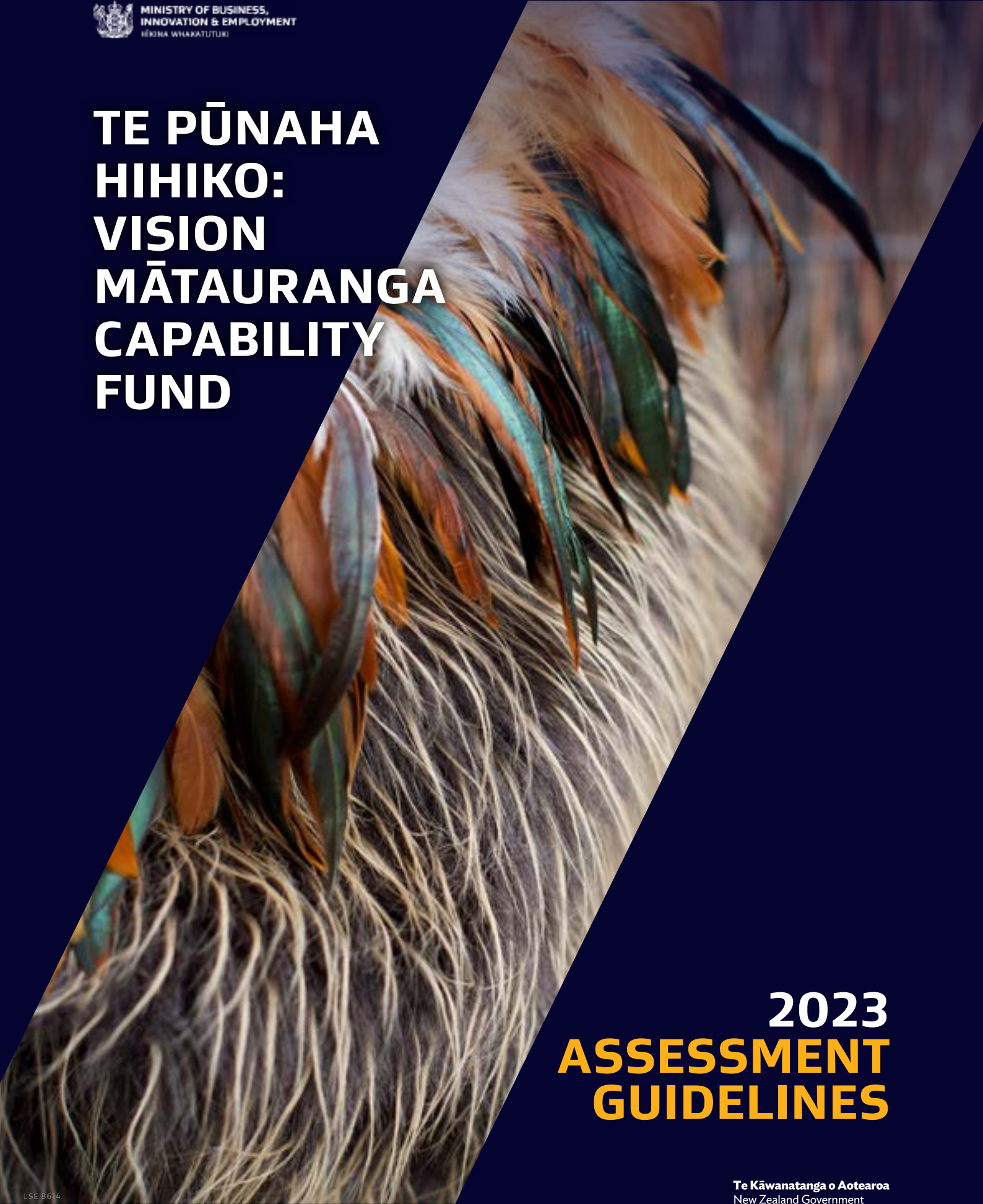




MINISTRY OF BUSINESS,
INNOVATION & EMPLOYMENT
HEKOMA WHAKATUTURI

TE PŪNAHA HIHIKO: VISION MĀTAURANGA CAPABILITY FUND



2023
ASSESSMENT
GUIDELINES

Te Kāwanatanga o Aotearoa
New Zealand Government



**MINISTRY OF BUSINESS,
INNOVATION & EMPLOYMENT**
HĪKINA WHAKATUTUKI

Ministry of Business, Innovation and Employment (MBIE) Hīkina Whakatutuki – Lifting to make successful

MBIE develops and delivers policy, services, advice and regulation to support economic growth and the prosperity and wellbeing of New Zealanders.

MORE INFORMATION

Information, examples and answers to your questions about the topics covered here can be found on our website: www.mbie.govt.nz or by calling us free on: **0800 693 778**.

DISCLAIMER

This document is a guide only. It should not be used as a substitute for legislation or legal advice. The Ministry of Business, Innovation and Employment is not responsible for the results of any actions taken on the basis of information in this document, or for any errors or omissions.

COVER IMAGE

Korowai (feather cloak).

