



Application for Funding Projects



About this form

This form enables you to make an application for funding over \$100,000 from the Provincial Growth Fund for applications relating to the delivery of projects.

You will need to use the Express Form if your application is for an activity under \$100,000 or the Development Phase Form if your application is within the Development phase of a Project (i.e. feasibility / business case).

These forms are available on the [Provincial Growth Fund website](#)

Purpose of the Provincial Growth Fund

The Provincial Growth Fund aims to lift productivity potential in the provinces. Its priorities are to enhance economic development opportunities, create sustainable jobs, enable Māori to reach their full potential, boost social inclusion and participation, build resilient communities, and help meet New Zealand's climate change targets.

Completing this form

Please complete all sections fully and accurately. Square brackets and italics indicate guides.

Please see the PGF website, or contact your regional relationship manager, for further support. If you are applying on behalf of a number of parties, you need their consent to submit this application. You can add other applicants during the application process. You will be the point of contact for this application, but you must give us all information about all applicants.

Submitting your application

All completed forms must be emailed to PGF@mbie.govt.nz with a clear subject included.

If you are a Trust (or applying on behalf of a Trust), then you must provide a copy of your Trust Deed.

Next Steps

Applications will be assessed for eligibility, as well as how well they will deliver on the aims of the Provincial Growth Fund. One of our team will be in contact regarding your application.

Funding Agreement

The template funding agreements can be found on the [Provincial Growth Fund website](#)

Public disclosure

The Provincial Development Unit is responsible for leading the Provincial Growth Fund's design, administration and monitoring its operation in consultation with other government agencies. In the interests of public transparency, successful applications may be published by the Provincial Development Unit. Commercially sensitive and personal information will be redacted by reference to the provisions of the Official Information Act 1982. Please identify by highlighting any information in your application that you regard as commercially sensitive or as personal information for the purposes of the Privacy Act 1993.

Part A: Key Details

Please note that if the funding agreement will not be held with the applicant (i.e. applying on behalf of another organisation), then we require the details of that organisation.

1. Proposal Title: Development of Matahi Forest, Te Waimana Kaaku Trust on behalf of Ngā Hapū/Marae o Te Waimana

2. Please provide a very brief description of the project/activity:

Te Waimana Kaaku Trust is seeking a grant from the PGF, Whenua Maori Allocation, to:

1. Implement the Matahi Forest Silviculture Programme to remediate whenua, enabling higher levels of production and commerce to take place.

Commercial Information

There was limited investment in roading infrastructure, along with basic forestry management processes. Pruning and thinning was not completed, nor were harvested blocks replanted. The remaining trees are largely sub-standard, many are oversized and up to 42 years old, on steep rough terrain and not worth logging even under more favorable circumstances.

The carbon credits attached to the land have previously been sold effectively locking the land into forestry for the foreseeable future and depriving Te Waimana Kaaku the opportunity to change the land-use without excessive financial penalties

2. Drivers, are priorities established by Ngā Hapū/Marae o Te Waimana
 - a. Raise Standard of Living in Te Waimana
 - b. Promote Economic Growth and Prosperity
3. A forestry expert was contracted to prepare a report detailing the specific remedial work required over the next 24 months to return the Matahi Forest to a structured management regime and sustainable rotational logging in approximately 10 years.

3. Please provide the details of the applicant organisation/entity for which funding is being requested:

Legal Name:	Te Waimana Kaaku Trust
Entity Type:	Registered Charitable Trust
Registered Offices / Place of Business:	280 Waimana Road, Waimana 3196
Identifying Number:	Companies Office Number: 1758472 Charity Registration Number: CC37875 New Zealand Business Number: 9429043056453 <i>[e.g. Company Number, NZBN, Trust / society number, etc. if applicable]</i>
Organisation's Website:	http://www.waimanakaaku.iwi.nz

4. Please provide the contact details for a person as a key point of contact):

Contact Name and Role:	Privacy of natural persons of Te Waimana Kaaku Tribal Executive & Commercial Inform on Te Waimana Kaaku Charitable Trust		
Email Address:	Privacy of natural persons	Telephone:	Privacy of natural persons

5. Please describe the principal role or activity of the applicant organisation.

The role of Te Waimana Kaaku Trust (TWKT) is to manage its assets in the best interests of ngā Hapū/Marae o Te Waimana.. The main activities of the organisation is the delivery of support services for Housing, Education, Pūtaiao/Environment and Projects that benefit the socio economic and environmental needs of ngā Hapū/Marae o Te Waimana and its community. Te Waimana Kaaku (TWK) is used to describe the whole organisation and all its entities; TWKT established as a Charitable Trust in 2005, Te Waimana Kaaku Tribal Executive (TWKTE) established under Community Development Act of 1957, Te Waimana Kaaku Tribal Authority Charitable Trust established in 2015 and Maḥora Kururangi Limited Company established in 2014. 'Te Waimana Kaaku' meaning relates to the spreading kumara vine with each tendril representing the 12 Marae and nine Hapū o Te Waimana.

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6. This project will be based in the region of: Bay of Plenty

7. What type of funding is this application for: Grant

[Note: the most appropriate funding type will be determined by the PDU in consultation with the applicant]

8. What is the activity / funding start and end date?

Start Date:	1/10/2019	Completion Date:	30/09/2021
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9. Has this project / activity been previously discussed with any part of Government? Yes: No:

-If Yes, please describe which part of government, and what the outcome of the discussions were.

Yes, with Te Uru Rākau (1BT), they do not fund this type of activity.

10. Have you previously received Government funding for this Project? Yes: No:

- If Yes, please list which part of the Government, when the funding was received, and how much under Q11.

11. Are you an overseas investor for the purposes of the Overseas Investment Act? Yes: No:

To find out if you are an overseas investor, and find support, please visit the Overseas Investment Office [website](#).

12. Please set out the proposed sources of funding for the Project:

Source of Funding: <i>[please indicate where all other funding is sourced from, noting who the funder is]</i>	\$ (excluding GST)	Status / Commentary <i>[i.e. received / confirmed / in principle]</i>
Provincial Growth Fund Funding (through this application)	Commercial Information	
Te Waimana Kaaku Trust is self-funding the preparation of the report to provide specific remedial work required.	Commercial Information	Confirmed
Total:		

Part B: Project Description

13. This application is: *"a stand-alone activity"* or *"in support of a wider project/programme"*

14. Will additional funding be required in the future? Yes: No:

If Yes, please describe at a high level, what this may include, and when this is likely to be applied for.

