



# Application for Funding

## About this form

This form enables you to make an application for funding under any of the three investment tiers for the Provincial Growth Fund:

- Regional Projects and Capability: Under \$1 million;
- Sector Investments: Between \$1 - \$20 million; and
- Enabling infrastructure projects: Over \$20 million.

The information you provide will help us to assess and evaluate eligible projects and investment opportunities.

## Next steps

We will review your application to further test suitability and risk and to make a decision on suitability for funding. We will be in contact where further information is required and we may provide you advice and support (i.e. from regional advisors) where necessary throughout this next stage of the process.

## Instructions

Please complete the 'Application Form' section below, which is compulsory. In addition, please complete the parts of this application form that are relevant to your proposal. If the answer box is not big enough, please attach a document that provides the answer/s when you submit the form.

Please provide as much detail as you are able to, as appropriate to the size and complexity of your proposal.

If compulsory or relevant information is missing, this may slow down the application process as we will need to contact you.

You can find the terms and conditions of applying for Provincial Growth Fund investment in **Appendix 1**. You must agree to those terms and conditions as part of submitting this application.

We also attach a copy of the Eligibility and Assessment criteria in **Appendix 2** to provide further context.

## Submitting your application

Please email your completed form to [PGF@mbie.govt.nz](mailto:PGF@mbie.govt.nz).

## A. Application Form (*Compulsory section*)

1. Proposal Name:

Ngati Rangī & Corrections Manuka Oil Feasibility Study

2. Please provide the name of applicant organisation/entity:

Ngati Rangī Ahuwhenua Trust & Department of Corrections (Ngawha)

3. What is the physical address of the applicant's organisation?

SH12 Ohaeawai Rd, Ngawha, Kaikohe 0405 & PO Box 463, Kaikohe 0405

4. Please provide the contact details for the applicant's organisation (*including a specific person as a point of contact*):

**Privacy of natural persons** Dept of Corrections) & **Privacy of natural persons** Ngati Rangī Ahuwhenua Trust)



5. Please describe the principal role or activity of the applicant organisation.

A joint application between the two organisations as partners to undertake a feasibility study into developing a business ecosystem based on manuka and kanuka projects.

Department of Corrections runs a Corrections Facility in Ngawha. The Ngati Rangi Ahuwhenua Trust is responsible for managing land held under the Trust for the beneficiaries of the Trust.

6. Please provide a brief summary description of the project, including its location, purpose and history.

A feasibility project to investigate creating a business ecosystem based on manuka and kanuka products. The feasibility study will utilise experts in the manuka industry to provide information about the viability of setting up plant to extract manuka oil on site.

7. Please describe (or re-affirm) which industry or sector/s the proposal is relevant to.

The manuka oil industry leading to local economic development, contributing to social, cultural and environmental benefits. Economically, this could contribute to improved use of Maori owned land for a large area around Ngawha and Kaikohe, into the Hokianga.

8. In what location/region/s is the proposal to be based? What is the name of the relevant District as detailed on the Local Government New Zealand website: <http://www.lgnz.co.nz/nzs-local-government/new-zealands-councils/>

Ngawha / Kaikohe  
Far North District

9. Please provide full names of the project's leadership team, including your chief executive, directors and trustees (as appropriate).

Privacy of natural persons Ngati Rangi Ahuwhenua Trust

Privacy of natural persons - Northland Regional Corrections Facility

10. If different from the applicant, who would be the 'contracting party' with the Crown under any successful contract?  
*Please provide the full name.*

Boffa Miskell Privacy of natural persons

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11. Are there any other Partners within this project? (i.e construction, design or other significant partners).  
If so, please provide their names.

Boffa Miskell Privacy of natural persons

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Far North Holdings Privacy of natural persons - liaison for the Industrial Park development, a possible site for the distillation plant.

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12. Is the applicant or the contracting entity insolvent or subject to any insolvency action, administration or other legal proceedings?

No

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13. Is any individual involved in the application, the proposed contracting entity or the project an undischarged bankrupt?