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NGĀ KAUPAPA CONTENTS

MIHI	3
WHAKATAKI INTRODUCTION	4
NGĀ TŪRANGA ME NGĀ KAWENGA AROMATAWAI ASSESSMENT ROLES AND RESPONSIBILITIES	5
HĪKINA WHAKATUTUKI MBIE	5
KAIAROMATAWAI ASSESSOR	5
KAIAROMATAWAI MATUA LEAD ASSESSOR	6
HEAMANA O TE RŌPŪ AROMATAWAI ASSESSMENT PANEL CHAIR	6
MATATAPU ME TE TŪMATAITINGA CONFIDENTIALITY AND PRIVACY	6
TE TURE MŌHIOHIO ŌKAWA 1982 OFFICIAL INFORMATION ACT 1982	6
NGĀ TAKE WHAI-TAHARUA CONFLICTS OF INTEREST	7
TE TUKANGA AROMATAWAI THE ASSESSMENT PROCESS	8
NGĀ RANGI MATUA KEY DATES	8
WĀ KA WHAKAPAU TIME COMMITMENT	8
WHAKAMĀRAMA MĀ TE KAIAROMATAWAI ASSESSOR BRIEFING	9
TE TOHANGA O NGĀ KAUPAPA KI NGĀ KAIAROMATAWAI ALLOCATION OF PROPOSALS TO ASSESSORS	9
PĒHEA, ĀHEA HOPU AI I NGĀ WHIRIWHIRINGA HOW AND WHEN ASSESSMENTS ARE RECORDED	9
HUI A TE RŌPŪ AROMATAWAI ASSESSMENT PANEL MEETING	10
NGĀ TŪTOHUTANGA ME NGĀ WHAKATAUNGA PŪTEA FUNDING RECOMMENDATIONS AND DECISIONS	10
TE WHAKAHAERE AROMATAWAI PERFORMING ASSESSMENTS	11
NGĀ UPANE AROMATAWAI THE ASSESSMENT STEPS	11
TUMANAKOTANGA INVESTMENT OBJECTIVES	13
HE WAWATA MĀTAURANGA VISION MĀTAURANGA POLICY	14
NGĀ TUHINGA TOHUTORO MATUA KEY REFERENCE DOCUMENTS	14
ARATOHU PAEARU AROMATAWAI ME TE TATAU ASSESSMENT CRITERIA AND SCORING GUIDE	15
TE TIROTIRO I NGĀ TONO I TAUTAPATIA ME TE HOPU I NGĀ AROMATAWAI VIEWING ASSIGNED PROPOSALS AND RECORDING ASSESSMENTS	17
TE URUNGA KI IMS ACCESSING IMS	17
WHAKAAE/WHAKAKĀHORE I NGĀ TONO KUA TAUTAPATIA ACCEPTING/DECLINING ASSIGNED PROPOSALS	18
TE TIROTIRO ME TE TĀ I NGĀ TONO KUA TAUTAPATIA VIEWING AND PRINTING ASSIGNED PROPOSALS	19
TE HOPU AROMATAWAI RECORDING ASSESSMENTS	20
TE TIROTIRO I TE TŪNGA O Ō AROMATAWAI CHECKING THE STATUS OF YOUR ASSESSMENTS	22
TE WHAKAHOU I Ō TAIPITOPITO IMS UPDATING YOUR IMS DETAILS	22

Mā te rongō ka mōhio	<i>Through perception comes awareness</i>
Mā te mōhio ka mārama	<i>Through awareness comes understanding</i>
Mā te mārama ka mātau	<i>Through understanding comes knowledge</i>
Mā te mātau ka ora	<i>Through knowledge comes well-being</i>

MIHI

Tēnā koutou

Thank you for agreeing to assess proposals submitted for funding from Te Pūnaha Hihiko: Vision Mātauranga Capability Fund 2023 investment round.

Te Pūnaha Hihiko: Vision Mātauranga Capability Fund invests in activities that strengthen capability and networks between Māori organisations and the science and innovation system, to deliver benefit to Aotearoa. These guidelines detail what is involved in the assessment of proposals and the role that you will play in that process. Informed assessment of proposals is a critical aspect of the investment process, as it forms the basis of our (The Ministry of Business, Innovation and Employment's (MBIE's)) funding recommendations.

We have selected you and other Assessors based on your knowledge and experience. You have not been selected as a 'representative' of a particular organisation or sector. The names of all Assessors and their affiliated organisations are published on our website.

The experience and expertise that you bring to the investment process is greatly appreciated. Thank you for supporting our science investment processes.

Ko te tūmanako he āwhina i roto nei.
Nā mātou o Hīkina Whakatutuki ki a koutou.

Te Pūnaha means system or group: the connection point; careful consideration

Hihiko means to be inspired, to be switched on and willing

Mātauranga in the Fund's name means knowledge in a broad sense compared to the more specific mātauranga Māori

WHAKATAKI INTRODUCTION

As an Assessor, you are critical in helping us identify the proposals that have the greatest potential to deliver on the New Zealand Government’s investment goals for Te Pūnaha Hihiko: Vision Mātauranga Capability Fund (the Fund). The Fund has been operating since 2013 and this is the eleventh investment round.

These guidelines describe the assessment process; the roles involved and provide guidance on how to record your assessments in our Investment Management System (IMS) – a secure online portal.

We recommend that you read this document in conjunction with the 2023 Te Pūnaha Hihiko: Vision Mātauranga Capability Fund Call for Proposals and other relevant information on our [Te Pūnaha Hihiko: Vision Mātauranga Capability Fund webpages](#).

If you have any questions, feel free to contact us:

Email Proposal queries: vmcf@mbie.govt.nz

IMS queries: imssupport@mbie.govt.nz

Phone 0800 693 778 (Monday to Friday, 8:30am to 4:30pm)

You can also [subscribe](#) to our Alert e-newsletter.

NGĀ TŪRANGA ME NGĀ KAWENGA AROMATAWAI ASSESSMENT ROLES AND RESPONSIBILITIES

Assessment of Te Pūnaha Hihiko: Vision Mātauranga Capability Fund proposals submitted for funding in the 2023 investment round is performed by a panel of Assessors. Each member assesses a selection of proposals and attends an Assessment Panel meeting during which the preliminary scores and comments are reviewed, and proposals are scored and ranked. These rankings are then reported to us.

We will publish the names and a short biography of each Assessor on our website.

The following outlines the key roles and responsibilities involved in the assessment process.

HĪKINA WHAKATUTUKI MBIE

Our key role in the assessment process is to:

- check eligibility
- assign proposals for assessment
- address conflicts of interest
- notify applicants of funding decisions and providing feedback on request.

KAIAROMATAWAI ASSESSOR

Your role as an Assessor is to assess the Fund's proposals submitted for funding in the 2023 investment round. Your key responsibilities include:

- participating in an Assessor briefing
- declaring any conflicts of interest and adhering to our confidentiality and privacy policies
- reading and assessing assigned proposals, allocating assessment scores that reflect your assessments (*using a 7-point scoring system against the assessment criteria*) and then recording your scores and supporting comments in IMS
- each member assesses a selection of proposals and attends an Assessment Panel meeting in Wellington, or virtually via video conference, where the preliminary scores and comments are reviewed, proposals are scored and ranked, and advice is provided on the assessment results (note that the Assessment Panel does not have delegated authority to make investment decisions)
- taking collective ownership of Assessment Panel results and advice to us.