Vision: Mahora Te Waimana

"Mahora Te Waimana": To infuse prosperity, vitality, abundance and culture. 'Flourish and excel Te Waimana'

Te Waimana Kaaku Trust is using the development of the Matahi Estate as an approach that will be adopted to develop whenua that Ngā Hapū/Marae o Te Waimana own collectively or in their own right.

Drivers, are priorities established by Ngā Hapū/Marae o Te Waimana

- Raise Standard of Living in Te Waimana
- Promote Economic Growth and Prosperity

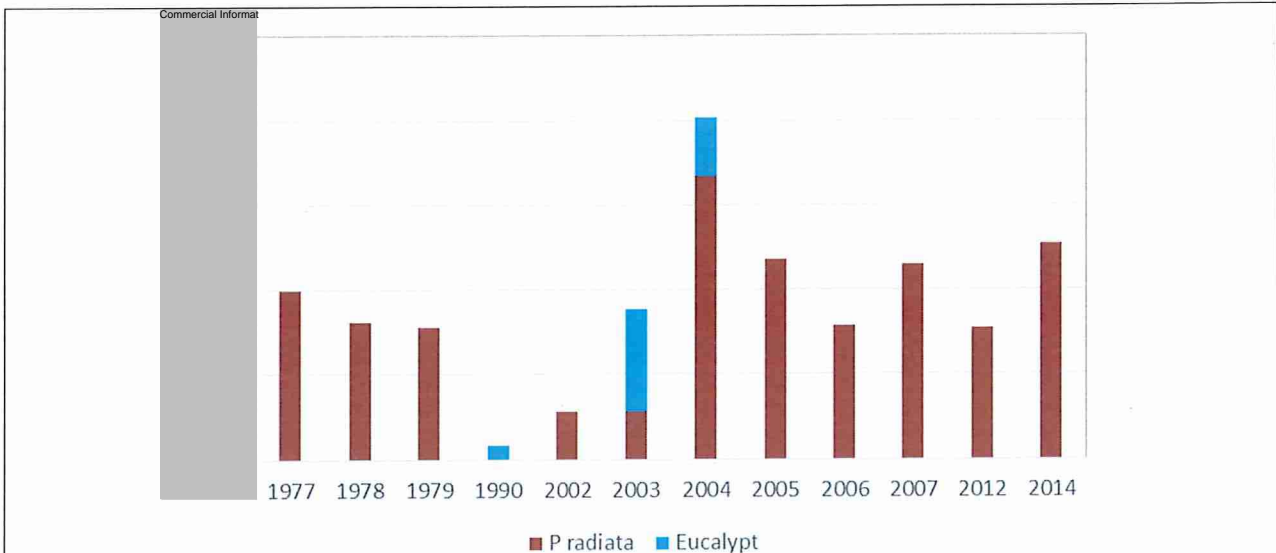
Matahi Estate Development Pathway

Matahi estate is approximately ^{Commercial Informa} in size. The commercial pine forest is planted in Pinus radiata (^{Commercial Informa}) whilst the balance is planted in Eucalyptus fastigata (^{Commercial Informatio}), total productive area for Matahi Forest is ^{Commercial Information}. This leaves approximately ^{Commercial Inform} as un-utilised land, which is available to be brought into higher levels of production.

The development of the Matahi Forest estate has three interdependent work streams, as stated below. To ensure these interdependent work streams work in concert, a capability will need to be resourced.

- **Matahi Forest Silviculture**

- The implementation of the Matahi Forest Silviculture schedule **Commercial Information** to return the Matahi Forest to a structured management regime and sustainable rotational logging in approximately 10 years. The subject of this application is to seek funding for the first 24months of the Matahi Forest Silviculture schedule, out-years will be self-funded.
- An area of natural regeneration of ^{Commercial In} ha has been identified on the property. It is estimated to be between 5 and 6 years old. This was not formally planted and has not received any silvicultural treatment to date, however it has been included in the silviculture schedule.
 - **Harvesting (first rotation log & replant)**
 - There is ^{Commercial Inf} of pine that is 40years or older (usually 27years to harvest), that need to be clear felled. In addition there are ^{Commercial In} of Eucalypt that is 29years old (usually 18 years to harvest).
 - Aspire to commence harvesting in Nov 2019, with first replanting effort in winter 2021 attending to cutover that is cleared by Dec 2020.
 - The forested land can be described as steep, requiring cable logging (haulers) for harvesting a large proportion of the planted area.
 - The value of the wood that needs to be clear felled is low and we would be lucky to break even from this activity. But it needs to be done, to progress the development of the Matahi estate.
 - The balance of the radiata crop will be harvested at age 27
 - See **Figure 1 below : Area by species and year of planting**



- Assumes 250 days of logging equating to Commercial Inform
 - A harvest plan and associated budget is currently being developed, the costs to harvest will be significant. Discussions are currently taking place within Commercial Inform with those that possess such capability and/or funds.
 - Whilst it is not the intention of Te Waimana Kaaku Trust to apply to the PGF for funding of harvesting related activities, it is an interdependent work stream with the Silviculture schedule.
 - **Economic Development Opportunities: Un-utilised Lands 1025ha**
- A.** The balance of land not planted in production forestry, is currently subject to a “Best and Highest Land Use Assessment Report”.
- B.** This is currently being conducted by Commercial Information and funded by the Ministry for Primary Industries (MPI).
- C.** The report is expected to be concluded around September/October this year. Following this, a business case will detail the opportunity and risk profile of the desired economic activity along with any infrastructure related issue i.e. roading, bridges, digital connectivity &/or water related challenges.
- D.** The primary objective of the land use assessment report is to assess the viability of potential economic development opportunities. It has been signaled to the PGF that an outcome of the best and highest land use report could be a funding application to the PGF. This would be lodged later this year, preferably before Christmas 2019.
- **Capability**
1. Due to the interdependent nature of the work streams identified to develop the Matahi estate, a capability to project manage and over-see the three work streams is vital to the success of the development of the Matahi estate.
 2. The first phase of this development is the forest management capability included in the Matahi Forest Silviculture schedule budget
 3. Includes keeping a watch on harvest progress, coordinating all aspects of the forest management process with ngā Hapū/Marae o Te Waimana.
 4. Pastoral care for the Hapū based Thinning Crew.

1. Has a feasibility study, or equivalent, been conducted prior to this application? Yes: No:

-If Yes, please provide a copy, including an overview of the feasibility study and any outcomes.

-If No, please explain why not; and how feasibility/viability of the project has been assured.

A schedule of Silviculture activities (Oct 2019-Sept 2021) has been developed. This application is in response to the short-medium term remedial work required to **Commercial Information** and return it to a structured management regime immediately and sustainable rotational logging in approximately 10 years.