No

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14. Is any individual under investigation for, or has any individual been convicted of, any offence that has a bearing on the operation of the project?

Comments

No

15. Conflicts of Interest: Please detail any actual, potential or perceived conflict of interest that the applicant(s) or any of the key personnel have in relation to this project.

*"In a small country like ours, conflicts of interest in our working lives are natural and unavoidable. The existence of a conflict of interest does not necessarily mean that someone has done something wrong, and it need not cause problems. It just needs to be identified and managed carefully..."*

<https://www.oag.govt.nz/2007/conflicts-public-entities>

Comments

Privacy of natural persons

## B. Strategic Case and Regional Alignment

1. How does the project propose to act as a catalyst to lift the productivity potential of the region where the project is based?

Underutilised Maori owned land is a significant issue for communities around Ngawha-Kaikohe. Improving the utilisation of underutilised Maori land will lift the productivity of the Ngawha-Kaikohe area.

Providing training and employment opportunities in the manuka oil industry will improve productivity through improved long term employment pathways for the local community but also provide sustainable career pathways for Corrections to reduce recidivism.

2. How does the project align with the objectives of the Provincial Growth Fund:
- More permanent jobs;
  - Benefits to the community and different groups in the community;
  - Increased use and returns for Māori from their asset base (where applicable).

Deliver community benefits: With quality data available from this feasibility study for community, Trustees and Corrections, stakeholders will be able to move forward with plans to improve training and employment opportunities for those living locally.

Lift the productivity of the region/contribute to increasing jobs: to make better use of unproductive land in Northland, particularly Maori land, through the development of sustainable land uses. Manuka and kanuka are an existing resource on unproductive land, as well as being a desirable plantation option. These plantations do not require expensive infrastructure, water or resources to develop and meet Government priorities for reducing environmental impacts of primary production. The business will provide a sustainable mechanism for releasing the income potential of unproductive land to improve economic outcomes for the community, without compromising kaitiakitanga principles.

This project aligns with the objectives of the Regional Growth Programme:

- Will provide information and data that will enable decisions to be made that will contribute to economic growth and increase employment opportunities.
- This is an investment in regional NZ, in an area that has several economic opportunities that could be realised upon the completion of a feasibility study.

3. Please provide a detailed description of the project, including the objectives and business need.

The objective is to undertake a feasibility study for a sustainable regional business using available natural resources, local infrastructure and facilities to produce marketable manuka/kanuka products and links directly to qualifications and training programmes. The feasibility study is focused primarily on the first stage pilot, while retaining a view to the future larger opportunities of a business ecosystem based on products of manuka and kanuka.

Work will leverage the research already completed, to maximise detail covered in the limited time available. While initial focus is on manuka and kanuka oil, potential future diversification into **Commercial Information**

The approach will include [see attached project scope]:

- Document Structure: establish study scope and key parameters.
- Collate Information (desktop): populate the feasibility study content, research will be undertaken and collated to provide preparatory material for the interviews to be conducted.
- Interviews: solicit objective guidance on the potential and pitfalls associated with the proposal including industry members
- In-depth Analysis: undertake an in-depth analysis of specific matters salient to the project.
- Document Preparation & Graphic Design.

4. Please provide a description of how the project aligns to the Government's additionality objective under the Provincial Growth Fund (including infrastructure, Māori assets/development, sustainability, investment tiers and regional development plans).

Improve the use of Maori assets: This project will enable Maori Land Owners and Corrections to improve the productivity of their land by investigating the feasibility of creating a manuka oil industry.

Sustainability use natural assets: This feasibility project will provide options for the sustainable use of natural assets including existing manuka, kanuka and assessment of the addition of kumerahou, kawakawa and exotics.

