

## Changes to FMA levies

Stakeholder or financial market activity	Basis and tiers for levy calculation	Current levy* exc. GST	New levy exc. GST from 1 July 2017
Accredited Bodies	Per licensed entity	\$1,739	\$2,600
AFAs	Fixed levy	\$348	\$330
Authorised futures dealers	Fixed levy	\$1,739	n/a
Banks and Non-Bank Deposit Takers	Total assets exceed \$50 billion	\$304,348	\$535,000
	Total assets exceed \$10 billion but not \$50 billion	\$69,565	\$130,000
	Total assets exceed \$2 billion but not \$10 billion	\$21,739	\$38,000
	Total assets exceed \$1 billion but not \$2 billion	\$13,043	\$22,000
	Total assets exceed \$500 million but not \$1 billion	\$6,522	\$10,500
	Total assets exceed \$40 million but not \$500 million	\$6,522	\$7,700
	Total assets do not exceed \$40 million	Either \$1,739 or \$6,522	\$2,400
Brokers	Fixed levy	\$870	\$1,800
Building societies, companies, friendly societies, credit unions and limited partnerships	Fixed levy	\$8.70	\$9
Contributory mortgage brokers	Fixed levy	\$1,739	\$1,800
Crowd funders and peer-to-peer lenders	Part of other FSP category currently	\$304	\$2,600
Custodians	In respect of a registered scheme or DIMS service provided by a DIMS licensee	\$1,739	\$6,300
	Regulated under FAA	\$870	\$6,300
Derivative issuers	Fixed levy	\$1,739	\$9,600
DIMS providers	Funds under management exceed \$2 billion	Either \$304 or \$1,739	\$36,000
	Funds under management exceed \$500 million but not \$2 billion	Either \$304 or \$1,739	\$14,000

Stakeholder or financial market activity	Basis and tiers for levy calculation	Current levy* exc. GST	New levy exc. GST from 1 July 2017
	Funds under management exceed \$100 million but not \$500 million	Either \$304 or \$1,739	\$4,800
	Funds under management exceed \$50 million but not \$100 million	Either \$304 or \$1,739	\$2,400
	Funds under management do not exceed \$50 million	Either \$304 or \$1,739	\$950
FMC reporting entity filing financial statement	Fixed levy	n/a	\$48
Financial service providers	At registration	\$304	\$460
	Annual confirmation (if not in other levy classes)	\$304	\$460
Foreign exchange traders	Fixed levy	\$1,739	\$5,300
Licensed insurers	Annual gross premium revenue (GPR) exceeds \$500 million	\$130,435	\$150,000
	GPR exceeds \$100 million but not \$500 million	Either \$30,435 or \$130,435	\$38,000
	GPR exceeds \$50 million but not \$100 million	\$30,435	\$24,000
	GPR exceeds \$10 million but not \$50 million	\$8,696	\$11,000
	GPR does not exceed \$10 million	\$1,739	\$2,200
Licensed market operators	Fixed levy	\$17,391	\$29,000
Lodging product disclosure statements	Per PDS or prospectus (except managed funds)	\$1,739	\$2,600
	Per managed fund	\$370	\$530
Managers or trustees in respect of securities	Total managed assets exceed \$10 billion	\$86,957	\$380,000
	Total managed assets exceed \$5 billion but not \$10 billion	\$86,957	\$270,000
	Total managed assets exceed \$2 billion but not \$5 billion	\$86,957	\$120,000
	Total managed assets exceed \$1 billion but not \$2 billion	\$69,565	\$80,000

Stakeholder or financial market activity	Basis and tiers for levy calculation	Current levy* exc. GST	New levy exc. GST from 1 July 2017
	Total managed assets exceed \$500 million but not \$1 billion	\$52,174	\$45,000
	Total managed assets exceed \$100 million but not \$500 million	\$34,783	\$25,000
	Total managed assets exceed \$20 million but not \$100 million	Either \$8,696 or \$17,391	\$6,400
	Total managed assets do not exceed \$20 million	\$1,739	\$1,400
Listed issuers	Fixed levy	\$1,739	\$2,600
Overseas auditors	Fixed levy	\$1,739	\$2,600
Supervisors of debt securities and managed investment products	Total supervised interests exceed \$5 billion	\$86,957	\$138,000
	Total supervised interests exceed \$1 billion but not \$5 billion	\$52,174	\$76,000
	Total supervised interests exceed \$100 million but not \$1 billion	\$17,391	\$26,000
	Total supervised interests do not exceed \$100 million	\$4,348	\$6,400
Trading activities on licensed markets	Fixed levy	\$6,522	\$4,500

\*Where two amounts are listed it is a result of the introduction or consolidation of existing levy tiers

## Changes to XRB levy

Current levy exc. GST	New levy exc. GST from 1 July 2017
\$8.70	\$6.00

## Changes to Companies Office fees

Fee Type	Current fee exc. GST	New fee exc. GST
Company name reservation – online	\$8.89	\$10.00
Company name reservation – manual	\$22.22	\$10.00
Company incorporation	\$113.04	\$90.00
Company annual return - retail	\$21.74	\$21.00
Company annual return – Government to business	\$21.74	\$18.00
Company amalgamation	\$266.67	\$350.00
Company restoration	\$177.78	\$150.00
Certified copy	\$22.22	\$0.00
PPSR registration /renewal – Government to business	\$8.70	\$7.00
PPSR registration /renewal – retail user	\$17.39	\$14.00
PPSR search – Government to business	\$1.30	\$1.00
PPSR search – retail user	\$2.61	\$2.00
FSP registration	\$311.11	\$300.00
FSP renewal	\$53.33	\$75.00
Financial Statement filing	\$222.22	\$175.00