A. The development of the Matahi estate has three interdependent work streams

1. **Matahi Forest Silviculture Programme** implemented, to enable Matahi forest to return to a structured management regime immediately and sustainable rotational logging in approximately 10 years.
2. **Harvesting** (first rotation log & replant); and
3. **Economic Development Opportunities:** Un-utilised Lands **Commercial Information** The land use assessment report as referred to in question 14, will lead to the development of a business case, which will be used to inform discussions with investment partners, as well as informing an application to the Provincial Growth Fund (PGF). The application to the PGF will help implement the capital build required to realise the economic development opportunities identified. There could also be infrastructure and water storage related work required to enable the implementation of the economic development opportunities.

B. To ensure these interdependent work streams operate in concert, a suitably experienced/qualified project management capability will need to be resourced.

C. Te Waimana Kaaku Trust does not have the financial resources to undertake remedial work in the short term (next 24 months), however in the medium to long term, Te Waimana Kaaku Trust will be able to self-fund such mahi due to higher levels of production and commerce taking place.

1. Please provide a detailed description of this project for which funding is being applied, including the benefits arising from the project:

To be able to return the Matahi Forest into a structured management regime immediately, and to be able to undertake sustainable rotational logging in approximately 10 years, the following is required:

The implementation of the Matahi Forest Two Year Silvicultural Schedule & Budget – October 2019 to September 2021

Key points

- Year one is focused on developing the Matahi Thinning Crew and undertaking thinning activities, the total cost FY1 **Commercial Information**
 - Year two is focused on pre-planting, planting and post-planting activities, the total cost FY2 **Commercial Information**
- Noting that this funding includes;

- Project Management of Silvicultural Programme cost \$ **Commercial Inf** month (included in costs FY1 & FY2)
- Milestone reporting costs of **Commercial Infor** for the period of this project (October 2019 to September 2021)

Matahi Forest Two Year Silvicultural Schedule & Budget – October 2019 to September 2021 Total **Commercial Information**

Key component #1	Activity: Project Management of Silvicultural Programme	Period of activity, October 2019- September 2020 (Commer months)
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Description:

1. Cost \$ **Commercial Inf** / ha on **Commercial Infor** ha Productive Area and **Commercial Infor** of regen that will be brought into productive area of Matahi Forest.
 - Fee Incl. keeping a watch on harvest progress and coordinating all aspects of the forest management process with Ngā Hapū/Marae o Te Waimana
 - Pastoral care for the Hapū based Thinning Crew
 - Total cost over 12months \$ **Commercial Infor**

Key component #2	Activity: Waste Thin Programme	Period of activity October 2019-July 2020 Commercia months)
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Description:

- Waste Thinning to commence immediately on **Commercial I** regen crop (**Commercial** ha) & **Commercial In** regen crop (**Commercial Infor** ha)
- Waste Thinning will be undertaken on the currently mapped unstocked but containing regen (**Commerci** ha)
- Total Waste Thinning area **Commercial In** ha
- Thinning Cost assumes **Commercial I** man-days / ha

	<ul style="list-style-type: none"> ○ This will require 12 (2 teams, made up of six in each team) people to be engaged, trained and set to work to complete the activity ○ The hourly rate calculated based on paying 12 people @ \$^{Commercial Inf} hour ○ Total cost over 10 months \$^{Commercial Informa} 	
Key component #3	Activity: Matahi Thinning Crew Development & Training Programme	Period of activity October 2019- September 2020 (12 months)
Description:		
<ul style="list-style-type: none"> ○ Certified Chainsaw Trainer oversight of new Hapū based Thinning Crew ○ Total cost over 12 months \$^{Commercial Inform} 		
Key component #4	Activity: Independent Thinning QC Programme	Period of activity November 2019- July 2020 (9 months)
Description:		
<ul style="list-style-type: none"> ○ Plotting of thinning to ascertain stocking and tree selection ○ Total cost over 9 months \$^{Commercial Informa} 		
Key component #5	Activity: Milestone reporting	Period of activity October 2019- September 2020 (12 months)
Description:		
<ul style="list-style-type: none"> ○ Prepare milestone reports for the period of this project. ○ Total cost over 12 months \$^{Commercial Inf} 		
Key components # 1-5 above detail activity required to completed year one, total cost FY1 ^{Commercial Information}		
Key component #5	Activity: Project Management of Silvicultural Programme	Period of activity, October 2020 to September 2021 (12 months)
Description:		
<ul style="list-style-type: none"> ○ Cost \$^{Commercial Inf} / ha on ^{Commercial Inform} ha Productive Area and ^{Commercia} ha of regen that will be brought into productive area of Matahi Forest. ○ Fee Incl. keeping a watch on harvest progress and coordinating all aspects of the forest management process with Ngā Hapū/Marae o Te Waimana ○ Pastoral care for the Hapū based Thinning Crew ○ Total cost over 12 months \$^{Commercial Informa} 		
Key component #6	Activity: Land Preparation, Aerial Pre-plant Dessication ^{Commercial Informatio}	Period of activity, December 2020 (1 months)
Description:		
<ul style="list-style-type: none"> ○ Aerial dessication in advance of planting ○ Total cost over 1 month \$^{Commercial Informat} 		
Key component #7	Activity: Pre-plant pest control	Period of activity, April 2021 to July 2021 (4 months)
Description:		
<ul style="list-style-type: none"> ○ Eradication of pests in advance of planting, using Hapū based contractor ○ Total cost over 4 months \$^{Commercial Inform} 		
Key component #8	Activity: Planting	Period of activity, August 2021 (1 month)
Description:		
<ul style="list-style-type: none"> ○ Treestocks and Planting Labour for ^{Commerci} ha ○ Treestocks calculations are made up as follows <ul style="list-style-type: none"> ▪ 96,000 (960 seedling boxes) ○ This will require 12 of people to be engaged, trained and set to work to complete the activity ○ The hourly rate calculated based on paying 12 people @ \$^{Commercial Inform} hour ○ Total cost over 1 month \$^{Commercial Information} 		
Key component #9	Activity: Post-plant pest control	Period of activity, August 2021 to September 2021 (2 months)
Description:		
<ul style="list-style-type: none"> ○ Eradication of pests after planting using Hapū based contractor 		

○ Total cost over 2 months \$ ^{Commercial Information}		
Key component #10	Activity: Milestone reporting	Period of activity, October 2020 to September 2021 (12 months)
○ Prepare milestone reports for the period of this project.		
○ Total cost over 12 months \$ ^{Commercial Inform}		
Key components 4-10 above detail activity required to complete year two, total cost FY2 ^{Commercial Information}		

