# Horizon Europe Top-Up Scheme Application Template - Catalyst Fund

Use this template to assist with your application to the Ministry of Business, Innovation and Employment (MBIE) for funding through the Horizon Europe top-up scheme. For information about this funding opportunity, see our [web pages](https://www.mbie.govt.nz/science-and-technology/science-and-innovation/international-opportunities/horizon-europe/).

By submitting an application, you are agreeing to [MBIE’s funding policies, terms and conditions](#_MBIE’s_funding_policies,).

You are responsible for ensuring that all parties cited in your application have:

* confirmed their personal details and the nature and level of their involvement in the work described in the proposal is correct
* read and accepted the terms and conditions of submitting a proposal and understand what information we may make public.

### Application Completion and Submission Requirements

* Use this template to complete your application in MS WORD and then copy the content into Pītau, our online Investment Management System.
* Respond to all questions and use sub-headings if appropriate to improve readability.
* Do not use images, graphs, or hyperlinks.
* Adhere to word limits, which includes words used in tables.
* Submit your application by the date specified by MBIE in the invitation to apply to the top-up scheme
* To keep up to date with the latest MBIE news and activities related to Horizon Europe [subscribe to Horizon Europe Alerts.](https://confirmsubscription.com/h/r/3B92C5424B34B5742540EF23F30FEDED)

## Application Template

### Application setup

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| --- |
| 0.1 **Previous application submission**Enter the submission number provided at the registration stage of this proposal.*Enter your answer here...* |
| 0.2 **Project title***Your project title will be automatically populated from Pītau.* |
| 0.2 **Submitting organisation***Your organisation information will be automatically populated from Pītau.* |
| 0.3 **Start Date and End Date**Provide the confirmed timing of the project from the Grant Agreement.*Enter your answer here...* |

### Section 1: Key Information

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| * 1. **Full Title**

*This will be auto populated by Pītau from registration. The title can be edited if needed.* |
| * 1. **Start Date and End Date**

Confirm the start and end dates of your project. *Enter your answers here...* |
| * 1. **Contact Details**

*This will be auto populated from registration. You can update these details if needed.*Provide the name, email address, and telephone number for the below* + Application Administrator –This person must have the authority to discuss your application with us and will receive MBIE communications and updates during the submission phase.
	+ Back-up Application Administrator – This person must differ from the Application Administrator.
	+ Contract Administrator - Should your application be approved for investment; this person must have the authority to discuss your contract with us and will receive MBIE communications and updates during the term of the contract.
	+ Back-up Contract Administrator - This person must differ from the Contract Administrator.

The Back-up Contract Administrator and Back-up Application Administrator can be the same person.***Enter your answers here...*** |
| * 1. **Project Details**

*This will be auto populated from registration. You can update these details if needed.***Provide your:*** + **Proje**ct ID (this will be assigned by the European Commission when you submit an application).
	+ Horizon Europe topic ID (for example, HORIZON-CL2-2024-DEMOCRACY-01-01).
	+ Type of action (for example, HORIZON-RIA).
	+ Provide the prop**osal short name or acronym**

***Enter your answers here...*** |
| * 1. **Eligibility**

Confirm that your application meets the eligibility criteria set out below:Proposals must:* + have been registered with MBIE within 10 working days of the Horizon Europe submission closing date. Any New Zealand organisation that is awarded funding by the European Commission but has not registered their project with MBIE may be deemed ineligible for top-up funding
	+ be submitted by a New Zealand entity as a partner in a proposal under Horizon Europe Pillar 2 programmes
	+ have a signed Grant Agreement from the European Commission naming the New Zealand entity before moving from registration to application for top-up funding
	+ not benefit a Russian state institution (including but not limited to support for Russian military or security activity) or an organisation outside government that may be perceived as contributing to the war effort
	+ meet the administrative requirements of MBIE; this may include providing supporting documentation.