KAIAROMATAWAI MATUA LEAD ASSESSOR

In addition to the responsibilities of an Assessor, a Lead Assessor is also responsible for introducing and leading the discussion on a selection of proposals at the Assessment Panel meeting.

HEAMANA O TE RŌPŪ AROMATAWAI ASSESSMENT PANEL CHAIR

The Assessment Panel Chair must:

- prioritise the discussion of the Panel meeting taking into account the preliminary ranked assessment scores and any advice from Assessors
- identify and take appropriate action over conflicts of interest
- allow time for informed discussion and equitable decision-making for proposals and mediate views if required
- ensure that the Assessment Panel follows the scoring system and provides sound advice
- ensure that the Assessment Panel develops appropriate commentary on proposals to inform funding recommendations and to enable appropriate feedback to applicants
- provide an Assessment Panel report to us.

MATATAPU ME TE TŪMATAITINGA CONFIDENTIALITY AND PRIVACY

To ensure confidentiality, you must:

- ensure the safekeeping of all proposals and related documents (*e.g., workbooks, notes, etc.*)
- destroy any remaining documentation (*or return it to MBIE*) at the end of the assessment process
- not correspond with or discuss the contents or assessment of any proposal with the applicant or any other party. If an applicant contacts you about a proposal:
 - direct the applicant to us vmcf@mbie.govt.nz, and
 - email us vmcf@mbie.govt.nz with the details of your contact.
- not use any confidential information for any purpose other than assessment.

You must agree to adhere to our confidentiality and privacy policies which apply to all personal information collected by us in IMS before you can view your assigned proposals.

TE TURE MŌHIOHIO ŌKAWA 1982 OFFICIAL INFORMATION ACT 1982

Proposals and their assessments are confidential. Note however that we are subject to the Official Information Act 1982 therefore information relating to an assessment may be released if we are requested to do so under the Act.

NGĀ TAKE WHAI-TAHARUA CONFLICTS OF INTEREST

We follow a rigorous process to maintain the credibility of investment decisions and to assure applicants that their proposals are fairly and reasonably appraised.

Before starting to assess, you must check your list of assigned proposals for any conflicts of interest and either accept or decline the assignments as appropriate (*see page 18 for details on how to do this*).

He Aha te Whakamārama mō te Take Whai-Taharua? What is Considered a Conflict of Interest?

Conflicts of interest may occur on two levels: direct and indirect.

- A **direct** conflict of interest would be deemed if you are either:
 - directly involved with a proposal (*as a participant, manager, mentor, or partner*) or you have a close personal relationship with the applicant, for example, family members
 - a collaborator or in some other way involved with an applicant's proposal.
- An **indirect** conflict of interest would be deemed if you are either:
 - employed by an organisation involved in a proposal but are not part of the applicant's proposal
 - have a personal and/or professional relationship with one of the applicants, for example an acquaintance
 - assessing a proposal that may compete with your business interests.

If you are from a University or Crown Research Institute or another large organisation, you may assist in the assessment of a proposal from that institution providing you have no direct or limited indirect interest in the proposal. If in doubt, declare and discuss with us.

Te Pūrongo i Ngā Take Whai-Taharua Kua Kitea Reporting Identified Conflicts

You must declare all conflicts of interest to us.

If you identify a direct conflict with a proposal that has been assigned to you, you must decline the assignment. If you identify an indirect conflict, email us at vmcf@mbie.govt.nz with the details for further discussion before accepting or declining the assignment.

Te Whakarite i Ngā Take Whai-Taharua i te Wā Hui Ai te Rōpū Whiriwhiri Handling Conflicts of Interest During the Assessment Panel Meeting

If there is a **direct conflict of interest** with a proposal about to be discussed during the Panel meeting, declare the conflict to the Chair. You will be required to leave the room during the ensuing assessment discussion.

The actions required for a declared **indirect conflict of interest** is at the discretion of the Assessment Panel Chair; this could be to:

- leave the room
- stay but remain silent unless asked to respond to a direct question
- contribute to the assessment of the proposal.

Should the Assessment Panel Chair declare a conflict of interest with a proposal, a Deputy Chair will be appointed for the ensuing assessment discussion.

All conflict of interest declarations and resulting Assessor actions during the Panel meeting will be recorded.

TE TUKANGA AROMATAWAI THE ASSESSMENT PROCESS

The key dates and an overview of the assessment process follows.

NGĀ RANGI MATUA KEY DATES

October 2022.....	Assessor briefing
November 2022.....	Proposals assigned
By 12 noon, 16 December 2022.....	Assessments and scores and comments recorded in IMS
15 - 16 February 2023.....	Assessment Panel meeting
March 2023.....	Funding decisions announced

All dates are NZ Standard Time.

WĀ KA WHAKAPAU TIME COMMITMENT

We envisage your involvement in the assessment process to be as follows.

UP TO:	TO:
Half a day	<ul style="list-style-type: none"> Read through guidelines and background documents and participate in an Assessor briefing
3 days	<ul style="list-style-type: none"> Read your assigned proposals (estimated at 1 - 2 hours per proposal), assign scores and enter those scores with comments in IMS
2.5 days	<ul style="list-style-type: none"> Attend the Assessment Panel meeting and complete follow up report writing

WHAKAMĀRAMA MĀ TE KAIAROMATAWAI

ASSESSOR BRIEFING

Prior to performing assessments, Assessors are required to attend a one hour briefing session virtually via video conference. The purpose of this session is to familiarise Assessors with the:

- assessment tools and resources available, including the assessment scoring guides
- content of Te Pūnaha Hihiko: Vision Mātauranga Capability Fund Call for Proposals for the 2023 Investment Round including the structure of the application form and the assessment criteria.

The date of this briefing and further information will be sent to attendees separately.