Benefits resulting from the implementation of the Matahi Forest ^{Commercial Information} Silvicultural Schedule include:

- Return of the Matahi Forest into a structured management regime immediately, and to be able to undertake sustainable rotational logging in approximately ^{Commercial Information}
- Enable higher levels of production and commerce to take place on the whenua;
- The new Hapū based Matahi Thinning Crew will be established & trained accordingly;
- A pastoral care programme will be developed for the new Hapū based Matahi Thinning Crew, which will be used to inform future pastoral care programmes.
- The new Hapū based Matahi Thinning Crew will require 12 people to be engaged to complete the thinning programme;
- Providing potential pathways to contract work for whanau currently participating the Te Waimana Kaaku Trust/Te Oho Mai run, New Zealand Certificate in Pest Operations (Level 3);
- The planting schedule will require 12 people to be engaged
- A project management capability will be developed (from within the whānau of Te Waimana) to perform Project Management functions as described in the above Silvicultural Programme

2. How does this project demonstrate additionality within the region?

The Central North Island wood supply region, which includes the Bay of Plenty, is the principal forest growing and processing region in New Zealand, producing 45% of the national harvest. Most of this forestry is in large tracts like the Kaingaroa Plateau, but there are also many smaller woodlots and farm forestry blocks around the region. While the main source of income from **forestry** is generally from harvesting logs, wood processing is becoming increasingly important and the development of road and rail networks to the Port of Tauranga is ongoing.

Matahi forest is a good example of a farm forestry block. The block is approximately ^{Commercial In} hectares and was initially developed by the Government as a sheep and beef farm in the early 1900's and operated as a dry stock unit up to the 1970's when it was planted in pine. Cutting rights were sold to private interests some time later and the block was logged until operations were halted around 2014. The logging company literally left overnight and no remedial work was undertaken – the block is a mess.

In 2017, the land and trees were sold to the Tuhoe Tribal Authority (known as Te Uru Taumatua (TUT)). As part of the transfer of Matahi forest to Te Waimana Kaaku, ^{Commercial Information} have been contracted to prepare a report of the specific remedial work required and the likely cost of this work.

Currently there is around ^{Commercial In} hectares of commercial pine forest. Many of the remaining trees are largely substandard, they are oversized and some are up to 42 years old on steep rough terrain and not worth logging even under more favorable market conditions. The balance of land not planted in pines is currently subject to a "Best and Highest Land Use Assessment Report" currently being conducted by ^{Commercial Information} and funded by the Ministry for Primary Industries (MPI).

The development of collectively owned land, such as Matahi forest is a key component of the economic development strategy of Te Waimana Kaaku. Unlocking the potential of this land is seen as an enabler/catalyst to create work in forest, civil works and primary industry processing. Implementation of this strategy has already placed ^{Comme} whanau in jobs who are employed with **Commercial Information**

^{Commercial Information} Looking forward, those workers needed for the remedial work in the Matahi Forest will return home when required. They currently travel from Waimana to work for their respective employers.

This application is in response to the short-medium term remedial work **Commercial Information**

Commercial Information

This work is required for Matahi forest to return to a structured management regime immediately and sustainable rotational logging in approximately 10 years (2029, 2nd rotation crop).

3. How is the project connected to regional (and sector) stakeholders and frameworks?

In the short-medium term completing remedial work will be the focus of the development of Matahi forest.

Best and Highest land use assessment report

In the longer term the ongoing development of the block is dependent on the outcome of a best and highest land use assessment report funded by MPI. The land use assessment report and the business case will be used to inform discussions with investment partners, **Commercial Information**

Commercial Information

Level 4 Social Services Accredited Status

Te Waimana Kaaku Trust has attained Level 4 Social Services Accredited Status from MSD, enabling the provision of employment and training services. With that, Te Waimana Kaaku Trust is about to enter into a Pastoral Care related Contract with MSD. This programme is designed to support Te Waimana Kaaku's forestry work programme by helping job seekers with training and employment support for a range of entry level employment positions.

Outcomes: Work ready ability and job skills including but not limited to:

- Develop work ethic: attendance, working as a team, following instructions and undertaking tasks as directed
- Budgeting skills, appropriate literacy & numeracy support
- Nutrition & health, alcohol & drug education
- Tikanga Maori, Te Reo and cultural protocol

Employment outcomes:

- Sustainable employment within 8 weeks of completing the programme
- Full time employment, forestry, civil works, primary processing and other employment opportunities as available.

New Zealand Certificate in Pest Operations (Level 3)

Te Waimana Kaaku Trust in collaboration with **Commercial Information** is currently running a 19 week course, with 12 whanau members from Te Waimana participating, the goal is to attain a New Zealand Certificate in Pest Operations (Level 3).

Participating whanau members will learn trapping and poisoning techniques for controlling rats, rabbits, stoats and ferrets – some of our most destructive animal pests, as well as methods for controlling pest plants.

Through a mix of theory and hands-on learning, course topics will also include topics such as animal physiology and welfare, personal safety in the outdoors, firearm safety and legislation.

This will help with building capability and capacity in the pest management sector, as Te Waimana starts to accelerate its forestry and primary sector related activities along with undertaking mana whenua responsibilities mai Maungapōhatu ki Ōhiwa.

Te Waimana has demonstrated the ability to plan and progress significant work streams to date, involving both private and public sector organisations. This should give comfort to prospective partners/funders that Te Waimana has the capability and capacity to deliver on opportunities. Te Waimana can't do it on our own. We want to form effective treaty based partnerships at local and national levels to help move our community from one of high levels of deprivation to levels of enterprise and commerce, which are balanced with social, environmental and cultural needs.