Links to the Tai Tokerau Northland Economic Action Plan:

- Unlock Maori land for improved productivity
- Develop the forestry and wood processing sectors
- Unlock the potential of Maori Forestry innovations and aspirations
- Improve pathways to employment and employability of our people

This project will meet some of the outcomes in all of the following strategies:

- He Kai Kei Aku Ringa
- Whanau Ora
- Crown/Maori Relations

5. What benefits will the region get from the Crown's investment?

A feasibility study will provide information about the viability of setting up a plant to distill manuka oil on site and will include three integrated cornerstones:

- reducing recidivism through sustainable employment pathways, training and community support;
- supporting the Northland economic and community development through collaborative business models;
- utilising sustainable Northland resources as the basis for beneficial environmental outcomes form business development.

6. Please describe the current state of the proposal, and why the project has not been done before.

Over the last 12 months the Ngati Rangi Ahuwhenua Trust have been going through governance changes which have resulted in new elections and an effective 'Board' structure. The relationship with Corrections is long standing, but until recently, joint economic projects have not been explored.

7. Please provide a description, and evidence where applicable, of any local support for the project either through existing regional development mechanisms, or another relevant body, such as a council, iwi or other representative group (or reason for any lack of support).

In developing this feasibility proposal the following have been part of the process or have been consulted: Northland Regional Council – Privacy of natural persons Far North Holdings – Privacy of natural persons Far North District Council – Privacy of natural persons and Te Puni Kōkiri. Discussions and updates on this project have also been provided to Northland Inc operations.

This project is a partnership between the local hapu, Ngati Rangi and a Crown facility (Corrections - Ngawha Prison).

Objectives to include a wide radius of land owners has been shared with other hapu, community, Commercial Information and **Commercial Information**

To date, all are in support of a feasibility being undertaken.

8. Please provide a description of any consultation required.

Nil - all consultation for the feasibility project to be undertaken is complete. Some Stakeholders will be included further in the investigative stages of the feasibility study.

9. Please demonstrate how this project will fit in with wider assets or infrastructure, projects and benefits in the region.

This project will provide options for the physical plant to be established and will consider **Commercial Information** as a possible site.

In addition the project to investigate the restoration of the level of Lake Omapere links to this feasibility as water will be required to run the distillation plant.

10. Has the project been discussed with a regional economic development governance group? If so, what was the outcome of the discussion?

This project has been discussed with Northland Inc who have committed support through a staff member to the proposed working group. In addition water storage is part of the Tai Tokerau Northland Economic Action Plan.

11. Please provide evidence of Iwi consultation.

The proposal is from mana whenua, hapu of the area in partnership with Corrections (Ngawha Prison)

12. Please provide evidence of compliance with international obligations (where relevant).

N/A

### C. Project costs, economics and benefits

1. Please provide details of the wider benefits, over and above those described in the above Strategic Case and Regional Alignment section.

This project is part of a wider 'Ngawha-Kaikohē' group of projects including;

- Development of the Ngawha Industrial Park.
- Feasibility study into the restoration of the level of Lake Omapere.
- The Ngawha Springs redevelopment.

2. Please provide a detailed breakdown of the benefits that will be enabled by the delivery of this project and the timeframes in which those benefits will be achieved/realised.

A six week project that will provide:

- A feasibility study for a sustainable regional business using available natural resources, local infrastructure and facilities to produce marketable manuka/kanuka products, and links directly to qualifications and training programmes.

4. Please provide a cost breakdown covering the following:

- Total project cost breakdown (including contingency);
- Total funding sought from the Provincial Growth Fund;
- Type of funding sought (i.e grant, loan or other);
- Description and breakdown of funding sought from elsewhere (approached/approved/declined) and what funding has been committed;
- Details of ongoing costs and financial viability;
- Required timing of costs; and
- Maintenance costs and funding sources

Total cost is \$<sup>Commercial Info</sup> plus disbursements of \$<sup>Commercial</sup> and GST. Total funding requested of \$<sup>Commercial Inform</sup> including GST. A quote / estimate from <sup>Commercial Information</sup> has been obtained and is attached.

Corrections have committed funding of \$<sup>Commercial Info</sup> including GST.

Balance requested from the Provincial Growth Fund is \$<sup>Commercial Info</sup> including GST.

Project timeframe of <sup>Com</sup> weeks from funding approval.