TE TOHANGA O NGĀ KAUPAPA KI NGĀ

KAIAROMATAWAI ALLOCATION OF PROPOSALS TO ASSESSORS

Proposals that meet the eligibility criteria are assigned to Assessors in the absence of any potential conflict of interest.

In November 2022, we will inform you of your assigned proposals and confirm your role for each proposal (Assessor versus Lead Assessor) by email.

You use IMS to:

1. Log on to view your assignments, identify and notify MBIE of any conflicts of interest and then accept or decline your assignments accordingly.

Do this early so proposals can be reassigned.

Your role (Assessor or Lead Assessor) will be clearly defined against each assigned proposal.

2. Open (*download and/or print*) all assigned, accepted proposals.

Refer to page 17 for further instruction on IMS

PĒHEA, ĀHEA HOPU AI I NGĀ WHIRIWHIRINGA

HOW AND WHEN ASSESSMENTS ARE RECORDED

Your assessment scores and comments are recorded in IMS. This must occur on or before by **12 noon, 16 December 2022**.

HUI A TE RŌPŪ AROMATAWAI ASSESSMENT PANEL MEETING

The Assessment Panel meets in Wellington, or remotely via video conference on **15 and 16 February 2023** to discuss the preliminary assessment scores and supporting comments and agree consensus scores and comments.

We record the discussion, the Panel's assessment, including the consensus scores comments and any other recommendations from the Panel e.g., recommended conditions for contracting.

Further information will be sent to panel members separately.

NGĀ TŪTOHUTANGA ME NGĀ WHAKATAUNGA PŪTEA FUNDING RECOMMENDATIONS AND DECISIONS

Informed by the Assessment Panel, MBIE's Deputy Secretary, Labour, Science and Enterprise will make the final investment decisions on which proposals will be funded and may:

- set pre-contractual conditions which must be met before the investment is contracted
- set special conditions in addition to the general terms and conditions set out in Te Pūnaha Hihiko: Vision Mātauranga Capability Fund Investment Contract
- vary the proposed term of a proposal, either by setting a different term to that proposed or by stage-gating the investment, for example by requiring certain conditions to be met at a point in the term, before the proposal is funded for the full term applied for
- vary the funding allocated from that proposed and require performance indicators to be negotiated to our satisfaction to reflect the changed funding.

We will advise the proposal's contact person of the funding decisions in **March 2023**. Decisions will be announced on our website, via a press release and/or by the Ministers for Research, Science, and Innovation and Māori Development.

TE WHAKAHAERE AROMATAWAI

PERFORMING ASSESSMENTS

The following details further the steps for an Assessor in the assessment of funding proposals. Your assessment is important as it helps inform the funding decisions.

NGĀ UPANE AROMATAWAI THE ASSESSMENT STEPS

1. Read and understand the Government’s investment aims and objectives for Te Pūnaha Hihiko: Vision Mātauranga Capability Fund, and the assessment criteria and the scoring guide for the Fund.

The scoring guide and the points to note for each assessment criteria provide a common interpretation to clarify and help ensure consistency. Use the same scoring guide to assess eligible Connect and Placement Scheme proposals. Read these guidelines in conjunction with the Fund’s Call for Proposals 2023.

2. Accept (or decline) assigned proposals.

Accept your assigned proposals or decline if you deem a conflict of interest exists (*see page 18 for details*).

3. Read assigned proposals (*see page 19 for details*).

4. Select an assessment score and record associated commentary.

Only assess the information presented in the proposal. Applicants are obliged to present the relevant information therefore if a proposal has obvious gaps, reflect this in your score and detail the significant issues in your comments. Independently score each proposal using the assessment scoring guide (*see pages 15 and 16*). For each Excellence and Impact assessment criterion:

- Select a score ranging from **1** (*low quality*) to **7** (*high quality*) from the scoring grid that best matches your assessment **AND** how well the proposal would deliver on the Government’s investment aims and objectives for Te Pūnaha Hihiko: Vision Mātauranga Capability Fund. While sections of the proposal may align with the assessment criteria, evaluate the proposal **as a whole** before finalising your assessment.
- Record your assessment scores in IMS (*see page 20*).

Provide an overall commentary on why you selected those scores. **If the score is below 3 or above 5, your comments should include specific deficiencies or merits.**

Assessors are not required to apply the assessment criteria weightings to scores as this will be done by us during the panel meeting.

Ensure that your comments are accurate, professional, honest, and correlate to the score and description associated with the scoring guide. Do not include names and be mindful that:

- if requested to do so, they may be made available under the Official Information Act.
- word limits for comments may apply; these display clearly bottom right of each **Comment** field in IMS.

Exercise your knowledge, judgement and expertise to reach clear and soundly based assessments that are fair, objective and evidence-based.

Be **wary of 'drift' in your scoring**. It is common for scoring to change as Assessors gain experience with the assessment process. If scoring has drifted, you may want to review your scores and comments.