4. How will your project lift productivity potential in the regions?

[We want to understand how your project will meet the primary objective of the fund: “to lift productivity potential in the regions”. Please provide a description of this and where relevant, please cover how your project contributes to the following outcomes:

PGF Outcome	✓	How will the project positively or negatively impact this outcome in the region(s) identified?
- Increase economic output	✓	There is a very strong desire from ngā hapū/marae o Te Waimana to change the status quo, combined with the current political environment supporting this type of change to occur, Te Waimana are well placed to effect such change.
- Enhance utilisation of and/or returns for Māori assets	✓	This funding is sought from the PGF so the forest can return to a structured management regime immediately and sustainable rotational logging in approximately 10 years, if this work is completed now.
- Increase productivity and growth	✓	Capacity and capability building are key to progressing Te Waimana aspirations
- Increase local employment and wages (in general and for Māori)	✓	The strategy is to develop collectively owned land as a catalyst to create work in forestry, civil works and primary processing. This strategy has placed ^{Comme} whanau in jobs who are employed with Commercial Information Commercial Information Looking forward, those workers needed for the remedial work in the Matahi Forest will return home when required. They currently travel from Waimana to work for their respective employers. This specific project will provide 12 jobs for whanau over 24months.
- Increase local employment, education and/or training opportunities for youth (in general and for Māori)	✓	Te Waimana Kaaku Trust has attained Level 4 Social Services Accredited Status from MSD, enabling the provision of employment and training services. With that, Te Waimana Kaaku Trust is about to enter into a Pastoral Care related Contract with MSD. This programme is designed to support our forestry work programme by helping job seekers with training employment support for a range of entry level employment opportunities.
- Improve digital communications, within and/or between regions	✓	This is a key infrastructure enabler we will be looking to partner with the Crown to implement.
- Improve resilience and sustainability of transport infrastructure, within and/or between regions	✓	There is a very strong desire from ngā hapū/marae o Te Waimana to change the status quo, combined with the current political environment supporting this type of change to occur, Te Waimana are well placed to effect such change.
- Contribute to mitigating or adapting to climate change	✓	The land use assessment report will inform this aspect of any economic development option put forward
- Increase the sustainable use of and benefit from natural assets	✓	Returning to a structured forest management regime immediately and sustainable rotational logging is a priority.
- Enhance wellbeing, within and/or between regions	✓	Te Waimana can't do it on our own. We want to form effective treaty based partnerships at local and national levels to help move our community from one of high levels of deprivation to levels of enterprise and commerce, which are balanced with social, environmental and cultural needs.

Total number of outcomes project contributes to	10/10	
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5. Has public consultation been conducted?

Yes:

No:

- A. If yes, what were the results?
 B. If no, is there a plan to do so?

Does not require public consultation, requires consultation with Ngā Hapū/Marae o Te Waimana

- On the 31 July, Te Waimana Kaaku Tribal Authority sought the views of approx. 50 Kaumātua and Kuia about this project, it was strongly supported. There will be on going consultation with key stakeholders as we look to progress the development of whenua in our rohe, mai Maungapōhatu ki Ōhiwa.
- On the 29 August Te Waimana Kaaku Tribal Authority has convened a hui with marae delegates & whanau members to update them on this piece of work along with seeking tautoko from Tribal delegates to continue with this work stream to be able to lodge this application.
- Te Waimana Kaaku Trust is very mindful to seek tautoko, feedback and direction from Ngā Hapū/Marae o Te Waimana when whenua development is involved. Undertaking regular updates to Ngā Hapū/Marae o Te Waimana on the progress of related work is also a feature of Te Waimana Kaaku approach.
- By way of an example when the funding application was being developed to do the land use assessment report on the non-forestry parts of the Matahi estate two hui were held, the first being held on Sunday 14 April with Te Waimana Kaaku Trustees and the three directors of Mahora Kurarani Limited.
- The second meeting was convened on Monday 15 April for Te Waimana Kaaku Tribal Delegates and any other tribal members wanting to attend, to receive a presentation on the land use assessment project with the view to gaining tautoko to undertake this mahi.
- Three workshops were held with tribal delegates on the 15 April to inform key pieces of work. The following questions were put to workshop participants:
 - **What do you think are the key outcomes or considerations that can be used to guide us, when examining economic development options?** This will help with balancing priorities, the outcome is the development of a weighted decision making matrix, decision making tool. Themes that emerged included, environmental, mahi, upskilling/training Te Waimana, Forestry Specific/Commercial and Communications
 - **How do you think we should keep people updated on the progress of the project and how often should this happen?** This informed the development of the communications plan for the land use assessment project.
 - **If this project was to be successful, what would that look like to you?** (*Bearing in mind that this project is about identifying and informing*) Helped to inform the evaluation criteria for the land use assessment project.
- Both hui obtained the tautoko from the respective bodies.

6. Please provide your customer demand / market analysis for this project, covering the following where possible:

- C. What is the current market for this project's outcome, and what is your current involvement in the market?
 D. What opportunities are there to *expand* market activity (i.e. what is the customer demand)?
 E. What customer market relationships do you have to leverage success of the outcomes of this project?
 F. Is the project primarily aimed at enhancing the share of a single market? If so, please detail the proposed markets with this intention.

This application is in response to the short-medium term remedial work required **Commercial Information**
Commercial Information This work is required for Matahi forest to return to a structured management regime immediately and sustainable rotational logging in approximately 10 years.

7. Where the project utilises land, does the land have any other interests associated with it? (i.e. Treaty claims, or iwi/hapū ownership)

Yes:

No:

Te Waimana Kaaku Trust has the mandate of nga hapū/marae to proceed with this project. This was obtained where delegates from nga hapū/marae attended a series of hui/workshops to consider economic development options for Te Waimana. There is a very strong desire from ngā hapū/marae o Te Waimana to change the status quo, combined with the current political environment supporting this type of change to occur, Te Waimana are well placed to effect such change.

8. Is the land is owned by others, i.e. not solely by the applicant?

G. If yes, then please describe the other interests and how will this be managed?

Te Waimana Kaaku Trust represents the interests of ngā hapū/marae along Te Awa o Tauranga, with mana whenua responsibilities mai Maungapōhatu ki Ōhiwa. The trust consists of no more than seven trustees who must be elected and appointed by mandate of the nine nga hapū and 12 marae. The main purpose of the trust is to promote and advance the economic, social, cultural and environmental development of nga hapū/marae. This will be done by investigating commercial or vocational training opportunities or the enhancement of community facilities and/or projects.

9. Does the land have appropriate Resource Management Act consents?

Yes:

No:

H. If no, how and when will this be addressed?

Resource consent needed for harvesting. Thinning to waste is a notifiable activity, with notification to Work Safe.

Part C: Project Delivery

[Please note – this section refers to the actual activity associated with this application.]

10. Please provide an overview of the project management approach / plan for this activity.

How the activity will be managed from your organisation?

Project Roles and Responsibilities

The Silviculture Management approach adopted for this project will be guided by the standard forestry operation plan, which is based on best practice forest management systems.

Project Sponsor (PS)

The Te Waimana Kaaku Tribal Executive (TWKTE) Privacy of natural persons will be the Project Sponsor (PS)

Project Steering Group (PSG)

A PSG will be established, made up of the TWKTE Privacy of natural persons TWKT Privacy of natural persons and Mahora Kurarani Limited (MHL) Privacy of natural persons, along with Te Waimana Kaaku Trustees and Te Mahora Kurarangi Directors.

