

#93

INCOMPLETE

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Q1 Overall, do you agree or disagree with [standard 1] and proposed commentary? **Agree**

Q2 Please provide any comments on [standard 1] and the proposed commentary. **Respondent skipped this question**

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Q3 Overall, do you agree or disagree with [standard 2] and proposed commentary? **Neither agree nor disagree**

Q4 Please provide any comments on [standard 2] and the proposed commentary.

I agree 100% with the standard. Advisor should be able to justify the advise. Giving the clients books of paperwork on the advice isn't the solution though as they don't read it.

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Q5 Overall, do you agree or disagree with [standard 3] and proposed commentary? **Agree**

Q6 Please provide any comments on [standard 3] and the proposed commentary.

I 100% agree with the standard but understand that if you expect advisors to work for peanuts you will end up with poor outcomes as quality advisors will leave industry

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Q7 Overall, do you agree or disagree with [standard 4] and proposed commentary? **Agree**

Q8 Please provide any comments on [standard 4] and the proposed commentary. **Respondent skipped this question**

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Q9 Overall, do you agree or disagree with [standard 5] and proposed commentary? **Agree**

Q10 Please provide any comments on [standard 5] and the proposed commentary. **Respondent skipped this question**

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Q11 Overall, do you agree or disagree with [standard 6] and proposed commentary? **Agree**

Q12 Please provide any comments on [standard 6] and the proposed commentary. **Respondent skipped this question**

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Q13 Overall, do you agree or disagree with [standard 7] and proposed commentary? **Agree**

Q14 Please provide any comments on [standard 7] and the proposed commentary. **Respondent skipped this question**

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Q15 Overall, do you agree or disagree with [standard 8] and proposed commentary? **Agree**

Q16 Please provide any comments on [standard 8] and the proposed commentary. **Respondent skipped this question**

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Q17 Overall, do you agree or disagree with [standard 9] and proposed commentary? **Disagree**

Q18 Please provide any comments on [standard 9] and the proposed commentary.

I strongly disagree with this. We should be able to sit a test to pass if experienced. With the last regulation we were made to sit low level papers of a 5th form level run by people who couldn't make it in the industry and that taught us nothing. Total waste of time and insulting to our intelligence.

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Q19 Overall, do you agree or disagree with [standard 10] and proposed commentary? **Neither agree nor disagree**

Q20 Please provide any comments on [standard 10] and the proposed commentary.

You need to provide relevant courses. Time management courses and entry level courses are pretty much all that is provided and are a total waste of my time.

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Q21 Overall, do you agree or disagree with [standard 11] and proposed commentary? **Neither agree nor disagree**

Q22 Please provide any comments on [standard 11] and the proposed commentary.

I'm unaware of a service failing from advisors. There are some rogues in the industry (a lot less now) remove them. Most of the people in the industry do a great job.

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Q23 Overall, do you agree or disagree with [standard 12] and proposed commentary? **Disagree**

Q24 Please provide any comments on [standard 12] and the proposed commentary.

why all the paperwork. I challenge you to demonstrate my level of financial knowledge. I'm willing to learn from people who know more than me - but you will appoint low level people to teach us nothing

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Q25 Is there anything missing from the draft Code? **Yes**

Q26 If you answered yes, what is missing?

The bank tellers e.t.c should be required to fully comply with the code or not give advise the same as independent advisors. Level playing field.

Q27 Do you have any feedback on the examples, or suggestions on other examples that should be included in the draft Code? **Respondent skipped this question**

Q28 Is there anything else you want to say?

I'm all for raising standards in the industry 100%. However i have never seen relevant training provided just low level rubbish training for large fees. i suggest to be in parliament you do financial courses to show that you can manage peoples money properly - thats much more of a failing then the financial advise industry.

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Code Working Group - Online Submission Form

Q29 Name Respondent skipped this question

Q30 Your role or professional title Respondent skipped this question

Q31 Individual or organisational submission Respondent skipped this question

Q32 If you give financial advice... Respondent skipped this question

Q33 My organisation or I give the following types of advice... Respondent skipped this question

Q34 Organisation Name Respondent skipped this question

Q35 Type of organisation Respondent skipped this question

Q36 Size of organisation Respondent skipped this question

Q37 If there are other things we should know about you or your business that would provide context to your answers, please provide details below. Respondent skipped this question

Q38 Please indicate whether your submission contains any information that is confidential or whether you do not wish your name or any other personal information to be included in a summary of submissions. Respondent skipped this question

Q39 Please provide your contact details (email and/or phone number) This is the only question that requires an answer. This information would not be released publicly. We may get in touch with you in order to help us understand particular points from your submission. Respondent skipped this question