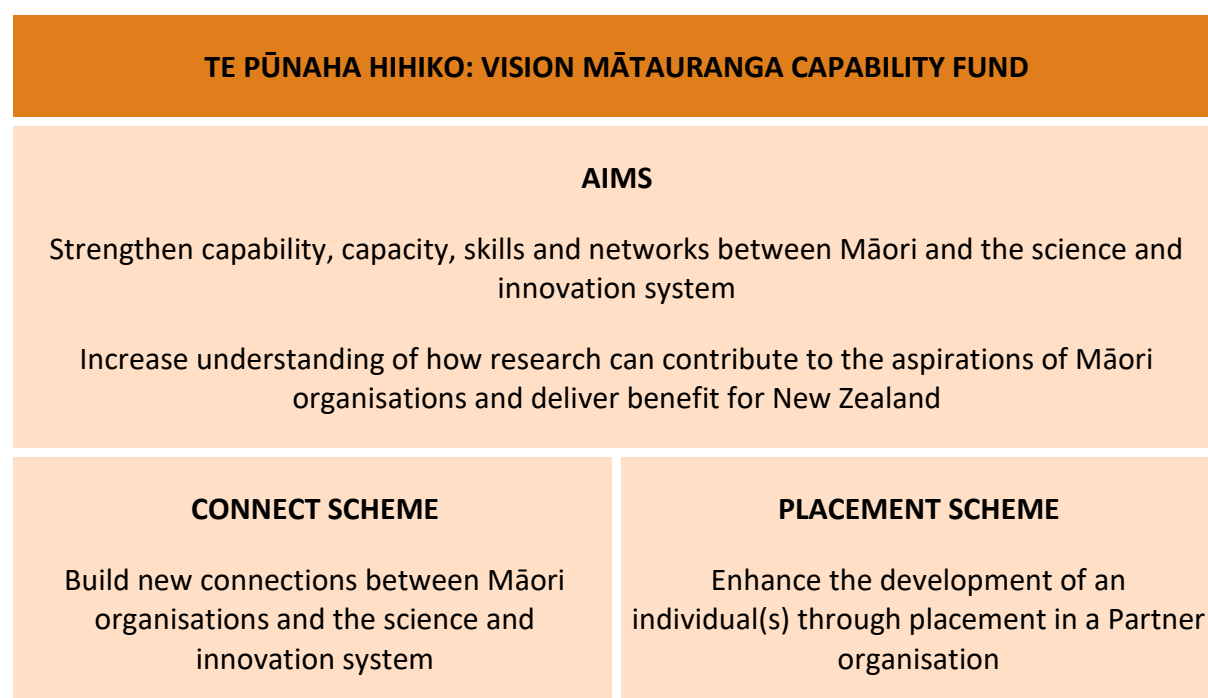
5. **Destroy (or return to us) all proposals and supporting documentation when the assessment process is complete.**

TUMANAKOTANGA INVESTMENT OBJECTIVES

The investment objectives for Te Pūnaha Hihiko: Vision Mātauranga Capability Fund are:

- For Māori organisations (research users that identify themselves as Māori), to:
 - build Māori research users' understanding of what research can do for them, including contributing to economic, social and environmental goals
 - increase the uptake and application of research results by Māori research users
 - build research capability, capacity, and skills in Māori organisations and **new** partnerships with Research organisations.
- For individual researchers and Research organisations (*including Māori researchers and Research organisations*), to:
 - improve their understanding of mātauranga Māori research
 - build research capability relevant to Vision Mātauranga themes and outcomes, and **new** partnerships with Māori organisations.
- For the science and innovation system, to:
 - identify effective ways to transfer knowledge between Māori and researchers
 - increase Māori organisation connections and collaborations with individual researchers and Research organisations
 - strengthen and increase networks of researchers, Research organisations and Māori organisations that will encourage and support each other
 - explore and develop **new** opportunities to undertake research that contribute to the Vision Mātauranga policy themes and outcomes.

MBIE invests funding across two schemes; the **Connect** scheme and the **Placement** scheme.



HE WAWATA MĀTAURANGA VISION MĀTAURANGA POLICY

The purpose of the [Vision Mātauranga policy](#) is to provide strategic direction for government’s investments in science and innovation, focusing on four themes:

1. **Indigenous innovation** – Contributing to economic growth through distinctive science and innovation
2. **Taiao** – Achieving environmental sustainability through iwi and hapū relationships with land and sea
3. **Hauora/Oranga** – Improving health and social wellbeing
4. **Mātauranga** – Exploring indigenous knowledge and science and innovation.

“To unlock the science and innovation potential of Māori knowledge, resources, and people to assist New Zealanders to create a better future”

The Vision Mātauranga mission statement

NGĀ TUHINGA TOHUTORO MATUA KEY REFERENCE DOCUMENTS

Before you start your assessments, we encourage you to consult these following key reference documents:

- Te Pūnaha Hihiko: Vision Mātauranga Capability Fund Call for Proposals for the 2023 Investment Round
- Te Pūnaha Hihiko: Vision Mātauranga Capability Fund Investment Plan (*published in 2023*): the Government’s strategy for investment from Te Pūnaha Hihiko
- The [Vision Mātauranga Policy](#) outlines the Government’s policy framework that aims to unlock the innovation potential of Māori knowledge, resources and people to assist New Zealanders to create a better future.

See [Te Pūnaha Hihiko: Vision Mātauranga Capability Fund webpages](#) on our website for a full list of reference documents.

ARATOHU PAEARU AROMATAWAI ME TE TATAU ASSESSMENT

CRITERIA AND SCORING GUIDE

For each Excellence criterion, select a score ranging from 1 (low quality) to 7 (high quality) from the scoring grid that best matches your assessment AND how well the proposal would deliver on the Government’s investment aims and objectives for the Fund. While certain sections of proposals specifically align with the assessment criteria, evaluate the proposal as a whole before finalising your assessment.