The PSG will report progress and outcomes to the TWK Tribal delegates, who will inform their respective Pā.

The PSG will instruct and monitor the service provider/s, engaged to undertake the Matahi Forest Two Year Silvicultural Schedule & Budget

Tribal delegates will be updated on a regular basis throughout the period of this work. All decisions of the Tribal are voted upon by Hapū delegates

What involvement is required by the Provincial Development Unit?

Guidance on navigating to any government assistance with funding or otherwise to develop and establish pastoral care programmes along with help to develop and establish training & up-skilling programmes the type of primary sector opportunities identified.

What other partners are required for successful delivery? (demonstrating this with diagrams where possible)

- Project Management of Silvicultural Programme
- Waste Thinning Crew
- Thinning Crew Development & Training Programme
- Pest Control Contractors
- Planters

What project management practices will be in place?

- Develop, Agree and Sign off Project Workplan
- Define project management procedures
- Manage the Workplan
- Monitor the schedule and budget
- Variance monitoring and reporting
- Monitor scope (work plan) creep & ensure scope-change approval process in place
- Develop, Agree and Sign off Risk Register
- Monitor risk throughout the project
- Develop project evaluation criteria
- Develop communication plan

Please attach a copy of your health and safety policy that will apply for this project].

(Attached)

Financial management will be undertaken by Te Waimana Kaaku Trust Finance support team.

11. Have you have independent verification of the project approach / plan? Yes: No:
 If yes, who verified the project and when?

The specific remedial work required will be detailed in a report being prepared by **Commercial Information** as part of a transfer agreement between Te Waimana Kaaku and Te Uru Taumatua, where the land and trees will return to Te Waimana.

12. Please provide us with a project plan, where possible please attach a schedule (i.e. Gantt chart):

[Please use the following table to describe the milestones of the project, if preferred, a schedule can be provided]

#	Project Activity	Responsible:	Date / Period:
1	Project Management of Silvicultural Programme	Chair Te Waimana Kaaku Trust	October 2019-September 2021 (24 months)
2	Waste Thin Programme	Project Manager	October 2019-July 2020 (10 months)
3	Matahi Thinning Crew Development & Training Programme	Project Manager	October 2019- September 2020 (12 months)
4	Independent Thinning QC Programme	Project Manager	November 2019- July 2020 (9 months)
5	Land Preparation, Aerial Pre-plant Dessication (Commercial Info)	Project Manager	December 2020 (1 months)
6	Pre-plant pest control	Project Manager	April 2021-July 2021 (4 months)
7	Planting	Project Manager	August 2021 (1 month)
8	Post-plant pest control	Project Manager	August 2021 to September 2021 (2 months)

13. Please provide a complete breakdown of the costs of the project to assist us in understanding where the funds will

be utilised:

[Note that figures in this table must align with other figures provided in Q11. Please use as many lines as it takes to provide us with the appropriate detail. If more suitable, please attach a budget spreadsheet to this application]

Cost Description:	\$ (excluding GST)
Project Management of Silvicultural Programme	Commercial Information
Milestone reporting	
Waste Thin Programme	
Matahi Thinning Crew Development & Training Programme	
Independent Thinning QC Programme	
Land Preparation, Aerial Pre-plant Dessication (Commercial Information ha)	
Pre-plant pest control	
Planting	
Post-plant pest control	
Total	\$ Commercial Information

14. What are the proposed deliverables if funding is approved?

[Please use the following table, consider what deliverables there will be, when, and whether there are any payments associated with them and against what criteria payment should be made. If it is a single deliverable with single payment, please just use one line]

#	Description	Payment criteria:	Invoice Value \$ (Exc. GST)	%	Invoice Date:
1	On-signing payment	On-signing payment of the funding agreement and the delivery of start-up report	Commercial Information	[10%]	22/10/2019
2	Milestone Report One	Report on Project Management activities in this reporting period, along with progress of Waste Thinning Programme, including recruitment and training of thinning crew and Independent Thinning QC Programme		[20%]	24/02/2020
3	Milestone Report Two	Report on Project Management activities in this reporting period, along with progress of Waste Thinning Programme, including recruitment and training of thinning crew and Independent Thinning QC Programme		[20%]	27/07/2020
4	Milestone Report Three	Report on Project Management activities in this reporting period, along with progress of Land Preparation, Aerial Pre-plant Dessication, Pre-plant pest control, Planting and Post-plant pest control		[10%]	26/10/2020
5	Milestone Report Four	Report on Project Management activities in this reporting period, along with progress of Land Preparation, Aerial Pre-plant Dessication, Pre-plant pest control, Planting and Post-plant pest control		[20%]	22/02/2021
6	Milestone Report Five	Report on Project Management activities in this reporting period, along with progress of Land Preparation, Aerial Pre-plant Dessication, Pre-plant pest control, Planting and Post-plant pest control		[10%]	23/06/2021
7	Milestone Report Six	Prepare the final report, including reporting on Project Management activities in this reporting period, along with progress of Land Preparation, Aerial Pre-plant Dessication, Pre-plant pest control, Planting and Post-plant pest control.		[10%]	30/09/2021

15. Please provide a description of why Government funding is required to deliver this project?

Te Waimana Kaaku does not have the resources to fund the specific remedial work required and due to collective hapū land ownership it is **Commercial Information**


On top of this Te Waimana have some of the highest levels of economic deprivation in the Bay of Plenty, with unemployment at four times the national average. Census data confirms that Māori make up 70% of the population of Te Waimana; unemployment is four times the national average, with only 44.2% holding an education qualification; high socio-economic problems are evident with Social and Health services prominent in the wider Whakatane District.

There is a very strong desire from ngā hapū/marae o Te Waimana to change the status quo, combined with the current political environment supporting this type of change to occur, Te Waimana are well placed to effect such change.

Funding is sought from the PGF so the forest can return to a structured management regime immediately and sustainable rotational logging in approximately 10 years and create work opportunities in forestry, civil works and primary processing. Whanau have already been placed in jobs with **Commercial Information** upskill in all aspects of forestry work and they are expected to return home to complete remedial work when required. These workers currently travel away from Waimana to work.