5. Please provide a demonstration of the impact the project will have on the applicant's balance sheet.

N/A Feasibility only - Commercial Information to be contracted.

6. Please provide a demonstration of how you will ensure that your project represents good value for money.

Presently, the Ngati Rangī Ahu Whenua land of NUMBER HERE ha. is only utilised for honey, this just covers the annual rates cost. It is anticipated this feasibility will provide information that will enable both Ngati Rangī and Corrections to make decisions to progress the establishment of a manuka oil industry for the mid-north.

7. If applicable, is there a financial model, financial forecasts, or a Cost Benefit Analysis which can be provided?  
*(If so, please attach to this application.)*

N/A Feasibility only

**D. Project Plan**

1. Please provide a project management plan covering the following:
  - Delivery methodology
  - Roles and responsibilities (including who will be managing/delivering the project and key contractors)
  - Timeline
  - Procurement
  - Constraints and dependencies
  - Risks associated with project
  - Risk management methodology
  - Governance arrangements (including using existing credible local and community input, funding, commercial and non-commercial partners)
  - Project delivery gates
  - Exit gates and stop/go points.

<small>Comms</small>	week feasibility project.	<small>Commercial Information</small>	scope/estimate attached.
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2. Please provide any feasibility assessment which has been conducted for the project.

This is a feasibility assessment application.
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3. Please provide details of the key risks associated with the project, as well as how they will be managed.

There are no key risks associated with this feasibility study.
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4. Please provide detail of any alternative project delivery options which have been considered and ruled out.

Nil

5. Has the project plan been independently tested, or developed with assistance of a project management professional?  
If so, by whom?

Detailed project plan will be developed at the conclusion of this feasibility study.

**E. Commercial Viability**

1. Please provide an overview of the applicant's track record in delivering projects of this nature.

Commercial Information have delivered on a number of projects including the Manuka / Kanuka Plantation Guide April 2017, author  
Privacy of natural persons Privacy of natural persons has been approached to undertake this feasibility study.

2. Please provide any demand analysis (customers and growth/utilisation forecasts) which has been conducted.

N/A

3. Please describe how the market has been, or will be, tested and engaged (if required) to assist in the delivery of this project.

The market will be tested through the feasibility study and information will be provided for decision making around project viability.

4. Please describe what will happen upon delivery of the project, including the maintenance plan and plan for ownership of the asset.

Feasibility Study only. The results of the study will be shared with community and stakeholders through a carefully managed communication plan.

5. Please describe how the project will be sustainable beyond the term of the Provincial Growth Fund investment.

Feasibility study only.

6. Please outline why Crown funding is required?

The Ngati Rangi Ahuwhenua Trust do not have the financial means to fund this feasibility study. Corrections have contributed \$<sup>Commercial Info</sup> plus GST to the cost of the feasibility.

**F. Declaration by lead applicant**

By completing the details below, the applicant makes the following declaration about its application for PGF funding for the

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project ("application"):

- A. I have read, understand and agree to the Terms and Conditions of applying for PGF funding which are attached as Appendix 1;
- B. The statements in the application are true and the information provided is complete and correct and there have been no misleading statements or omissions of any relevant facts nor any misrepresentations made;
- C. I have secured all appropriate authorisations to submit the application, to make the statements and to provide the information in the application;
- D. The applicant warrants that it has no actual, potential or perceived conflict of interest (except any already declared in the application) in submitting the application, or entering into a contract to carry out the project. Where a conflict of interest arises during the application or assessment process, the applicant will report it immediately to the PGF by emailing PGF@mbie.govt.nz; and
- E. I understand that the falsification of information, supplying misleading information or the suppression of material information in this application may result in the application being eliminated from the assessment process and may be grounds for termination of any contract awarded as a result of this application process.