EXCELLENCE ASSESSMENT CRITERION	SCORE & KEYWORDS						
	1 <i>(Low quality)</i> None Insufficient Not relevant No information Missing	2 <i>Vague</i> Unclear Unlikely Dubious Little relevance	3 <i>Limited</i> Minimum - acceptable level Uncertainty Lacks detail	4 <i>Acceptable</i> Sufficient Adequate Suitable	5 <i>Significant</i> Clear Multiple High level Robust	6 <i>Certain</i> Enduring Effective Comprehensive Strong Experience	7 <i>(High quality)</i> Excellent Exemplary Detailed Impressive
When assessing the following criterion, consider how the proposal addresses the following questions.							
DEVELOPMENT OF PEOPLE, RELATIONSHIPS, AND SKILLS (25% Weighting) Key Question: To what extent are longer-term skills, capability, networks, relationships, and research opportunities likely to emerge and be sustained from the proposed work programme? When assessing this question, consider: <ul style="list-style-type: none"> Will the proposal go beyond ‘business as usual’ for the organisations involved? Will the proposal lead to the formation of capability, networks, and relationships, for example the formation of a new partnership between a Māori organisation and a Research organisation? To what extent will the work programme substantially develop capability and skill of the individuals involved? Will this new partnership lead to long-term collaboration and benefits beyond the term of the proposed work programme? 	Little or no relevant information has been provided in the proposal. For example, the proposal does not outline any plans to develop skills or capability.	The information provided in the proposal is unclear or not supported. For example, plans to develop skills are vague or unlikely.	Some useful information is provided, however significant gaps and lack of detail remain. For example, plans to develop skills have been outlined but there is some uncertainty about their effectiveness.	Solid information is provided, however there is room for further information and evidence to improve the proposal. For example, plans to develop skills are adequate.	Clear, succinct information is provided, delivering a solid proposal with relevant evidence. For example, plans to develop skills are well outlined and robust.	Significant information is provided and is backed up by relevant and effective evidence. For example, it is certain that skills will be developed, and the plans are comprehensive.	The proposal is exemplary, excellent, comprehensive, and well explained and detailed in all respects. For example, excellent skills will be developed and will provide a step-change.
ABILITY TO DELIVER (25% Weighting) Key Question: What is the likelihood that the proposed outputs of the work programme will be achieved? When assessing this question, consider: <ul style="list-style-type: none"> Does the team have the appropriate mandate to conduct this work? Are all involved parties appropriately resourced and supported? Will the plan for carrying out the proposed work programme achieve the intended outcomes and capability development? How does the calibre, experience, and skills of the team relate to the subject area(s) proposed for the work programme? Is the work programme appropriately resourced, including management, mentoring and support arrangements? Have challenges to delivery been identified and mitigated? 	Little or no relevant information has been provided. For example, there’s little or no information about the work programme. Significant challenges in the project have not been identified or addressed.	The information provided is unclear or not supported. For example, work programme details are missing or unclear. There are challenges in the project that have not been identified or addressed.	Some useful information is provided, however significant gaps and lack of detail remain. For example, work programme details are provided. There’s some uncertainty around challenges and mitigations.	Solid information is provided, however there’s room for further information and evidence. For example, work programme details are sufficient but further explanation could be provided. Identification of challenges and mitigations are adequate.	Clear, succinct information is provided, with relevant evidence. For example, the work programme is well developed. Key challenges and mitigations are clearly identified.	Significant information is provided and backed up by relevant and effective evidence. For example, the project plan is comprehensive and addresses challenges well.	The proposal is exemplary and detailed in all respects. For example, the work programme is impressive and will clearly achieve its intended outcomes. Identification of challenges and mitigation is excellent.

For each Impact criterion, select a score ranging from 1 (low quality) to 7 (high quality) from the scoring grid that best matches your assessment AND how well the proposal would deliver on the Government’s investment aims and objectives for the Fund. While certain sections of proposals specifically align with the assessment criteria, evaluate the proposal as a whole before finalising your assessment.

IMPACT ASSESSMENT CRITERION	SCORE & KEYWORDS						
	1 <i>(Low quality)</i> None Insufficient Not relevant No information Missing	2 Vague Unclear Unlikely Dubious Little relevance	3 <i>Limited</i> Minimum - acceptable level Uncertainty Lacks detail	4 <i>Acceptable</i> Sufficient Adequate Suitable	5 <i>Significant</i> Clear Multiple High level Robust	6 <i>Certain</i> Enduring Effective Comprehensive Strong Experience	7 <i>(High quality)</i> Excellent Exemplary Detailed Impressive
<p>When assessing the following criterion, consider how the proposal addresses the following questions.</p>							
<p>VISION MĀTAURANGA OUTCOMES (25% Weighting)</p> <p>Key Question: To what extent does the proposal support the Vision Mātauranga policy and support unlocking the science and innovation potential of Māori knowledge, resources and people for the benefit of New Zealand?</p> <p>When assessing this question, consider:</p> <ul style="list-style-type: none"> Do the expected benefits align with the aspirations of the Māori organisation? Will the capability and leadership of Māori researchers be developed? Will the project outcomes be disseminated to participating or wider Māori groups? How does the proposal address the Vision Mātauranga theme(s) selected? Will the proposal integrate science and technology and mātauranga Māori? Will the proposal result in ‘distinctive’ or ‘innovative’ deliverables? 	<p>Little or no relevant information has been provided in the proposal.</p> <p>For example, there is insufficient information to assess the feasibility, or the project does not deliver Vision Mātauranga outcomes.</p>	<p>The information provided in the proposal is unclear or not supported.</p> <p>For example, the work programme is unlikely to deliver Vision Mātauranga outcomes.</p>	<p>Some useful information is provided, however significant gaps and lack of detail remain.</p> <p>For example, the work programme will deliver limited Vision Mātauranga outcomes.</p>	<p>Solid information is provided, however there is room for further information and evidence to improve the proposal.</p> <p>For example, the work programme will deliver adequate Vision Mātauranga outcomes.</p>	<p>Clear, succinct information is provided, delivering a solid proposal with relevant evidence.</p> <p>For example, the work programme will deliver clear Vision Mātauranga outcomes.</p>	<p>Comprehensive information is provided. The proposal may deliver enduring impacts and is backed up by relevant and effective evidence.</p> <p>For example, the work programme is certain to deliver Vision Mātauranga outcomes.</p>	<p>Excellent information is provided, delivering an exemplary proposal. A high level of confidence/ certainty exists that this proposal will successfully deliver on its outcomes.</p> <p>For example, the work programme will deliver excellent Vision Mātauranga outcomes that are substantial and represent a step-change.</p>
<p>BENEFITS TO RESEARCH, SCIENCE AND TECHNOLOGY (25% Weighting)</p> <p>Key Question: How will the increased capability, capacity, skills and networks benefit the Research, Science and Technology (RS&T) sector, and achieve the objectives and outcomes of the Vision Mātauranga policy?</p> <p>When assessing this question, consider:</p> <ul style="list-style-type: none"> Will the proposal increase skills, capacity, capability, and networks between Māori and the science and innovation system? Will the project increase understanding of how RS&T can contribute to the aspirations of Māori organisations? Will the project generate future RS&T relevant to the Vision Mātauranga policy? 	<p>Little or no relevant information has been provided.</p> <p>For example, insufficient information is provided, or no benefits to RS&T will be achieved.</p>	<p>The information provided is unclear or not supported.</p> <p>For example, benefits to RS&T are unclear or unlikely.</p>	<p>Some useful information is provided, however significant gaps and lack of detail remain.</p> <p>For example, benefits to RS&T are uncertain or limited.</p>	<p>Solid information is provided, however there is room for further information and evidence to improve the proposal.</p> <p>For example, benefits to RS&T are adequate.</p>	<p>Clear, succinct information is provided, delivering a solid proposal with relevant evidence.</p> <p>For example, benefits to RS&T are adequate.</p>	<p>Comprehensive information is provided. The proposal may deliver enduring impacts and is backed up by relevant and effective evidence.</p> <p>For example, benefits to RS&T are certain and will be enduring.</p>	<p>Excellent information is provided, delivering an exemplary proposal. A high level of confidence/ certainty exists that this proposal will successfully deliver on its outcomes.</p> <p>For example, benefits to RS&T are excellent and will result in a step-change.</p>

