

From: s 9(2)(a)
To: [code secretariat](#)
Subject: Draft Code of Professional Conduct for Financial Advice Services
Date: Friday, 9 November 2018 4:47:02 p.m.
Attachments: [image001.jpg](#)

Good afternoon,

On behalf of Select Wealth Management, I made a submission on the above during the earlier consultation round.

Having now reviewed the subsequent consultation and draft Code, I have only the below note to add. Given its brevity, I thought it may be more straightforward to deliver by email than via the online submission process – I trust this is acceptable.

We recognise the significant work that has been undertaken throughout the development of the draft Code, and commend the Code Working Group and the wider industry for their engagement in the consultation process. We support the principles-based nature of the Code, which we believe is an appropriate framework for ensuring individual providers are able to determine for themselves the most appropriate means by which to meet the requirements of the Code.

Kind regards,

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