

#69

**COMPLETE**

**Collector:** Web Link 3 (Web Link)  
**Started:** Friday, November 09, 2018 9:00:33 AM  
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**Time Spent:** 00:15:02

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Page 3

**Q1** Overall, do you agree or disagree with [standard 1] and proposed commentary? **Agree**

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**Q2** Please provide any comments on [standard 1] and the proposed commentary. **Respondent skipped this question**

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Page 4

**Q3** Overall, do you agree or disagree with [standard 2] and proposed commentary? **Agree**

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**Q4** Please provide any comments on [standard 2] and the proposed commentary. **Respondent skipped this question**

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Page 5

**Q5** Overall, do you agree or disagree with [standard 3] and proposed commentary? **Agree**

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**Q6** Please provide any comments on [standard 3] and the proposed commentary. **Respondent skipped this question**

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Page 6

**Q7** Overall, do you agree or disagree with [standard 4] and proposed commentary? **Agree**

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**Q8** Please provide any comments on [standard 4] and the proposed commentary. **Respondent skipped this question**

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Page 7

**Q9** Overall, do you agree or disagree with [standard 5] and proposed commentary? **Agree**

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**Q10** Please provide any comments on [standard 5] and the proposed commentary. **Respondent skipped this question**

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Page 8

**Q11** Overall, do you agree or disagree with [standard 6] and proposed commentary? **Agree**

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**Q12** Please provide any comments on [standard 6] and the proposed commentary. **Respondent skipped this question**

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Page 9

**Q13** Overall, do you agree or disagree with [standard 7] and proposed commentary? **Agree**

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**Q14** Please provide any comments on [standard 7] and the proposed commentary. **Respondent skipped this question**

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Page 10

**Q15** Overall, do you agree or disagree with [standard 8] and proposed commentary? **Don't know**

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**Q16** Please provide any comments on [standard 8] and the proposed commentary. **Respondent skipped this question**

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Page 12

**Q17** Overall, do you agree or disagree with [standard 9] and proposed commentary? **Agree**

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**Q18** Please provide any comments on [standard 9] and the proposed commentary. **Respondent skipped this question**

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Page 13

**Q19** Overall, do you agree or disagree with [standard 10] and proposed commentary? **Agree**

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**Q20** Please provide any comments on [standard 10] and the proposed commentary. **Respondent skipped this question**

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Page 14

**Q21** Overall, do you agree or disagree with [standard 11] and proposed commentary? **Agree**

**Q22** Please provide any comments on [standard 11] and the proposed commentary. **Respondent skipped this question**

Page 15

**Q23** Overall, do you agree or disagree with [standard 12] and proposed commentary? **Agree**

**Q24** Please provide any comments on [standard 12] and the proposed commentary. **Respondent skipped this question**

Page 16

**Q25** Is there anything missing from the draft Code? **Unsure**

**Q26** If you answered yes, what is missing? **Respondent skipped this question**

**Q27** Do you have any feedback on the examples, or suggestions on other examples that should be included in the draft Code? **Respondent skipped this question**

**Q28** Is there anything else you want to say?

The proposals look reasonable to me. It will be important to ensure sufficient timeframes are allowed for existing industry participants (who will have existing commitments to serve clients) to meet any new standards (particularly competence standards).

Page 17

**Q29** Name

Philip Stevenson

**Q30** Your role or professional title

Founder & Primary Adviser

**Q31** Individual or organisational submission **This is a submission on behalf of an organisation (eg employer)**

**Q32** If you give financial advice... **I am an AFA**

**Q33** My organisation or I give the following types of advice...

**Investments,  
Financial planning**

**Q34** Organisation Name

Bloomsbury Associates

**Q35** Type of organisation

**Financial advice  
firm**

**Q36** Size of organisation

**Small firm (1-10  
staff)**

**Q37** If there are other things we should know about you or your business that would provide context to your answers, please provide details below.

**Respondent skipped this question**

**Q38** Please indicate whether your submission contains any information that is confidential or whether you do not wish your name or any other personal information to be included in a summary of submissions.

**Respondent skipped this question**

**Q39** Please provide your contact details (email and/or phone number) This is the only question that requires an answer. This information would not be released publicly. We may get in touch with you in order to help us understand particular points from your submission.

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