TE TIROTIRO I NGĀ TONO I TAUTAPATIA ME TE HOPU I NGĀ AROMATAWAI **VIEWING ASSIGNED PROPOSALS AND RECORDING ASSESSMENTS**

This section details how to access the proposals assigned to you for assessment and how to record your assessment. Both actions are performed in IMS.

For all IMS queries, email imssupport@mbie.govt.nz or call 0800 693 778 (Monday to Friday, 8:30am to 4:30pm).

TE URUNGA KI IMS **ACCESSING IMS**

► Log in to IMS

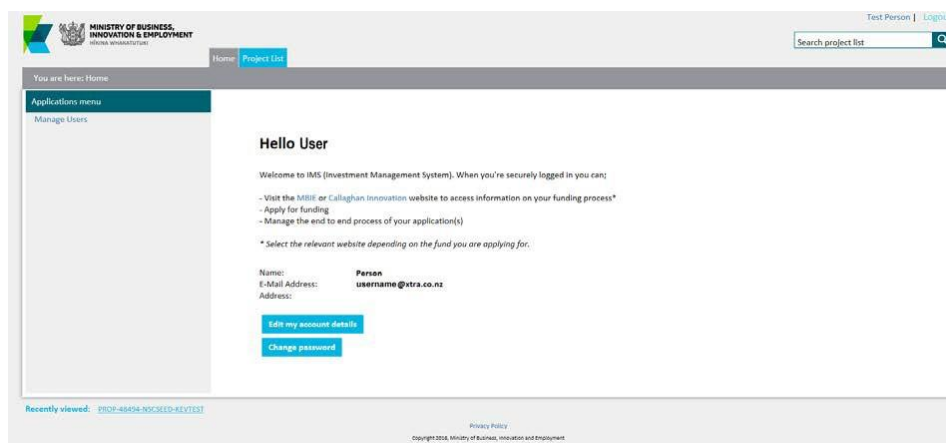
Five or more failed log in attempts will automatically lock you out of the system. If this occurs, contact MBIE and ask for your account to be unlocked.

For first time Assessors:

1. You will receive an email containing your portal username and a temporary password. Click the **Portal** link in this email. The IMS access agreement displays. This agreement details the terms and conditions governing the use of IMS.

Your temporary password will expire in 72 hours and can only be used once. The access agreement will only appear once, the first time you log in.

2. Read and accept this agreement. Once accepted, an **Edit password** screen displays.
3. Following the on-screen prompts, enter your temporary password and then enter a new permanent one.
4. Click the **Save Changes** button. The IMS **Home** tab displays.




For existing Assessors:

1. Click the **MBIE IMS Portal** link (ims.msi.govt.nz).
2. Type your Username and Password.
3. Click the **Login Securely** button. The IMS **Home** tab displays.

► Logout of IMS

Do one of the following:

1. Click the **Logout** hyperlink (located top right of every tab).
2. Click the  (where **UN** is your initials) located top right of assessment **Scoring** page.

WHAKAAE/WHAKAKĀHORE I NGĀ TONO KUA TAUTAPATIA ACCEPTING/DECLINING ASSIGNED PROPOSALS

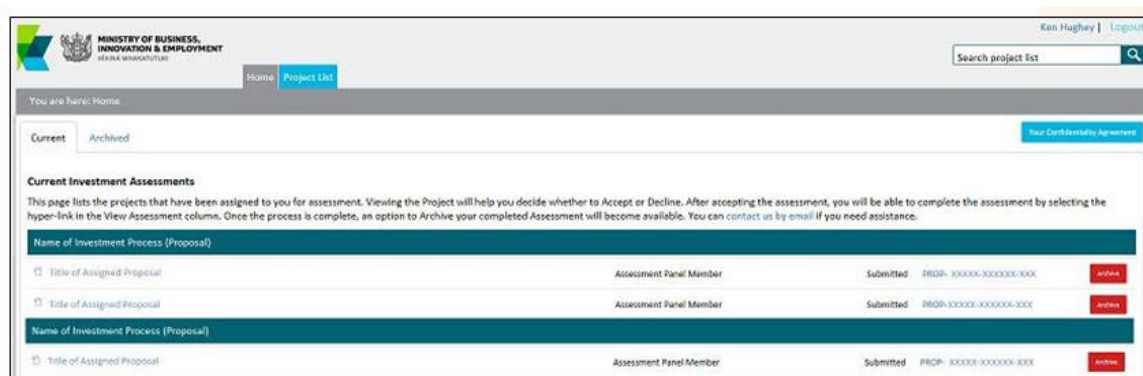
Proposals will be assigned to you for preliminary assessment in November 2022. Additional proposals may be assigned to you after this period as a result of adjustments required due to any declared conflict of interests.

► To accept (or decline) an assignment:

1. On the **Home** tab, click the **Investment Assessment** link > **Current** tab.
2. Read and accept the confidentiality agreement. This agreement details the **terms and conditions** governing the assessment process.

This agreement will only display when you first access your list of assigned proposals if this is the first time you have been engaged by us to perform assessments. You can revisit this agreement at any stage by clicking the **Your Confidentiality Agreement** button located top right of the **Investment Assessment** link > **Current** tab.

Once accepted, the list of all proposals assigned to you displays.