Signature:

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Full name:

Privacy of natural persons

Date:

Title / position:

Privacy of natural persons

Name of applicant organisation:

Ngati Rangi Ahu Whenua Trust & Northland Corrections Facility

## Appendix 1 - Terms and Conditions of applying for the Provincial Growth Fund

### General

The terms and conditions are non-negotiable and do not require a response. Each applicant that submits a request for Provincial Growth Fund (“PGF”) funding (each an “application”) will be deemed to have agreed to these terms and conditions without reservation or variation.

The Provincial Growth Fund is a government initiative which is administered by the Provincial Development Unit, a unit within the Ministry of Business, Innovation and Employment. Any reference to the Provincial Development Unit in these terms and conditions, is a reference to MBIE on behalf of the Crown.

### Reliance by Provincial Development Unit

The Provincial Development Unit may rely upon all statements made by any applicant in an application and in correspondence or negotiations with the Provincial Development Unit or its representatives. If an application is approved for funding, any such statements may be included in the contract.

Each applicant must ensure all information provided to the Provincial Development Unit is complete and accurate. The Provincial Development Unit is under no obligation to check any application for errors, omissions, or inaccuracies. Each applicant will notify the Provincial Development Unit promptly upon becoming aware of any errors, omissions, or inaccuracies in its application or in any additional information provided by the applicant.

### Ownership and intellectual property

Ownership of the intellectual property rights in an application does not pass to the Provincial Development Unit. However, in submitting an application, each applicant grants the Provincial Development Unit a non-exclusive, transferable, perpetual licence to use, disclose, and copy its application for any purpose related to the PGF application process. Any application or documentation supplied by you to the Provincial Development Unit will become the property of the Provincial Development Unit and may not be returned to you.

By submitting an application, each applicant warrants that the provision of that information to the Provincial Development Unit, and the use of it by the Provincial Development Unit for the evaluation of the application and for any resulting negotiation, will not breach any third-party intellectual property rights.

### Confidentiality

The Provincial Development Unit is bound by the *Official Information Act* 1982 (“OIA”), the Privacy Act 1993, parliamentary and constitutional convention and any other obligations imposed by law. While the Provincial Development Unit intends to treat your information as confidential, the information can be requested by third parties and the Provincial Development Unit must provide that information if required by law. If the Provincial Development Unit receives an OIA request that relates to your confidential information, where possible, the Provincial Development Unit will consult with you and may ask you to advise whether the information is considered by you to be confidential or commercially sensitive, and if so, to explain why.

The Provincial Development Unit may disclose any application and any related documents or information provided by the applicant, to any person who is directly involved in the PGF application and assessment process on its behalf including the Independent Advisory Panel (“IAP”), officers, employees, consultants, contractors and professional advisors of the Provincial Development Unit or of any government agency. The disclosed information will only be used for the purpose of participating in the PGF application and assessment process, which will include carrying out due diligence.

### Limitation of Advice

Any advice given by the Provincial Development Unit, any other government agency, their officers, employees, advisers, other representatives, or the IAP about the content of your application does not commit the decision maker (it may be Senior Regional Officials, Ministers or Cabinet depending on the level of funding requested and the nature of the project) to make a decision about your application.

This limitation includes individual members of the IAP. The IAP’s recommendations and advice are made by the IAP in its formal sessions and any views expressed by individual members of the IAP outside of these do not commit the IAP to make any recommendation.

### No contractual obligations created

No contract or other legal obligations arise between the Provincial Development Unit and any applicant out of, or in relation to, the application and assessment process, until a formal written contract (if any) is signed by both the Provincial Development Unit and a successful applicant.

### No process contract

The PGF application and assessment process does not legally oblige or otherwise commit the Provincial Development Unit to proceed with that process or to assess any particular applicant’s application or enter into any negotiations or contractual arrangements with any applicant. For the avoidance of doubt, this application and assessment process does not give rise to a process contract.

### **Costs and expenses**

The Provincial Development Unit is not responsible for any costs or expenses incurred by you in the preparation of an application.

