

#45

COMPLETE

Collector: Web Link 3 (Web Link)
Started: Monday, November 05, 2018 11:10:36 PM
Last Modified: Monday, November 05, 2018 11:39:37 PM
Time Spent: 00:29:00

Page 3

Q1 Overall, do you agree or disagree with [standard 1] and proposed commentary? **Agree**

Q2 Please provide any comments on [standard 1] and the proposed commentary. **Respondent skipped this question**

Page 4

Q3 Overall, do you agree or disagree with [standard 2] and proposed commentary? **Agree**

Q4 Please provide any comments on [standard 2] and the proposed commentary. **Respondent skipped this question**

Page 5

Q5 Overall, do you agree or disagree with [standard 3] and proposed commentary? **Agree**

Q6 Please provide any comments on [standard 3] and the proposed commentary. **Respondent skipped this question**

Page 6

Q7 Overall, do you agree or disagree with [standard 4] and proposed commentary? **Agree**

Q8 Please provide any comments on [standard 4] and the proposed commentary.

Replacement form and one on once comparison are necessary.

Page 7

Q9 Overall, do you agree or disagree with [standard 5] and proposed commentary? **Agree**

Q10 Please provide any comments on [standard 5] and the proposed commentary. **Respondent skipped this question**

Page 8

Q11 Overall, do you agree or disagree with [standard 6] and proposed commentary? **Agree**

Q12 Please provide any comments on [standard 6] and the proposed commentary. **Respondent skipped this question**

Page 9

Q13 Overall, do you agree or disagree with [standard 7] and proposed commentary? **Agree**

Q14 Please provide any comments on [standard 7] and the proposed commentary. **Respondent skipped this question**

Page 10

Q15 Overall, do you agree or disagree with [standard 8] and proposed commentary? **Agree**

Q16 Please provide any comments on [standard 8] and the proposed commentary. **Respondent skipped this question**

Page 12

Q17 Overall, do you agree or disagree with [standard 9] and proposed commentary? **Agree**

Q18 Please provide any comments on [standard 9] and the proposed commentary.

There needs to be a minimum English competency requirement for financial advisor. specially for insurance advisers. All insurance contracts were written in English in NZ and all communication between the advisers and insurance companies were done in English. This includes submitting insurance applications, underwriting process and claims. so an insurance advisor needs basic competency of English to work in this industry.

Page 13

Q19 Overall, do you agree or disagree with [standard 10] and proposed commentary? **Agree**

Q20 Please provide any comments on [standard 10] and the proposed comentary.

Respondent skipped this question

Page 14

Q21 Overall, do you agree or disagree with [standard 11] and proposed commentary?

Agree

Q22 Please provide any comments on [standard 11] and the proposed commentary.

Respondent skipped this question

Page 15

Q23 Overall, do you agree or disagree with [standard 12] and proposed commentary?

Agree

Q24 Please provide any comments on [standard 12] and the proposed commentary.

The Certificate of New Zealand Certificate in Financial Services (Level 5) is necessary for all the financial advisors, specially insurance advisor.

Page 16

Q25 Is there anything missing from the draft Code?

No

Q26 If you answered yes, what is missing?

Respondent skipped this question

Q27 Do you have any feedback on the examples, or suggestions on other examples that should be included in the draft Code?

Respondent skipped this question

Q28 Is there anything else you want to say?

Respondent skipped this question

Page 17

Q29 Name

S
1/2

Q30 Your role or professional title

Insurance Advisor

Code Working Group - Online Submission Form

Q31 Individual or organisational submission

This is an individual submission and not on behalf of an organisation

Q32 If you give financial advice...

I am a RFA

Q33 My organisation or I give the following types of advice...

Life and/or health insurance

Q34 Organisation Name

Millenium Insurance Group

Q35 Type of organisation

Insurance broker

Q36 Size of organisation

Small firm (1-10 staff)

Q37 If there are other things we should know about you or your business that would provide context to your answers, please provide details below. **Respondent skipped this question**

Q38 Please indicate whether your submission contains any information that is confidential or whether you do not wish your name or any other personal information to be included in a summary of submissions.

I do not want my name or personal information to be included in a summary of submissions.

Q39 Please provide your contact details (email and/or phone number) This is the only question that requires an answer. This information would not be released publicly. We may get in touch with you in order to help us understand particular points from your submission.

s 9(2)(a)