

#31

COMPLETE

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Q1 Overall, do you agree or disagree with [standard 1] and proposed commentary? **Agree**

Q2 Please provide any comments on [standard 1] and the proposed commentary.

This is the standard I have been employing for 35 years

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Q3 Overall, do you agree or disagree with [standard 2] and proposed commentary? **Agree**

Q4 Please provide any comments on [standard 2] and the proposed commentary.

As per Q2

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Q5 Overall, do you agree or disagree with [standard 3] and proposed commentary? **Agree**

Q6 Please provide any comments on [standard 3] and the proposed commentary. **Respondent skipped this question**

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Q7 Overall, do you agree or disagree with [standard 4] and proposed commentary? **Agree**

Q8 Please provide any comments on [standard 4] and the proposed commentary.

However, best practice would dictate that the Client signs a statement to the effect.

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Q9 Overall, do you agree or disagree with [standard 5] and proposed commentary? **Agree**

Q10 Please provide any comments on [standard 5] and the proposed commentary. **Respondent skipped this question**

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Q11 Overall, do you agree or disagree with [standard 6] and proposed commentary? **Agree**

Q12 Please provide any comments on [standard 6] and the proposed commentary. **Respondent skipped this question**

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Q13 Overall, do you agree or disagree with [standard 7] and proposed commentary? **Neither agree nor disagree**

Q14 Please provide any comments on [standard 7] and the proposed commentary.

If I had ever had a complaint I would always offer to resolve the complaint myself, as long as the Client was happy.

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Q15 Overall, do you agree or disagree with [standard 8] and proposed commentary? **Agree**

Q16 Please provide any comments on [standard 8] and the proposed commentary. **Respondent skipped this question**

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Q17 Overall, do you agree or disagree with [standard 9] and proposed commentary? **Agree**

Q18 Please provide any comments on [standard 9] and the proposed commentary. **Respondent skipped this question**

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Q19 Overall, do you agree or disagree with [standard 10] and proposed commentary? **Agree**

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Q20 Please provide any comments on [standard 10] and the proposed comentary.

Respondent skipped this question

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Q21 Overall, do you agree or disagree with [standard 11] and proposed commentary?

Neither agree nor disagree

Q22 Please provide any comments on [standard 11] and the proposed commentary.

I completed my Level 5 AFA qualifications in Dec 2010.

I would not expect to be required to complete updated exams that were set, post 2014.

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Q23 Overall, do you agree or disagree with [standard 12] and proposed commentary?

Neither agree nor disagree

Q24 Please provide any comments on [standard 12] and the proposed commentary.

See Q22

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Q25 Is there anything missing from the draft Code?

No

Q26 If you answered yes, what is missing?

Respondent skipped this question

Q27 Do you have any feedback on the examples, or suggestions on other examples that should be included in the draft Code?

Respondent skipped this question

Q28 Is there anything else you want to say?

The questionnaire was very well set out, Thanks

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Q29 Name

Christopher J Gannon

Q30 Your role or professional title

AFA

Q31 Individual or organisational submission

This is an individual submission and not on behalf of an organisation

Q32 If you give financial advice...

I am an AFA

Q33 My organisation or I give the following types of advice...

Investments,
Life and/or health insurance ,
Other (please specify):
Business insurance in relation to business owners. E.g. Partnership Insurance and Buy/Sell arrangements

Q34 Organisation Name

United Brokers Insurances 2002 Ltd

Q35 Type of organisation

Insurance broker ,
Independent adviser

Q36 Size of organisation

Small firm (1-10 staff)

Q37 If there are other things we should know about you or your business that would provide context to your answers, please provide details below.

Sole practitioner & Company Director

Q38 Please indicate whether your submission contains any information that is confidential or whether you do not wish your name or any other personal information to be included in a summary of submissions.

Respondent skipped this question

Q39 Please provide your contact details (email and/or phone number) This is the only question that requires an answer. This information would not be released publicly. We may get in touch with you in order to help us understand particular points from your submission.

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