Confirm you meet all the eligibility criteria Yes/No.If you do not meet all of these criteria, you are ineligible to apply. |
| * 1. **Research Keywords**

Search for and select in the drop-down box in Pītau up to 15 key words (each between 3 and 55 characters in length) that describe the nature of your research.**Do not include phrases, acronyms, or abbreviations.*****Enter your answers here...*** |
| * 1. **Public Statement (300 words maximum)**

**Provide us with a public statement (using the introductory starting content below and expanding on it) about your organisation’s role in the project ensuring any confidential information is not included. Your public statement should be understandable to the general public.** **You may include publishable contact details. Do not include confidential information, references, hyperlinks, images, video, or audio files.****Your Public Statement will be published on our website and may be used in the public arena by us. By providing this statement you agree to its public release.****Public statement template starting text:****This funding was used to pay for overheads associated with a New Zealand organisation’s participation in a Horizon Europe Pillar 2 project (insert the short title of your project). Further information about this Horizon Europe Pillar 2 project is here (insert link) or contact xwz@abc.com.***Enter your answer here...* |

### Section 2: Resources

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| --- | --- | --- | --- | --- | --- | --- |
| * 1. **Project Team**

*This will be auto populated from registration. You can update these details if needed.*Provide the details of the lead researcher from your organisation (as named in the Horizon Europe application) as Principal Investigator/Programme Leader.**Please provide:*** + **Name**
	+ **Organisation**
	+ **Email**
	+ **ORCiD number (optional)**

|  |  |  |
| --- | --- | --- |
| **Role** | **Required** | **Diversity required for submission of application** |
| Principal Investigator/Programme Leader | Mandatory | Required |

Diversity information is required for submission of your application and the named person will receive an invitation to complete their diversity profile. |
| * 1. **Participating Organisations**

*This will be auto populated from registration. You can update these details if needed.*Please list your consortium partners. For the coordinator, select ‘Collaborator’ as the type of relationship; for all other partners, use ‘Partner’.

|  |  |  |
| --- | --- | --- |
| **Organisation full name**  | **Country**  | **Type of relationship** |
|  |  |  |
|  |  |  |
|  |  |  |

**Add more rows if needed** |

### Section 3: Financial Information

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| **3.1 Funding***This will be auto populated from registration. You can update these details if needed.*Please confirm:* + the total funding amount granted in EUR for the entire Horizon Europe project
	+ the total funding amount granted in EUR for your organisation’s part of the Horizon Europe project

Note: The exchange rate will be fixed to the date the Horizon Europe Grant Agreement is signed with the European Commission and will not be adjusted throughout the life of the contract.*Enter your answers here...* |

### Section 4: Classifications

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| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **4.1 Field of Research** This will be auto populated from your registration, but you can change them if you need to. The Australian and New Zealand Standard Research Classifications (ANZSRC) are used. The Field of Research (FoR) codes can be found in Stats NZ's classification management system Aria [Field of Research 2020](https://aria.stats.govt.nz/aria/#ClassificationView:uri=http://stats.govt.nz/cms/ClassificationVersion/d3TYSTsmz2uc8CY1).You can provide a **maximum of three** FoR codes to categorise the research and development activities associated with your application. The relative proportion of total expenditure attributed to each of these must total 100%. Please use as few codes as possible.MBIE may contact you if:* + you have provided more than three codes, or
	+ we identify a potentially inaccurate coding.

Enter your answers here…

|  |  |  |  |
| --- | --- | --- | --- |
| Code |  |  |  |
| Percentage  |  |  |  |

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| * 1. **Socio-Economic Objective**

This will be auto populated from your registration, but you can change them if you need to. The Australian and New Zealand Standard Research Classifications (ANZSRC) are used. The Socio-Economic Objective (SEO) codes can be found in Stats NZ's classification management systems Aria [Socio-Economic Objective 2020](https://aria.stats.govt.nz/aria/#ClassificationView:uri=http://stats.govt.nz/cms/ClassificationVersion/d1iJyaEzjWHJiRej).You can provide a **maximum of three** SEO ANZSRC codes to categorise the research and development activities associated with *your organisation’s role* in the project. The relative proportion of total expenditure attributed to each of these must total 100%. Please use as few codes as possible.Take care to apply the correct SEO ANZSRC code research classification to your proposal:MBIE may contact you if:* + you have provided more than three codes, or
	+ we identify a potentially inaccurate coding.