### **Exclusion of liability**

Neither the Provincial Development Unit or any other government agency, nor their officers, employees, advisers or other representatives, nor the IAP or its members will be liable (in contract or tort, including negligence, or otherwise) for any direct or indirect damage, expense, loss or cost (including legal costs) incurred or suffered by any applicant, its affiliates or other person in connection with this application and assessment process, including without limitation:

- a) the assessment process
- b) the preparation of any application
- c) any investigations of or by any applicant
- d) concluding any contract
- e) the acceptance or rejection of any application, or
- g) any information given or not given to any applicant(s).

By participating in this application and assessment process, each applicant waives any rights that it may have to make any claim against the Provincial Development Unit. To the extent that legal relations between the Provincial Development Unit and any applicant cannot be excluded as a matter of law, the liability of the Provincial Development Unit is limited to \$1.

Nothing contained or implied in or arising out of the PGF documentation or any other communications to any applicant shall be construed as legal, financial, or other advice of any kind.

### **Inducements**

You must not directly or indirectly provide any form of inducement or reward to any IAP member, officer, employee, advisor, or other representative of the Provincial Development Unit or any other government agency in connection with this application and assessment process.

### **Governing law and jurisdiction**

The PGF application and assessment process will be construed according to, and governed by, New Zealand law and you agree to submit to the exclusive jurisdiction of New Zealand courts in any dispute concerning your application.

### **Public statements**

The Provincial Development Unit or any other government agency, or any relevant Minister, may make public the following information:

- the name of the applicant(s)
- the application title
- a high-level description of the proposed project/activity
- the total amount of funding and the period of time for which funding has been approved
- the region and/or sector to which the project relates

The Provincial Development Unit asks applicants not to release any media statement or other information relating to the submission or approval of any application to any public medium without prior agreement of the Provincial Development Unit.

## Appendix 2 - Proposed operational criteria for all tiers of the Fund

### *Link to Fund and government outcomes*

- Demonstrate the ways in which the project will contribute to lifting the productivity potential of the region
- Demonstrate how the project contributes to the Fund's objectives of:
  - more permanent jobs
  - benefits to the community and different groups in the community
  - increased utilisation and returns for Māori from their asset base (where applicable)
  - sustainability of natural assets (e.g. water, soil integrity, the health and ecological functioning of natural habitats)
  - mitigating or adapting to climate change effects, including transitioning to a low emissions economy
- Clear evidence of public benefits (i.e. benefits other than increased profitability for the applicant)
- Are in a Government priority region or sector

### **Additionality**

- Project is not already underway, does not involve maintenance of core infrastructure or assets (except for rail and transport resilience initiatives), and does not cover activities the applicant is already funded for (funding could be considered to increase the scale of existing projects or re-start stalled projects)
- Demonstrated benefit of central Government investment or support
- Detail of any supporting third party funding (and any funding sought unsuccessfully)
- Acts as a catalyst to unlock a region's productivity potential
- Demonstrated links to other tiers of the Fund and related projects, to maximise value of Government investment

### **Connected to regional stakeholders and frameworks**

- Evidence of relevant regional and local support, either through existing regional development mechanisms, or through another relevant body such as a council, iwi or other representative group (or reasons for any lack of local support)
- Has been raised and discussed with the region's economic development governance group
- Alignment with, or support for the outcomes of, any relevant regional development plan, Māori development strategy or similar document (whether regional or national)
- Demonstrated improvement in regional connectedness (within and between regions)
- Leverage credible local and community input, funding, commercial and non-commercial partners
- Utilise existing local, regional or iwi/Māori governance mechanisms

### **Governance, risk management and project execution**

- Evidence of robust project governance, risk identification/management and decision-making systems and an implementation plan appropriate to the size, scale and nature of the project
- Future ownership options for capital projects, including responsibility for maintenance, further development, and other relevant matters
- Benefits and risks clearly identified and quantified, depending on the scale of the initiative
- Evidence of potential exit gates and stop/go points, and a clear exit strategy
- Clearly identifies whole of life costs (capital and operating)
- Dependencies with other related projects are identified
- Evidence of sustainability after conclusion of PGF funding
- Adequacy of asset management capability (for capital projects)
- Compliance with international obligations (where relevant)