

#78

COMPLETE

Collector: Web L nk 3 (Web L nk)
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Page 3

Q1 Overall, do you agree or disagree with [standard 1] and proposed commentary? **Respondent skipped this question**

Q2 Please provide any comments on [standard 1] and the proposed commentary. **Respondent skipped this question**

Page 4

Q3 Overall, do you agree or disagree with [standard 2] and proposed commentary? **Respondent skipped this question**

Q4 Please provide any comments on [standard 2] and the proposed commentary. **Respondent skipped this question**

Page 5

Q5 Overall, do you agree or disagree with [standard 3] and proposed commentary? **Respondent skipped this question**

Q6 Please provide any comments on [standard 3] and the proposed commentary. **Respondent skipped this question**

Page 6

Q7 Overall, do you agree or disagree with [standard 4] and proposed commentary? **Respondent skipped this question**

Q8 Please provide any comments on [standard 4] and the proposed commentary. **Respondent skipped this question**

Page 7

Q9 Overall, do you agree or disagree with [standard 5] and proposed commentary? **Respondent skipped this question**

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Q10 Please provide any comments on [standard 5] and the proposed commentary. **Respondent skipped this question**

Page 8

Q11 Overall, do you agree or disagree with [standard 6] and proposed commentary? **Respondent skipped this question**

Q12 Please provide any comments on [standard 6] and the proposed commentary. **Respondent skipped this question**

Page 9

Q13 Overall, do you agree or disagree with [standard 7] and proposed commentary? **Respondent skipped this question**

Q14 Please provide any comments on [standard 7] and the proposed commentary. **Respondent skipped this question**

Page 10

Q15 Overall, do you agree or disagree with [standard 8] and proposed commentary? **Respondent skipped this question**

Q16 Please provide any comments on [standard 8] and the proposed commentary. **Respondent skipped this question**

Page 12

Q17 Overall, do you agree or disagree with [standard 9] and proposed commentary? **Agree**

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Q18 Please provide any comments on [standard 9] and the proposed commentary.

Allowing alternative methods of demonstrating competency at the level of the qualification outcomes may become problematic if there is a large number of individuals seeking to use this approach.

The Code standard specifies the outcomes in the qualification Core, but the narrative about ways of demonstrating achievement refers to having the qualification (i.e. the full qualification). We note that in Code standards 11 and 12 the corresponding comments refer specifically to the outcomes of the relevant strand (NOT the full qualification).

The commentary about alternatives refers specifically to a ternative QUALIFICATIONS. We believe the intention of the CWG was to allow for experience based alternatives, or perhaps recognise the contribution made where people have completed training that has not resulted in a qualification.

If that is the intention, wording along the lines of "A person seeking to demonstrate competency at the level of the outcomes in the qualification by means other than achieving the qualification should be able to ..." "Overseas qualifications should also [added word] be accompanied by ..."

Page 13

Q19 Overall, do you agree or disagree with [standard 10] and proposed commentary?

Respondent skipped this question

Q20 Please provide any comments on [standard 10] and the proposed commentary.

Respondent skipped this question

Page 14

Q21 Overall, do you agree or disagree with [standard 11] and proposed commentary?

Agree

Q22 Please provide any comments on [standard 11] and the proposed commentary.

We note that the wording from Code standard 9 about using an alternative pathway has not been included. For consistency, it would seem reasonable that a similar alternative pathway mode be available.

Page 15

Q23 Overall, do you agree or disagree with [standard 12] and proposed commentary?

Agree

Q24 Please provide any comments on [standard 12] and the proposed commentary.

We note that the wording from Code standard 9 about using an alternative pathway has not been included. For consistency, it would seem reasonable that a similar alternative pathway mode be available.

Page 16

Q25 Is there anything missing from the draft Code?

Respondent skipped this question

Q26 If you answered yes, what is missing?

Respondent skipped this question

Q27 Do you have any feedback on the examples, or suggestions on other examples that should be included in the draft Code?

Respondent skipped this question

Q28 Is there anything else you want to say?