Enter your answers here…

|  |  |  |  |
| --- | --- | --- | --- |
| **Code** |  |  |  |
| **Percentage**  |  |  |  |

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| * 1. **Mātauranga Māori**

Please indicate what percentage the proposal makes to a significant contribution to Māori research and innovation (total must equal 100%).

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| --- | --- |
| **Question**  | **Percentage %** |
| Not specifically relevant to Māori and not involving Māori |  |
| Specifically relevant to Māori |  |
| Research involving Māori |  |
| Māori centred research |  |
| Kaupapa Māori research |  |
| Total  |  |

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| * 1. **Type of Research Activity**

Provide information relating to the ANZSRC Type of Research Activity, including the relative proportion of total expenditure attributed to each type (adding up to 100%).

|  |  |
| --- | --- |
| **Research Type**  | **Percentage %** |
| Applied research |  |
| Experimental development |  |
| Not relevant to a Research Type |  |
| Pure basic research |  |
| Strategic basic research |  |
| **Total**  |  |

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| * 1. **Gene Technologies of Special Interest**

Identify any gene technologies of special interest relevant to your research project.If you select "yes" you will need to answer all questions regarding the type of gene technologies (one of these must also be "yes").If you select "no" complete all questions regarding other technologies of special interest.**Does the research involve use of gene technologies?**Yes/No**Does the research involve changing DNA sequences by inserting foreign DNA?**Yes/No**Does the research involve changing DNA sequences without inserting foreign DNA?**Yes/No**Does the research involve using gene technologies to regulate gene functioning by other means? For example, RNAi.**Yes/No**Does the research involve making synthetic organisms?**Yes/No**Are you planning to develop a product intended for release into the environment?**Yes/No**Another use of gene technology not described above?**Yes/No |
| * 1. **Other Technologies of Special Interest**

Identify any other technologies of special interest relevant to your research project. Complete all questions.**Live animal testing**Yes/No**Working with children or vulnerable adults**Yes/No**Developing algorithms which predict human behaviour or automate decision-making impacting humans for example, Artificial Intelligence**Yes/No**Human data mining**Yes/No**Industrial fermentation**Yes/No**Nanotechnology**Yes/No**Xenotransplantation**Yes/No**Technologies that could have military or security applications**Yes/No |

### Section 5: Additional Information

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| * 1. **Confirmation of Horizon Europe funding details**
	+ **Please upload the following sections from the Grant Agreement:**
		- **Data sheet section 1: General data**
		- **Data sheet section 2: Participants**
		- **Annex 2: Estimated budget for the action**
		- **Annex 3: Accession form for beneficiaries (for your organisation)**

**(Note: Submission of full Grant Agreement is permitted, but not required)** |
| * 1. **Conflicts of Interest**

Declare any potential conflicts of interest with MBIE staff and say why. *Enter your answer here...* |

## MBIE’s funding policies, terms and conditions

By submitting an application, you are accepting and agreeing to MBIE’s funding policies, terms and conditions. Neither this funding opportunity, nor any contract resulting from the invitation process is intended to create a partnership, employment, or agency agreement between MBIE and the applicant.

### Funding policies

Our funding polices show our commitment to:

* everyone having a fair and equal opportunity to participate in the science system to their fullest potential, and
* ensuring that all science data generated through its investments meets minimum expectations of good data management and public availability.

For more see our web page [Agencies, policies and budget initiatives](https://www.mbie.govt.nz/science-and-technology/science-and-innovation/agencies-policies-and-budget-initiatives/).

### Funding terms and conditions

1. General

1.1 The terms and conditions are non-negotiable and do not require a response. Each applicant that submits a proposal (hereafter referred to as “you”) will be deemed to have agreed to the Call for Proposals terms and conditions without reservation or variation.