Name of Investment Process (Proposal)	Title of Assigned Proposal	Assessment Panel Member	Submitted	PROP#	View Project
	Title of Assigned Proposal	Assessment Panel Member	Submitted	PROP-XXXXXXXX-XXXX	View Project
	Title of Assigned Proposal	Assessment Panel Member	Submitted	PROP-XXXXXXXX-XXXX	View Project
	Title of Assigned Proposal	Assessment Panel Member	Submitted	PROP-XXXXXXXX-XXXX	View Project

3. Scroll down the list to see your assignments.

The proposals assigned to you are listed under the **View Project** column, grouped by investment process. If you have performed assessments in the past, your new assignments will be at the top of the list under the heading **2023 Vision Mātauranga Capability Fund (Proposal)**.

4. For the first proposal listed, click the link under **View Project**. A summary of the proposal opens in a new browser tab.
5. If after reading the proposal summary:
 - You deem a **direct conflict of interest exists**:
 1. Select the browser tab displaying IMS.
 2. Click the **Decline** button adjacent to the proposal.
 3. In the resulting comment box, enter the reason and click the **Save** button. The declined proposal is automatically removed from your assigned list.
 4. Close the summary.
 - You deem an **indirect conflict of interest** exists, close the proposal's summary, and email us at ymcf@mbie.govt.nz to discuss further.
 - There is **no conflict of interest**, close the summary and click the **Accept** button adjacent to the proposal. The assessment is allocated an **In progress** status.
6. Repeat the above steps for all the proposals in your list.

TE TIROTIRO ME TE TĀ I NGĀ TONO KUA TAUTAPATIA VIEWING AND PRINTING ASSIGNED PROPOSALS

► To view and print a proposal:

1. Access the **Home** tab > **Investment Assessment** page > **Current** tab.
2. Click a proposal's **View Project** link. The full proposal (*in PDF form*) displays in a separate browser tab.
3. From here you can view, print and if required download the proposal to your computer to enable access without having to be logged into IMS.

The proposal's identification number is prominently displayed in the header of the proposal. Proposals must be kept confidential. You **must**:

- ensure the safe keeping of all proposals and related documents (*e.g., workbooks and notes, etc.*) during the assessment process.
- securely destroy all saved/printed proposals (*or return to us*) after the assessment process is completed.

TE HOPU AROMATAWAI RECORDING ASSESSMENTS

Your assessments are due on or before **12 noon, 16 December 2022**.

► To record your assessments:

1. Access the **Home** tab > **Investment Assessment** page > **Current** tab.
2. Click a proposal's **View Assessment** link. A **Scoring** page opens in a separate browser tab.

You can view and print the proposal by clicking the printer icon next to the proposal's number at the top left of the **Navigation** panel.

You must:

- ensure the safe keeping of all proposals and related documents, *e.g., workbooks, notes, etc.*
- securely destroy all saved/printed proposals (*or return to us*) once the assessment process is complete.

3. Enter your assessment scores and comments **into the relevant fields**. The areas you are required to respond to are detailed in the **Navigation** panel on the left-hand side of the screen. You can either scroll down the page to view and enter all fields or click on a link in the panel for direct access.

Your entries are automatically saved at regular intervals.

A **Save and Undo** panel displays (bottom right of the screen) every time an automatic save occurs at which time you are given the opportunity to **Undo** the changes if necessary.

Ensure that your comments are accurate, professional, honest, and correlate to the score and description associated with the scoring guide. Do not include names and be mindful that if requested to do so, they may be made available under the Official Information Act.

Respond only to what you have been asked to assess.

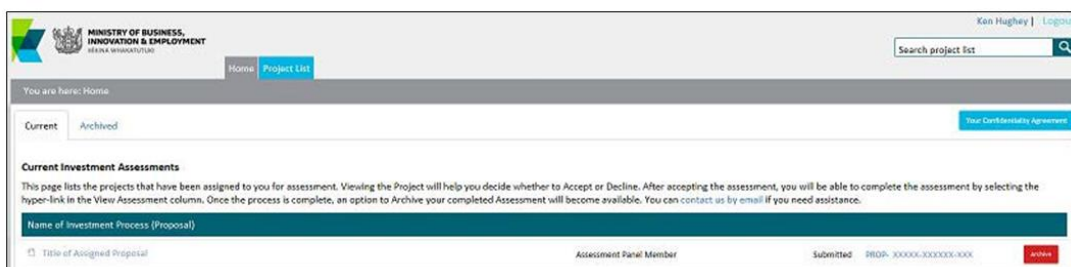
4. When your assessment is complete (you have recorded your assessment rating and comment(s) into all of the fields), click the **Submit** button.
5. A summary dialog displays with your assessment. If your recorded answers are satisfactory to you, click the **Save** button and then the **Submit** button. If not, click the **Back** button to return to the **Scoring** page and modify your assessment.

The **Submit** button is not active until all fields are complete.
Once submitted, the proposal is automatically assigned the status **Submitted**.

6. Close the browser tab displaying the **Scoring** page and return to the tab displaying your list of assignments.

You may need to refresh the browser page to display the change in status.

7. For the proposal you have just scored, click the **Archive** button. The proposal is automatically removed from your list of assignments.





View all archived assignments on the **Archived** tab. You can retrieve the proposal at any stage by clicking the **Unarchive** button.

Remember to securely destroy all saved/printed proposals after the assessment process is completed.

TE TIROTIRO I TE TŪNGA O Ō AROMATAWAI CHECKING THE STATUS OF YOUR ASSESSMENTS

Check the completeness of your assessment of a proposal by looking at the left-hand Navigation panel

THE COLOUR BAR	INDICATES YOU HAVE
	Recorded a response
	Yet to respond

You can also click the mouse at the top of the **Scoring** page to view a Progress bar.

TE WHAKAHOU I Ō TAIPITOPITO IMS UPDATING YOUR IMS DETAILS


From the **Home** screen in IMS, you can maintain your details as and when required.

► To view and/or update your details:

1. Click the **Edit My Assessor Profile** button on the **Home** tab.
2. Update your details as required and click **Save**.

► To change your password:

1. Click the **Change password** button on the **Home** tab.
2. Enter your new password and click **Save**.

You can also access these details by clicking  button (where UN is your initials) located top right of assessment scoring pages.



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