Respondent skipped this question

Page 17

Q29 Name

Co n James

Q30 Your role or professional title

Programme Manager - F nanc a Serv ces

Q31 Individual or organisational submission

This is a submission on behalf of an organisation (eg employer)

Q32 If you give financial advice...

Respondent skipped this question

Q33 My organisation or I give the following types of advice...

Other (p ease spec fy):
Industry Tra n ng Organ sat on w th gazetted coverage for f nanc a serv ces sector

Q34 Organisation Name

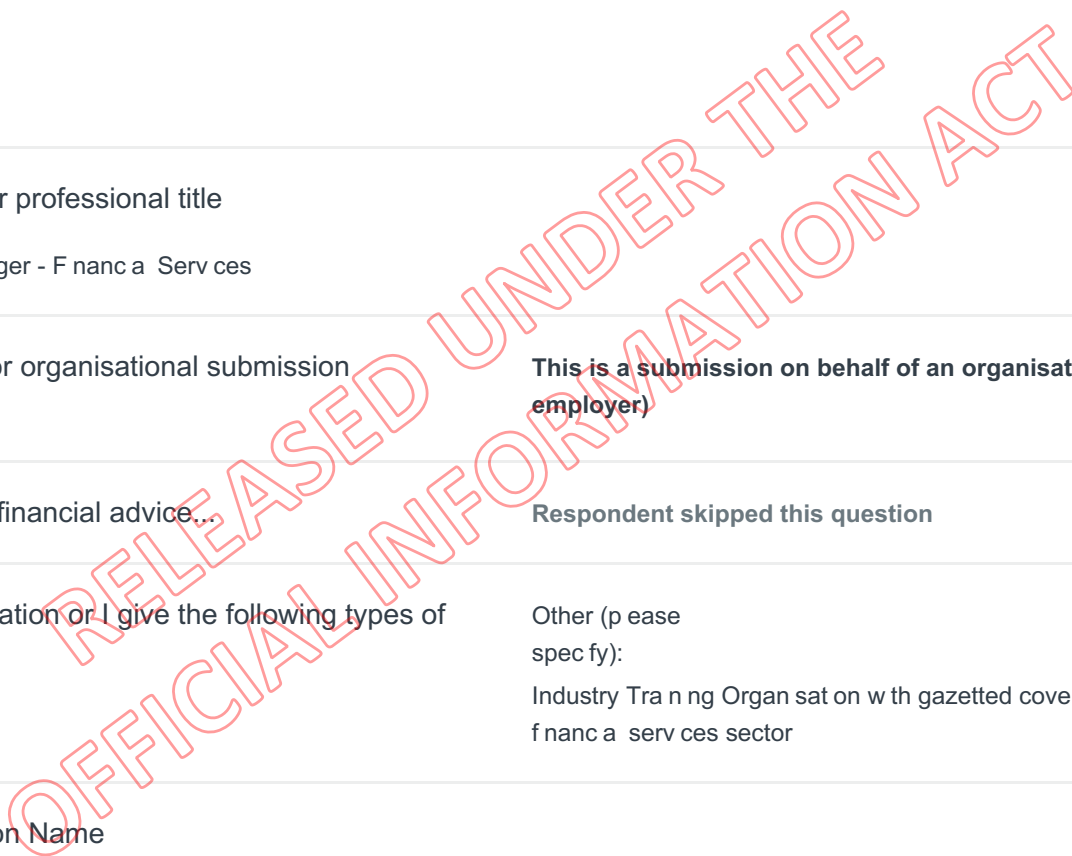
The Sk s Organ sat on

Q35 Type of organisation

Other (p ease spec fy):
Qua f cat on deve oper and standard sett ng body

Q36 Size of organisation

Large firm (50+ staff)



Code Working Group - Online Submission Form

Q37 If there are other things we should know about you or your business that would provide context to your answers, please provide details below. **Respondent skipped this question**

Q38 Please indicate whether your submission contains any information that is confidential or whether you do not wish your name or any other personal information to be included in a summary of submissions. **Respondent skipped this question**

Q39 Please provide your contact details (email and/or phone number) This is the only question that requires an answer. This information would not be released publicly. We may get in touch with you in order to help us understand particular points from your submission.

s 9(2)(a)

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