16. Please provide an overview of the applicant's relevant skills and experience for delivering a projects of this nature:

Key Personnel
Privacy of natural persons



17. Please outline the project team and explain the Governance arrangements for this project

Commercial Information



18. What procurement process has been undertaken (i.e. selection of a provider), or will be undertaken, and how will that be managed? In addition, please provide a description of how greater public value will be achieved through the procurement process, for example by considering one or more of the following:

- How regional businesses (inc. Māori / Pacifica enterprises) will be provided with opportunities
- How skills will be developed in the market (i.e. via construction apprenticeships)
- How worker conditions in the supply chain will be improved / managed
- How waste / emissions are being reduced in this procurement.

For more information, please visit: <https://www.procurement.govt.nz/broader-outcomes/>

[We wish to understand how you will approach the market effectively and ensure value for money / public value is delivered, noting that public money is being utilised. If you have already been to market, then please describe who the supplier(s) are, how/why they were selected, and what the contractual arrangements are]

Te Waimana Kaaku Trust’s approach to procurement is designed to ensure that:

- purchases are obtained efficiently and deliver value for money;
- Trustees/decision makers are aware of their obligations when procuring goods and services;
- appropriate agreements are entered into which set out the obligations of each party and reduce legal, financial and reputational risk to the Trust; and
- The Trust can create partnerships that enables it to progress with key work streams
- Contestable Selection Process
- Request for Proposal (RFP) Process
- Appointment panel convened to consider RFP
- Recommendations provided to Project Steering Group for consideration.
- Appointment of preferred service and or provider confirmed.

By completing the details below, the applicant makes the following declarations about its application for Provincial Growth Fund funding for the project ("application"):

- I have read, understand and agree to the Terms and Conditions of applying for Provincial Growth Fund funding which are attached as Appendix 1;
- The statements in the application are true and the information provided is complete and correct, and there have been no misleading statements or omissions of any relevant facts nor any misrepresentations made;
- I have secured all appropriate authorisations to submit the application, to make the statements and to provide the information in the application;
- I have obtained the permission of each member of the Project Team to provide the information contained in this application and those individuals are aware of, and agree to, the Terms and Conditions of applying for Provincial Growth Fund funding which are attached as Appendix 1;
- I consent to this application being publically released if funding is approved. I have identified the commercially sensitive and personal information.
- The applicant warrants that it has no actual, potential or perceived conflict of interest (except any already declared in the application) in submitting the application, or entering into a contract to carry out the project. Where a conflict of interest arises during the application or assessment process, the applicant will report it immediately to the Provincial Development Unit by emailing PGF@mbie.govt.nz; and
- I understand that the falsification of information, supplying misleading information, or the suppression of material information in this application, may result in the application being eliminated from the assessment process and may be grounds for termination of any contract awarded as a result of this application process.
- The applicant consents to the Provincial Growth Fund undertaking due diligence including any third party checks as may be required to fully assess the application.

Full name:

Privacy of natural persons

Title / position:

CHAIRPERSON TE NAIMANA KAAKU TRUST

Signature / eSignature:

Date:

Privacy of natural persons

4 October 2019

Appendix 1 – Terms and Conditions of this Application

General

The terms and conditions are non-negotiable and do not require a response. Each applicant that submits a request for Provincial Growth Fund (“PGF”) funding (each an “application”) has confirmed by their signature (or e-signature) on the application that these terms and conditions are accepted without reservation or variation.

The Provincial Growth Fund is a government initiative which is administered by the Provincial Development Unit, a unit within the Ministry of Business, Innovation and Employment. Any reference to the Provincial Development Unit in these terms and conditions, is a reference to MBIE on behalf of the Crown.

Reliance by Provincial Development Unit

The Provincial Development Unit may rely upon all statements made by any applicant in an application and in correspondence or negotiations with the Provincial Development Unit or its representatives. If an application is approved for funding, any such statements may be included in the contract.

Each applicant must ensure all information provided to the Provincial Development Unit is complete and accurate. The Provincial Development Unit is under no obligation to check any application for errors, omissions, or inaccuracies. Each applicant will notify the Provincial Development Unit promptly upon becoming aware of any errors, omissions, or inaccuracies in its application or in any additional information provided by the applicant.

Ownership and intellectual property

Ownership of the intellectual property rights in an application does not pass to the Provincial Development Unit. However, in submitting an application, each applicant grants the Provincial Development Unit a non-exclusive, transferable, perpetual licence to use and disclose its application for the purpose of assessing and decision making related to the PGF application process. Any hard copy application or documentation supplied by you to the Provincial Development Unit may not be returned to you.

By submitting an application, each applicant warrants that the provision of that information to the Provincial Development Unit, and the use of it by the Provincial Development Unit for the evaluation of the application and for any resulting negotiation, will not breach any third-party intellectual property rights.

Confidentiality

The Provincial Development Unit is bound by the Official Information Act 1982 (“OIA”), the Privacy Act 1993, parliamentary and constitutional convention and any other obligations imposed by law. While the Provincial Development Unit intends to treat information in applications as confidential to ensure fairness for applicants during the assessment and decision making process, the information can be requested by third parties and the Provincial Development Unit must provide that information if required by law. If the Provincial Development Unit receives an OIA request that relates to information in this application, where possible, the Provincial Development Unit will consult with you and may ask you to confirm whether the information is considered by you to be confidential or still commercially sensitive, and if so, to explain why.

Use and disclosure of information

The Provincial Development Unit will require you to provide certain information, including personal information, on application forms if you wish to apply for funding. If you do not provide all of the information that is required on an application form, the Provincial Development Unit may be unable to process or otherwise progress your application.

MBIE will generally only use personal information provided in the application process for the purpose of administering the PGF which includes assessing an application you have submitted, contracting, monitoring compliance and reporting.

We may use personal information provided to us through the application for other reasons permitted under the Privacy Act (e.g. with your consent, for a directly related purpose, or where the law permits or requires it).

The Provincial Development Unit may disclose any application and any related documents or information provided by the applicant, to any person who is directly involved in the PGF application and assessment process on its behalf including the Independent Advisory Panel (“IAP”), officers, employees, consultants, contractors and professional advisors of the Provincial Development Unit or of any government agency. The disclosed information will only be used for the purpose of participating in the PGF application and assessment process, including assessment and ongoing monitoring, which will include carrying out due diligence. Due diligence may involve MBIE disclosing information to another MBIE business unit or relevant agency in order to assess the application and verify the information contained in the application and accompanying documents.

MBIE will generally not otherwise disclose personal information provided or collected through this application unless required or otherwise permitted by law. For example, we may seek your consent to undertake additional due diligence checks and request information from other relevant third parties. If an application is approved for funding, information provided in the application and any related documents may be used for the purpose of contracting.