1. Investigations and reliance on information

2.1 You must examine this Call for Proposals and any documents referenced by this Call for Proposals and carry out all necessary investigations before submitting a proposal. If you are in doubt as to the meaning of any part of this Call for Proposals, you must set out in your proposal the interpretation and any assumptions you used.

2.2 MBIE will not be liable (in contract or tort, including negligence, or otherwise) to anyone who relies on any information provided by or on behalf of MBIE in or in connection with this Call for Proposals.

1. Reliance by applicants

3.1 All information contained in this Call for Proposals or given to you by MBIE is for the purpose of allowing you to prepare your proposal. MBIE has endeavoured to ensure the integrity of such information. However, it has not been independently verified and may not be up to date.

1. Reliance by MBIE

4.1 MBIE may rely upon all statements you make in your proposal and in correspondence or negotiations with MBIE or its representatives. If a proposal is funded by MBIE, any such statements may be included in a funding contract.

4.2 You must ensure all information you provide to MBIE is complete and accurate. MBIE is under no obligation to check any proposal for errors, omissions, or inaccuracies. You must notify MBIE promptly upon becoming aware of any errors, omissions, or inaccuracies in your proposal or in any additional information you provide.

1. Inducements

5.1 You must not directly or indirectly provide any form of inducement or reward to any assessment panel member, officer, employee, advisor, or other representative of MBIE in connection with this Call for Proposals.

5.2 Business-as-usual communications (relating to funding under existing arrangements between MBIE and your organisation) will be maintained with the usual contacts. However, during the Call for Proposal process, you must not use business-as-usual contacts to solicit or discuss details of this Call for Proposals or any application you have, or intend to, submit, with any person at MBIE or its agents, including the assessment panel members.

1. Ownership and intellectual property

6.1 This Call for Proposals and any other documents MBIE provides to you remain the property of MBIE. All copyright and other rights in this Call for Proposals and in any other documentation or information provided to you or any other person by or on behalf of MBIE in connection with this Call for Proposals will remain with, and belong at all times to, MBIE or its licensors.

6.2 MBIE may request at any time the immediate return of all documents supplied and any copies made of them. You must comply with any such request in a timely manner.

6.3 Any proposals or information you supply to MBIE will become the property of MBIE and may not be returned to you. Ownership of the Intellectual Property rights in a proposal does not pass to MBIE. However, in submitting a proposal, you grant MBIE a non-exclusive, non-transferable, perpetual licence to retain, use, disclose, and copy your proposal for any purpose related to this Call for Proposals process.

6.4 By submitting a proposal, you warrant that the provision to MBIE of the information contained in your proposal, and MBIE’s use of it for the evaluation of your proposal and for any resulting negotiation, will not breach any third-party intellectual property rights.

1. Confidentiality

7.1 You and MBIE will each take reasonable steps to protect Confidential Information and, subject to paragraph 7.3, and without limiting any confidentiality undertaking agreed between them, will not disclose Confidential Information to a third party without the other’s prior written consent.

7.2 You and MBIE may each disclose Confidential Information to any person who is directly involved in the Call for Proposals process on its behalf, such as officers, employees, consultants, contractors, professional advisors, evaluation panel members, partners, principals, or directors, but only for the purpose of participating in the Call for Proposals.

7.3 You acknowledge that MBIE’s obligations under paragraph 7.1 are subject to requirements imposed by the Official Information Act (OIA) 1982, the Privacy Act 2020, parliamentary and constitutional convention and any other obligations imposed by law. Where MBIE receives an OIA request that relates to your Confidential Information, MBIE will consult with you and may ask you to explain why the information is considered by you to be confidential or commercially sensitive.