In the interests of public transparency, if an application is approved for funding, the application (and any related documents) may be published by the Provincial Development Unit. Commercially sensitive and personal information will be redacted by reference to the provisions of the Official Information Act 1982.

Limitation of Advice

Any advice given by the Provincial Development Unit, any other government agency, their officers, employees, advisers, other representatives, or the IAP about the content of your application does not commit the decision maker (it may be Senior Regional Officials, Ministers or Cabinet depending on the level of funding requested and the nature of the project) to make a decision about your application.

This limitation includes individual members of the IAP. The IAP's recommendations and advice are made by the IAP in its formal sessions and any views expressed by individual members of the IAP outside of these do not commit the IAP to make any recommendation.

No contractual obligations created

No contract or other legal obligations arise between the Provincial Development Unit and any applicant out of, or in relation to, the application and assessment process, until a formal written contract (if any) is signed by both the Provincial Development Unit and a successful applicant.

No process contract

The PGF application and assessment process does not legally oblige or otherwise commit the Provincial Development Unit to proceed with that process or to assess any particular applicant's application or enter into any negotiations or contractual arrangements with any applicant. For the avoidance of doubt, this application and assessment process does not give rise to a process contract.

Costs and expenses

The Provincial Development Unit is not responsible for any costs or expenses incurred by you in the preparation of an application.

Exclusion of liability

Neither the Provincial Development Unit or any other government agency, nor their officers, employees, advisers or other representatives, nor the IAP or its members will be liable (in contract or tort, including negligence, or otherwise) for any direct or indirect damage, expense, loss or cost (including legal costs) incurred or suffered by any applicant, its affiliates or other person in connection with this application and assessment process, including without limitation:

- a) the assessment process
- b) the preparation of any application
- c) any investigations of or by any applicant
- d) concluding any contract
- e) the acceptance or rejection of any application, or
- f) any information given or not given to any applicant(s).

By participating in this application and assessment process, each applicant waives any rights that it may have to make any claim against the Provincial Development Unit. To the extent that legal relations between the Provincial Development Unit and any applicant cannot be excluded as a matter of law, the liability of the Provincial Development Unit is limited to \$1.

Nothing contained or implied in or arising out of the PGF documentation or any other communications to any applicant shall be construed as legal, financial, or other advice of any kind.

Inducements

You must not directly or indirectly provide any form of inducement or reward to any IAP member, officer, employee, advisor, or other representative of the Provincial Development Unit or any other government agency in connection with this application and assessment process.

Governing law and jurisdiction

The PGF application and assessment process will be construed according to, and governed by, New Zealand law and you agree to submit to the exclusive jurisdiction of New Zealand courts in any dispute concerning your application.

Public statements

The Provincial Development Unit and any other government agency, or any relevant Minister, may make public in whole or in part this application form including the following information:

- the name of the applicant(s)
- the application title
- a high-level description of the proposed project/activity
- the total amount of funding and the period of time for which funding has been approved
- the region and/or sector to which the project relates

The Provincial Development Unit asks applicants not to release any media statement or other information relating to the submission or approval of any application to any public medium without prior agreement of the Provincial Development Unit.

Electronic signature

You can only file documents and information with us using an electronic signature if you're the signatory, or have authority to act on behalf of the signatory, and are using software that complies with our standards, in particular keeping records of transactions where an electronic signature has been used. Once a document with your electronic signature has been filed with us, we consider the information:

- has been provided with your full knowledge and agreement
- is authentic and accurate
- wasn't amended after your electronic signature was added to the document, unless a change has been clearly marked on the document.

You're responsible for:

- safeguarding how and when your electronic signature and credentials are used on documents and information
- managing who has authority to use your electronic signature on your behalf, for example, a chartered accountant.

If your electronic signature on a document or information is filed with us, you won't be able to dispute having signed and approved the document or information. If we question the authenticity of an electronic signature or online transaction, you must be able to demonstrate on request the validity of the software used to apply your electronic signature to the document.

You must use electronic signature software that captures authentication, time and source details for any online transaction where a document with your electronic signature has been filed. These details must be held within the software itself, in the form of a file that:

- is maintained in its original form with no amendments, and
- can be provided to us, if requested, within a specified time.

The file must be treated as a record, as defined by the Companies Act 1993, and a business record as defined by the Evidence Act 2006.

Appendix 2 - Operational criteria for all tiers of the Fund

Link to Fund and government outcomes

- Demonstrate the ways in which the project will contribute to lifting the productivity potential of the region
- Demonstrate how the project contributes to the Fund's objectives of:
 - more permanent jobs
 - benefits to the community and different groups in the community
 - increased utilisation and returns for Māori from their asset base (where applicable)
 - sustainability of natural assets (e.g. water, soil integrity, the health and ecological functioning of natural habitats)
 - mitigating or adapting to climate change effects, including transitioning to a low emissions economy
- Clear evidence of public benefits (i.e. benefits other than increased profitability for the applicant)
- Are in a Government priority region or sector

Additionality

- Project is not already underway, does not involve maintenance of core infrastructure or assets (except for rail and transport resilience initiatives), and does not cover activities the applicant is already funded for (funding could be considered to increase the scale of existing projects or re-start stalled projects)
- Demonstrated benefit of central Government investment or support
- Detail of any supporting third party funding (and any funding sought unsuccessfully)
- Acts as a catalyst to unlock a region's productivity potential
- Demonstrated links to other tiers of the Fund and related projects, to maximise value of Government investment

Connected to regional stakeholders and frameworks

- Evidence of relevant regional and local support, either through existing regional development mechanisms, or through another relevant body such as a council, iwi or other representative group (or reasons for any lack of local support)
- Has been raised and discussed with the region's economic development governance group
- Alignment with, or support for the outcomes of, any relevant regional development plan, Māori development strategy or similar document (whether regional or national)
- Demonstrated improvement in regional connectedness (within and between regions)
- Leverage credible local and community input, funding, commercial and non-commercial partners
- Utilise existing local, regional or iwi/Māori governance mechanisms

Governance, risk management and project execution

- Evidence of robust project governance, risk identification/management and decision-making systems and an implementation plan appropriate to the size, scale and nature of the project
- Future ownership options for capital projects, including responsibility for maintenance, further development, and other relevant matters
- Benefits and risks clearly identified and quantified, depending on the scale of the initiative
- Evidence of potential exit gates and stop/go points, and a clear exit strategy
- Clearly identifies whole of life costs (capital and operating)
- Dependencies with other related projects are identified
- Evidence of sustainability after conclusion of PGF funding
- Adequacy of asset management capability (for capital projects)
- Compliance with international obligations (where relevant)