1. The proposal process

8.1 Despite any other provision in this Call for Proposals, MBIE reserves the following rights:

* MBIE may amend, suspend, cancel and/or re-issue the Call for Proposals or any part of the Call for Proposals.
* MBIE may make any material change to the Call for Proposals (including any date) on the condition that you are given a reasonable time within which to respond to the change.
* In exceptional circumstances, MBIE may accept a late proposal where it considers that there is no material prejudice to other applicants.
* MBIE may waive irregularities or requirements in or during the Call for Proposals process where it considers it appropriate and reasonable to do so.
* Your proposal may not be approved for funding.
* All or any proposal(s) may be rejected.
* Your proposal may be accepted in whole, or in part.
* Any information you provide to MBIE with your proposal may be retained or destroyed.
* Clarification may be sought from any applicant(s) in relation to any matter in connection with the Call for Proposals process.
* Any applicant(s) may be contacted, which may be to the exclusion of any other applicant(s), at any time before or after the approval (if any) of proposal(s).
* MBIE may reject, or not consider further, any documentation related to your proposal that may be received from you, unless it is specifically requested.
* This Call for Proposals process may be run in such manner as MBIE may see fit.
1. No contractual obligations created

9.1 No contract or other legal obligations arise between you and MBIE out of or in relation to this Call for Proposals or Call for Proposals process, until a formal written contract (if any) is signed by both you and MBIE.

9.2 This Call for Proposals do not constitute an offer by MBIE to provide funding or enter into any agreement with you. The call for and receipt of proposals does not imply any obligation on MBIE to contract any funding requested in your proposal. MBIE will not be bound in any way until a formal written contract is executed.

9.3 MBIE makes no representations nor gives any warranties in this Call for Proposals.

9.4 Any verbal communications made during the Call for Proposals process will not be binding on MBIE and are subject to the terms of this Call for Proposals.

1. No process contract

10.1 Despite any other provision in this Call for Proposals or any other document relating to this Call for Proposals, the issue of this Call for Proposals does not legally oblige or otherwise commit MBIE to proceed with or follow the process outlined in this Call for Proposals or to assess your proposal or enter into any negotiations or contractual arrangements with you.

10.2 For the avoidance of doubt, this Call for Proposals process does not give rise to a process contract.

1. Exclusion of liability

11.1 Neither MBIE nor any assessment panel members, officers, employees, advisers, or other representatives will be liable (in contract or tort, including negligence, or otherwise) for any direct or indirect damage, expense, loss or cost (including legal costs) incurred or suffered by you, your affiliates or any other person in connection with this Call for Proposals process, including without limitation:

* the assessment process
* the preparation of any proposal
* any investigations of or by any applicant
* concluding any contract
* the acceptance or rejection of any proposal
* the suspension or cancellation of the process contemplated in this Call for Proposals, or
* any information given or not given to any applicant(s).

11.2 By participating in this Call for Proposals process, you waive any rights you may have to make any claim against MBIE. To the extent that legal relations between MBIE and you cannot be excluded as a matter of law, the liability of MBIE is limited to $1.

11.3 Nothing contained or implied in or arising out of this Call for Proposals or any other communications to any applicant shall be construed as legal, financial, or other advice of any kind.

1. Costs and expenses

12.1 MBIE is not responsible for any costs or expenses incurred by you in the preparation of an application.

1. Governing law and jurisdiction

13.1 This Call for Proposals will be construed according to, and governed by, New Zealand law and you agree to submit to the exclusive jurisdiction of New Zealand courts in any dispute concerning this Call for Proposals or any proposal.

1. Disclosure of information

14.1 MBIE may make public the following information:

* The proposal title
* The name of the successful Host (the Contracting organisation)
* The names of all Partner organisations
* The public statement (as provided in the proposal)
* The public statements in the Progress report(s) and/or Final report (if funded)
* The total amount of funding provided
* The contract number (if funded)
* The contract start and end dates (if funded)
* The contract status (if funded)
* Any Australian and New Zealand Standard Research Classification (ANZSRC) codes provided in your application (if funded)
* The name(s) of the Principal Investigator(s) unless the Contractor or individual has requested these remain confidential.

14.2 You must not release any media statement or other information relating to the process outlined in this Call for Proposals, or the submission or approval of any proposal to any public medium without providing sufficient advance Notice to MBIE.